

Respiratory Protective Equipment Reconsiderations in the Age of SARS-CoV-2

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ABSTRACT

The use of respiratory protective equipment (RPE) has been recognized as an effective measure to mitigate droplet and airborne transmission of SARS-CoV-2. Although there are various types of RPE available, different RPEs serve different purposes and offer various levels of protection against aerosols. Additionally, recent evidence highlights the role of good fit in ensuring the effectiveness of RPEs. Some modified procedures can enhance the effectiveness of surgical masks by improving fit. In the age of SARS-CoV-2, there is an urgent need for knowledge about RPEs. The correct selection and use of RPEs are of pivotal importance for breaking the transmission chain of SARS-CoV-2.

Keywords: SARS-CoV-2, Respiratory protective equipment, Surgical mask, Filtering facepiece respirator, Powered air-purifying respirator

MAIN TEXT

The ongoing SARS-CoV-2 pandemic has paralyzed both the global economy and the health care system since December 2019. Several vaccines have been developed and distributed to stop the virus transmission and reach herd immunity. However, due to the emergence of highly contagious SARS-CoV-2 variants, such as Delta and Omicron variants of concern (VOCs; formerly known as B.1.617.2 and B. 1.1. 529, respectively), and vaccine shortage, this global pandemic still poses a growing threat to public health. The donor-to-recipient transmission of SARS-CoV-2 is highly complex and has multiple pathways. Recent converging evidence has shown that SARS-CoV-2 is predominantly transmitted via non-contact transmission modes, including droplet and airborne transmissions (Greenhalgh *et al.*, 2021; Leung, 2021). These non-contact transmission modes are forms of respiratory transmission via exposure to pathogen-laden respiratory fluids in the form of aerosols (Hsiao *et al.*, 2020). Various human airway activities can produce respiratory aerosols across a range of sizes. Violent expiratory activities, including coughing, sneezing, and speaking, generally produce large droplets (tens to hundreds of μm) (Bourouiba *et al.*, 2014; Asadi *et al.*, 2019), while tidal breathing leads to the production of aerosols from a few microns to submicron in size (Scheuch, 2020). After aerosolization, SARS-CoV-2 can float in the air and remain viable for an extended period (1.5–16 hours), depending on the ambient conditions and physical properties of aerosols (Fears *et al.*, 2020; Smither *et al.*, 2020; van Doremalen *et al.*, 2020). Moreover, Delta and Omicron VOCs has been associated with higher viral loads when compared to the original SARS-CoV-2 strain (Teyssou *et al.*, 2021; Peacock *et al.*, 2022). This property could contribute to the higher probability of the generation of smaller virus-laden aerosols, further increasing the risk of non-contact transmission (Lee, 2020, 2021). Riediker *et al.* (2022) estimated the viral emissions with different VOCs in the fine aerosols using a Monte Carlo modelling approach. Their results show that Delta and Omicron VOCs cause a strong (*ca.* 30–50 times) increase in the frequency of super-emitters in population when comparing the original strain (Riedikera *et al.*, 2022). To avoid exposure to infectious aerosols, several intervention measures, including improving ventilation and

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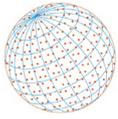
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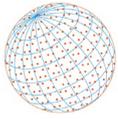


air filtration, social distancing, and the use of respiratory protective equipment (RPE), have been widely implemented during this pandemic.

Although the effectiveness of the RPE usage against SARS-CoV-2 was initially controversial, continuous updates have revealed that RPE plays a pivotal role in mitigating the pandemic (Martinelli *et al.*, 2021; Rahimi *et al.*, 2021). Thus, many countries have already recommended or mandated the use of RPEs in public. This also causes unprecedented demands (and shortages) for RPEs. There are multiple types of RPEs available. Depending on the type, RPE can be used either to protect healthy persons or to prevent onward transmission. However, the incorrect selection and use of RPEs may give people a false sense of security, reducing compliance with other intervention measures. Therefore, knowledge about specific characteristics of RPEs is of utmost importance to correctly use and select the proper type.

Surgical masks, loose-fitting and disposable devices, are the most-used type of RPEs in public during the pandemic (Feng *et al.*, 2020). They are intended to reduce the emission and inhalation of large droplets by creating a physical barrier (Kähler and Hain, 2020). On the contrary, these masks are not designed to protect against airborne particles (Oberg and Brosseau, 2008). Although the filter media of surgical masks, to some extent, enables to collect airborne particles (filtration efficiency for 0.3 μm particles at an airflow of 95 L min^{-1} : 28.4–85.5%) (Kulmala *et al.*, 2021), such particles tend to slip through or escape from gaps between the mask and the facial contours instead of being filtered through the mask itself (Chen and Willeke, 1992; Cappa *et al.*, 2021; Su *et al.*, 2022). Therefore, the effectiveness of these masks in reducing airborne particle exposure is limited and varies between individuals, mainly depending on how well the fit of the “loose-fitting” surgical mask is. Milton *et al.* (2013) examined the effect of wearing surgical masks on the reduction of influenza aerosol transmission. Their results demonstrated that surgical masks reduced viral emission by 25-fold in the coarse aerosols ($> 5 \mu\text{m}$) and by 2.8-fold in the fine aerosols ($\leq 5 \mu\text{m}$) (Milton *et al.*, 2013). According to a CDC (Centers for Disease Control and Prevention, US) updated guidance, two methods can overcome this issue (CDC, 2021). One is wearing a cloth mask or using a mask fitter over a surgical mask; the other is knotting the ear loops and tucking in the side pleats. Recent laboratory-based experiments have shown that both methods could substantially reduce the risk of exposure to airborne particles when compared to the regular-used surgical mask alone (Mueller *et al.*, 2020; Clapp *et al.*, 2021; Sankhyan *et al.*, 2021). In the study by Rothamer *et al.* (2021), a comparison between the surgical mask alone and the surgical mask with a mask fitter was explored. The overall filtration efficiency significantly increased from 44.7% to 93.9% when the mask fitter was used (Rothamer *et al.*, 2021). Another study observed that knotting the ear loops and tucking in the side pleats of the surgical masks cause a significant improvement in the overall filtration efficiency (60.3%) when compared to the regular-used surgical masks (38.5%) (Clapp *et al.*, 2021). The principle of these methods is to improve the mask fit and prevent air from leaking in and out around the edges of surgical masks. This also highlights the importance of a good fit to maximize the effectiveness of masks (Pan *et al.*, 2020).

Filtering facepieces respirators (FFRs, e.g., N95/FFP2, N99/FFP3) are tight-fitting and disposable devices with high filtration efficiency. In contrast with surgical masks, they are intended to provide respiratory protection. The filter media of NIOSH (National Institute for Occupational Safety and Health)-approved N95 respirators, for instance, is capable of filtering at least 95% of aerosols in the count median diameter of 0.075 μm at a high flow rate of 85 L min^{-1} (NIOSH, 2019). As a result, their filtration efficiencies at tidal breathing conditions (lower flow rates) are expected to be higher than the tested ones (Huang *et al.*, 2013). Zhou and Cheng (2017) also investigated the filtration efficiency of the N95 FFR using the challenge aerosol of titanium dioxide at flow rates of 30, 85, 130 L min^{-1} . Their results showed that the minimum filtration efficiency increased from around 93% to over 99%, with decreasing flow rate from 130 to 30 L min^{-1} (Zhou and Cheng, 2017). However, FFRs can provide the claimed effectiveness for the wearer only when FFR performs a tight seal on the wearer’s face (Ardon-Dryer *et al.*, 2021). The presence of leaks, even for small leaks, will lead to unfiltered air drawn inside the FFR and drastically compromise the effectiveness of the FFR (Noti *et al.*, 2012; Ippolito *et al.*, 2020). Chen *et al.* (1990) examined the effect of the face seal leakage in the FFR (disposable dust/mist respirator) on the aerosol penetration. A small tube of 4 mm diameter was added to the FFR to mimic the face seal leak. With increasing leak hole size from 0 to 4 mm at the flow rate of 32 L min^{-1} , the penetrations of 1- and 4- μm aerosol



particles increased *ca.* 6 and 10%, respectively (Chen *et al.*, 1990). Thus, the wearer must find the best fitting model and size of FFRs. To ensure that FFR can provide adequate airborne protection, fit testing is required per OSHA (Occupational Safety and Health Administration, US) regulations for the wearer. Fit testing can determine whether a specific FFR obtains an acceptable fit on a specific wearer. However, due to the shortage of FFRs during the pandemic, wearers hardly have an option to choose, not to mention adopting fit testing. This may enhance their risks of acquiring infection when working in a hazardous environment (Fakherpour *et al.*, 2021). Moreover, no modified procedures have been approved for improving the fit of FFR. Unlike the surgical mask, it is not recommended to use FFR with other masks. This is because any difference in breathing resistance may cause unexpected leaks, and additional mask filter media and straps may alter the original fit of FFR (Nelson and Colton, 2000). Thus, CDC guidance does not recommend combining FFR and any other mask (CDC, 2021).

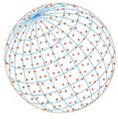
For people who cannot find a proper fit of FFR or has a low tolerance for wearing FFR, a powered air-purifying respirator (PAPR) is an alternative to provide respiratory protection (Licina *et al.*, 2020). Conventional PAPRs consist of a battery-operated blower that forces positive airflow through filters and/or cartridges and into the wearer's breathing zone. These devices can be used for protection against aerosols and various hazardous gases, depending on which filters or cartridges are used. High-efficiency particulate air (HEPA) filter considered as a filter of choice for infection control airborne precautions is generally used in PAPR. As the blower actively introduces filtered air to maintain positive pressure inside the respirator, no fit testing is required for NIOSH-approved PAPRs with loose-fitting headgear (e.g., hoods and helmets) (CDC, 2020). Although PAPRs offer several advantages, they are far clumsier and more expensive than other types of RPEs. Thus, PAPRs are generally used for protection against SARS-CoV-2 in healthcare settings. Recently, wearable air purifiers have been released, referred to as Breath Responsive PAPR (BR-PAPR). This purifier has integrated all components of conventional PAPR into a half-face respirator with an auto-feedback system controlling the blower speed (Zhao *et al.*, 2021). It retains the advantages of PAPR and resolves the issue of clumsiness and expensiveness. The BR-PAPR has a great potential to use for respiratory protection in the future (Chughtai *et al.*, 2020).

There is a continuing debate on the benefits and risks of using FFRs with exhalation valves and PAPRs during the pandemic (Chang *et al.*, 2020; Ippolito *et al.*, 2020). These types of RPEs are originally designed for respiratory protection instead of source control. Thus, although they enable to reduce contamination and exhalation effort substantially, the unfiltered exhaled air from the wearer will directly stream into the environment. Infected individuals should not use these RPEs, as the risks associated with these designs are not intuitive to the general population as well as healthcare practitioners. This may cause an additional and underrecognized transmission source. On the contrary, people who are not suspected of SARS-CoV-2 or recently tested negative may still be suitable to use these RPEs. Although the World Health Organization (WHO) does not advise using an FFR with an exhalation valve during this pandemic (WHO, 2020), there is still a need to use these types RPEs, particularly for healthcare practitioners work long hours at significant risk of contracting SARS-CoV-2.

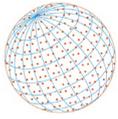
In the age of SARS-CoV-2, there is an urgent need for knowledge about RPEs. The correct selection and use of RPEs are of pivotal importance for the general population and healthcare practitioners. Recent evidence highlights the role of good fit in ensuring the effectiveness of RPEs. To achieve good fit, fit testing for FFRs is necessary and should be implemented. Lastly, it is also essential for health authorities to reconsider and timely update guidelines for the use and selection of RPEs. This can help increase compliance and break the transmission chain of SARS-CoV-2.

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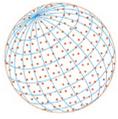
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