

Supplementary material:

Assessing the impact of traffic emissions on fine particulate matter and carbon monoxide levels in Hanoi through COVID-19 social distancing periods

Nhung H. Le¹, Bich-Thuy Ly^{2, *}, Phong K. Thai³, Gia-Huy Pham⁴, Ich-Hung Ngo², Van-Nguyet Do¹, Thuy T. Le⁵, Luan V. Nhu⁷, Ha Dang Son⁷, Yen-Lien T. Nguyen⁸, Duong H. Pham⁵, Tuan V. Vu⁹

¹*Live & Learn for Environment and Community, No.24 Lane 45B, Vong Thi, Tay Ho, Hanoi, Vietnam*

²*School of Environmental Science and Technology, Hanoi University of Science and Technology, No.1 Dai Co Viet Street, Hanoi, Vietnam*

³*Queensland Alliance for Environmental Health Sciences (QAEHS), The University of Queensland, Woolloongabba, 4102, QLD, Australia*

⁴*Graduate School of Global Environmental Studies, Kyoto University, Yoshida-Honmachi, Sakyo-ku, Kyoto, 606-8501, Japan*

⁵*Hanoi Environmental Protection Agency, Department of Natural Resources and Environment, No.13 Trung Yen 3, Trung Hoa Street, Cau Giay District, Hanoi, Vietnam*

⁶*Ministry of Natural Resources and Environment, Northern Center for Environmental Monitoring, No.556 Nguyen Van Cu Street, Gia Thuy Ward, Long Bien District, Hanoi, Vietnam.*

⁷*Centre for Energy and Green Growth Research, 8/44/3 Ham Tu Quan Street, Hoan Kiem District, Hanoi, Vietnam*

⁸*Faculty of Transport Safety and Environment, University of Transport and Communications, No.3 Cau Giay, Hanoi, Vietnam*

⁹*Environmental Research Group, School of Public Health, Imperial College London, Norfolk Place, London W2 1PG, UK.*

* Corresponding author. Tel: +84 85 698 1722

E-mail address: thuy.lybich@hust.edu.vn

Section S1. Meteorological conditions in study periods

Fig. S3. presents the meteorological conditions in the study period. In the period, the dominant wind came from the northeast, east and southeast, with wind speed ranged from 0-2 to 6.6-8.9 m/s (Fig. 6(a)). A small portion of wind came from northwest and north, with wind speed mainly < 4 m/s. The effects of wind on PM_{2.5} concentrations are further discussed in Section 3.2.1.

Air mass trajectories were distributed into four clusters: C1 – Northeast originated, moved toward Hanoi via South-China sea (21.6%); C2 – Northeastern originated, entered from continent China (26.6%); C3 – Southeastern originated, passing over South-China sea (25.5%); C4 – Southwestern cluster originated from Laos-Cambodia region (26.4%) (Fig. 6(b)).

The temperature varied and gradually increased from March to April, rarely surpassed 25°C (Fig. 6(c)). Since May, the temperature became different, grew to higher than 25°C. Effects of temperature on PM_{2.5} concentrations were also demonstrated and discussed in previous studies (Hien et al., 2002, Ly et al., 2018, Ly et al., 2020). Relative humidity ranged between 60 to 95% (Fig. 6(d)). The relative humidity in May was almost always smaller than 80%. Radiation also gradually increased from March to May (Fig. 6(e)). The radiation in March and April was in the range of approximately 50 to 250 Wm⁻², except for the increase to over than 300 Wm⁻² in late April. In May, higher radiation was observed ranging from 150 to approximately 300 Wm⁻².

Regarding precipitation, the heaviest rain (1.5 mm) in the study period happened at the beginning of March (Fig. 6(f)). Afterward, almost no rainfall occurred for the rest of month. April observed two events of slight rain with less than 0.5 mm. In May, rainfall was higher than in April in both frequency and intensity, though precipitation rate barely reached 0.5 mm (except for one occasion that got 0.5 < depth < 1.5 mm. It is worth noted that the precipitation in this study periods was very small and the effects on air pollutants' concentrations were negligible.

Section S2. Calculation of the reduction of emission from transportation in lockdown period

In order to estimate the effects of transportation on the air quality during the social distance period in Hanoi, the emission of CO and PM_{2.5} are calculated as follows:

$$E_{e,i} = N \times EF_i \times VKT \times 10^{-3} \text{ (kg/day)} \quad [1]$$

Where: $E_{e,i}$ is the total emission of pollutant i (kg/day); N is the number of vehicles of the estimated vehicle type (e.g. motorcycle, bus); EF_i is the emission factor of pollutant i for the estimated vehicle type (g/km); VKT is the daily average vehicle kilometers travelling of the estimated vehicle (km/day). In this study only the emissions of motorcycle and truck were focused as motorcycle has a highest share in the fleet and bus has a highest daily driving distance (average distance of 212 km/day

(Nguyen Thi Kim Oanh & Huynh, 2015)). The data used in estimating emission are presented in Table S4.

As can be calculated from the emission amount from Table S4, comparing with pre-social distancing period, the amount of air pollutants released from road vehicles in Hanoi in hard social distancing period were impressively reduced, by 49% and 60% for CO and PM_{2.5}, respectively. It implies that the pollutants concentration in ambient air also could be reduced remarkably.

Table S1: Air quality monitoring stations in Hanoi

Station ID	Station name / Location	Characteristics
U-1	Trung Yen	Urban
U-2	Hoan Kiem	Urban
U-3	Tan Mai	Urban
U-4	Kim Lien	Urban
U-5	My Dinh	Urban
T-1	Minh Khai	Traffic
T-2	Thanh Cong	Traffic
T-3	Pham Van Dong	Traffic
T-4	Hang Dau	Traffic

Table S2. Statistical parameters of observed PM_{2.5} and CO data

		00 before_lockdown (N = 458)	01 prepared lockdown (N = 264)	02_lockdown (N = 528)	03_after_lockdown (N = 933)
2020 PM2.5 traffic observations					
Min		13	22	12	0
Max		158	94	121	122
Median		59.1	53.0	52.6	41.5
mean (95% confidence intervals)		62.2 (95% CI: 59.33, 65.01)	53.0 (95% CI: 51.07, 54.90)	53.6 (95% CI: 51.62, 55.65)	43.9 (95% CI: 42.83, 44.87)
2020 PM2.5 urban observations					
Min		7	11	7	0
Max		128	83	109	96
Median		46.1	38.6	41.7	31.5
mean (95% confidence interval)		48.1 (95% CI: 45.78, 50.45)	39.7 (95% CI: 38.09, 41.32)	42.0 (95% CI: 40.38, 43.63)	34.3 (95% CI: 33.40, 35.09)
		00 before_lockdown (N = 480)	01 prepared lockdown (N = 264)	02_lockdown (N = 528)	03_after_lockdown (N = 936)
2019 PM2.5 traffic observations					
Min		19	22	17	17
Max		169	122	117	118
Median		50.1	58.7	45.5	44.2
mean (95% confidence interval)		60.9 (95% CI: 57.9, 63.90)	64.0 (95% CI: 60.9, 67.1)	47.1 (95% CI: 45.85, 48.42)	45.8 (95% CI: 44.90, 46.65)
2019 PM2.5 urban observations					
Min		13	19	16	16
Max		125	120	102	84
Median		41.3	52.6	39.0	35.8
mean (95% confidence interval)		50.2 (95% CI: 47.8, 52.62)	54.5 (95% CI: 51.9, 57.2)	39.8 (95% CI: 38.76, 40.86)	36.6 (95% CI: 35.87, 37.25)
		00 before_lockdown (N = 451)	01 prepared lockdown (N = 264)	02_lockdown (N = 528)	03_after_lockdown (N = 940)
2020 CO traffic observations					
Min		305	276	233	0
Max		4950	4899	4783	6388
Median		1224.0	960.0	976.8	1407.8
mean (95% confidence interval)		1,416.8 (95% CI: 1,341.48, 1,492.11)	1,155.4 (95% CI: 1,070.2, 1,240.6)	1,142.0 (95% CI: 1,081.62, 1,202.37)	1,525.8 (95% CI: 1,471.08, 1,580.54)
2020 CO urban observations					
Min		45	52	79	0
Max		3284	1984	3652	3695
Median		674.0	432.3	532.8	573.7
mean (95% confidence interval)		803.5 (95% CI: 755.05, 852.02)	517.8 (95% CI: 479.4, 556.3)	622.3 (95% CI: 587.59, 656.93)	640.3 (95% CI: 613.70, 666.90)
		00 before_lockdown (N = 480)	01 prepared lockdown (N = 264)	02_lockdown (N = 528)	03_after_lockdown (N = 936)

2019 CO traffic observations					
Min		473	424.0	364	399
Max		5699	3906	8161	5056
Median		1786.9	1567.2	1714.3	1561.3
mean (95% confidence interval)		1,870.0 (95% CI: 1,794.57, 1,945.35)	1,598.6 (95% CI: 1,518.3, 1,678.9)	1,827.2 (95% CI: 1,751.3, 1,903.2)	1,638.2 (95% CI: 1,590.9, 1,685.5)
2019 CO urban observations					
Min		198	170	124	143
Max		3381	1767	2560	2583
Median		757.6	772.4	621.0	688.4
mean (95% confidence interval)		815.5 (95% CI: 778.22, 852.86)	843.5 (95% CI: 800.6, 886.4)	739.2 (95% CI: 703.2, 775.2)	751.1 (95% CI: 728.9, 773.3)

Table S3: Model performance parameters of weather normalized PM_{2.5} at traffic and urban sites

	Traffic	Urban
<i>Random Forest</i>		
FAC2	0.99	1
MB	-0.72	-0.27
MGE	6.09	5.32
NMB	-0.01	-0.01
NMGE	0.11	0.12
RMSE	8.73	7.48
r	0.97	0.96
COE	0.73	0.70
IOA	0.86	0.85
<i>Normalize Weather</i>		
Type	Regression	Regression
Number of trees	100	100
Sample size	1690	1701
Number of independent variables	16	16
Mtry	7	10
Target node size	5	5
Variable importance mode	permutation	permutation
Split Rule	variance	variance
OOB prediction error (MSE)	79.67	57.30
R squared (OOB)	0.92	0.91

Note: FAC2: fraction of predictions within a factor or two; MB: Mean bias; MGE: Mean Gross Error; NMB: Normalise mean bias; NMGE: Normalise Mean Gross Error; RMSE: Root mean squared error; R: correlation coefficient; COE: coefficient of Efficiency; IOA: Index of Agreement.

Table S4: Model performance of weather normalized CO in traffic and urban sites

	Traffic	Urban
Random Forest		
FAC2	0.95	0.92
MB	-51.54	-8.36
MGE	348.62	179.11
NMB	-0.037	-0.01
NMGE	0.25	0.27
RMSE	610.58	316.81
r	0.76	0.76
COE	0.47	0.45
IOA	0.73	0.73
Normalize weather		
Type	Regression	Regression
Number of trees	100	100
Sample size	1,690	1,706
Number of independent variables	15	17
Mtry	4	5
Target node size	5	5
Variable importance mode	Permutation	Permutation
Split rule	Variance	Variance
OOB prediction error (MSE)	313476.8	108202.4
R squared (OOB)	0.60	0.6

Note: FAC2: fraction of predictions within a factor or two; MB: Mean bias; MGE: Mean Gross Error; NMB: Normalise mean bias; NMGE: Normalise Mean Gross Error; RMSE: Root mean squared error; R: correlation coefficient; COE: coefficient of Efficiency; IOA: Index of Agreement.

Table S5. Estimating the CO, PM_{2.5} emission reduction relating to transportation during the social distance period

Input parameters	Motorcycle	Bus*	Source
The number of vehicles	3008280	1634	(Bus-WebGPS, 2018; Nguyen et al., 2019)
VKT (km/day)	20	212	(Kim Oanh et al., 2012; Kim Oanh & Huynh, 2015)
EF _{CO} (g/km)	12.09	2.9	(Nghiem et al., 2019; Tung et al., 2011)
EF _{PM2.5} ^(**)	0.088	3.0	(Kim Oanh et al., 2012; Lien & Dung, 2017)

Estimating emission reduction	Motorcycle	Bus	Total
Baseline period (13/1/2020)			
Mobility reduction percentage	0	0	
The amount of CO emission (kg)	727402	1005	728407
The amount of PM10 emission (kg)	5295	1039	6334
Pre-social distancing period			
Mobility reduction percentage	30	0	
The amount of CO emission (kg)	509181	1005	510186
The amount of PM10 emission (kg)	3706	1039	4745
Soft-social distancing period			
Mobility reduction percentage	53	52	
The amount of CO emission (kg)	341879	482	342361
The amount of PM10 emission (kg)	2488	499	2987
Hard-social distancing period			
Mobility reduction percentage	64	100	
The amount of CO emission (kg)	261865	0	261865

The amount of PM10 emission (kg)	1906	0	1906
<hr/>			
Post-social distancing period			
Mobility reduction percentage	19	20	
The amount of CO emission (kg)	589196	804	589999
The amount of PM10 emission (kg)	4289	831	5120

Note: () Bus number for each period is collected at Hanoi. (***) We applied the EF_{PM10} from previous studies due to lacking the study results of $EF_{PM2.5}$ for the road vehicle in Vietnam. However, in these studies, EF_{PM10} were determined according to the particle matter emission from the vehicle exhaust. In fact, nearly all particle matters released from the vehicle exhaust are found in the form of fine fraction ($PM_{2.5}$)(Brizio & Genon, 2008; Ketzel et al., 2007). Therefore, an approximation may estimate $EF_{PM2.5}$ equal to EF_{PM10} .*

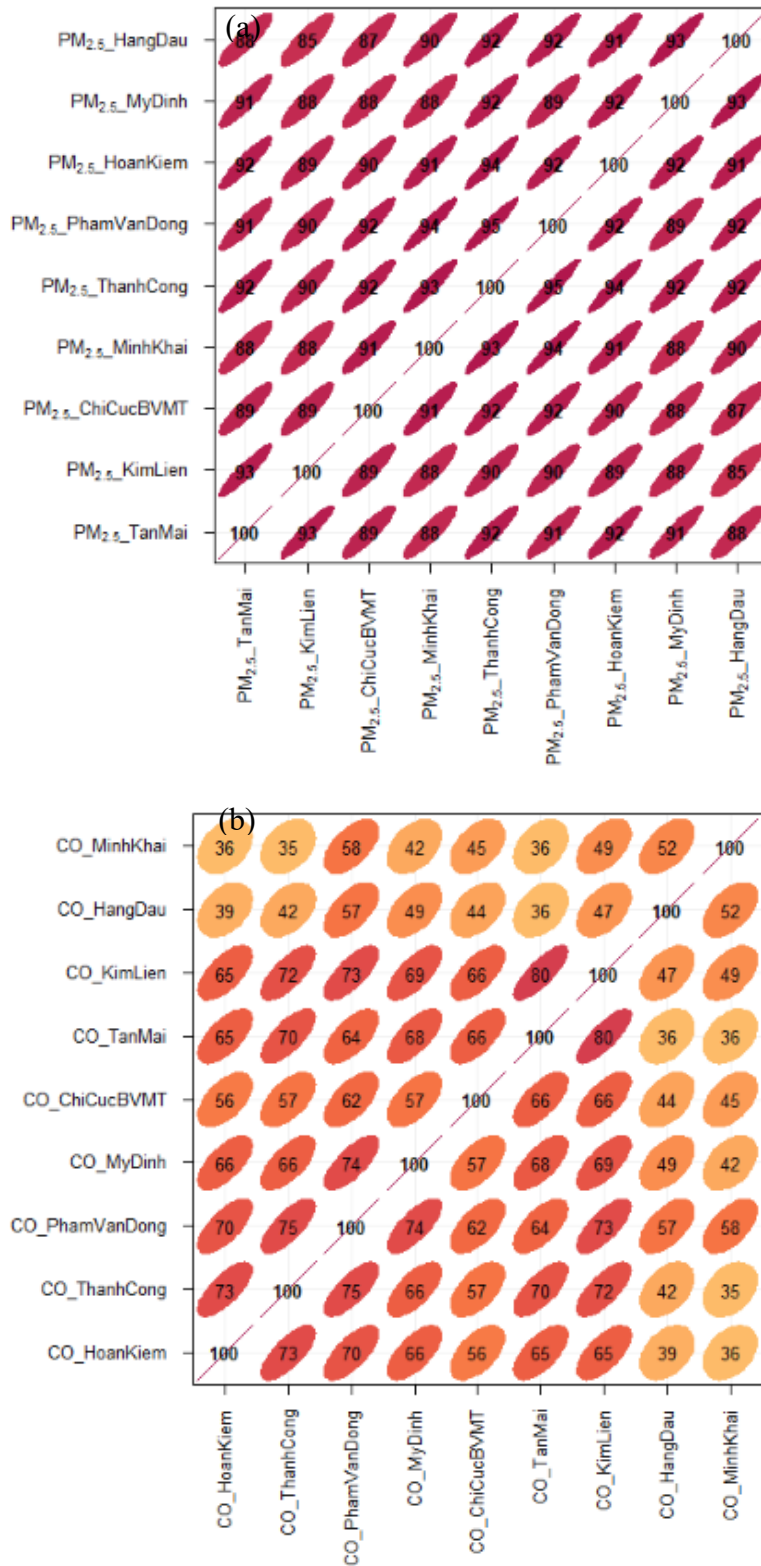


Fig. S1. The Pearson correlation coefficients (r) s in nine stations in Hanoi: a) PM_{2.5}, b) CO

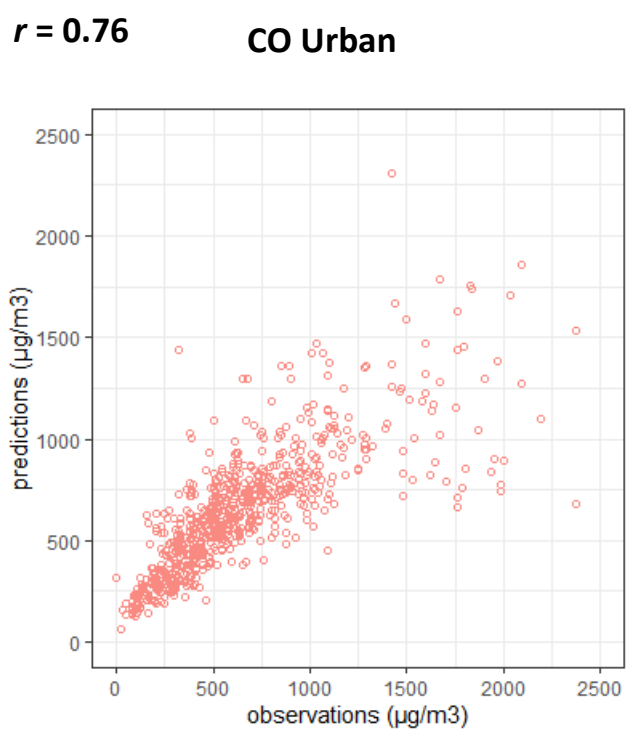
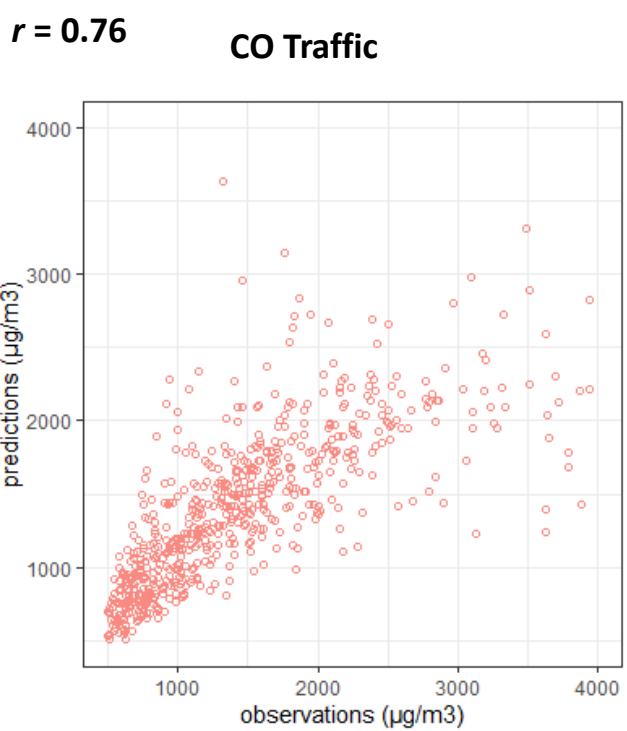
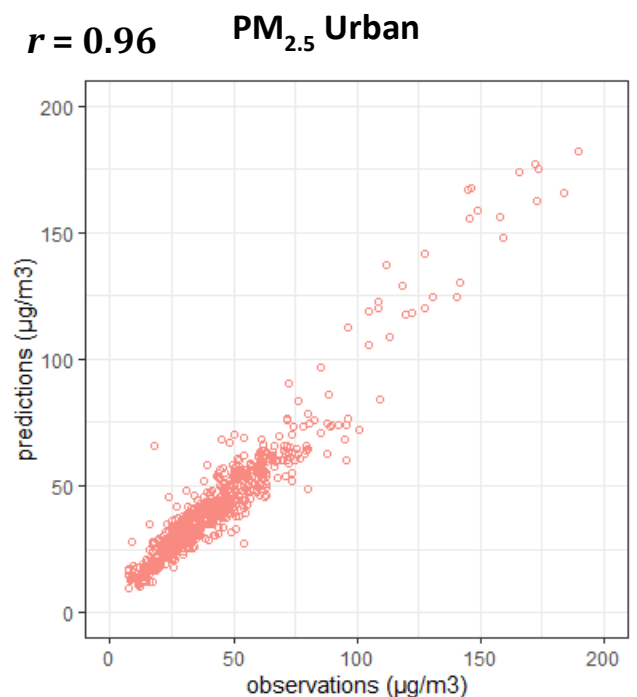
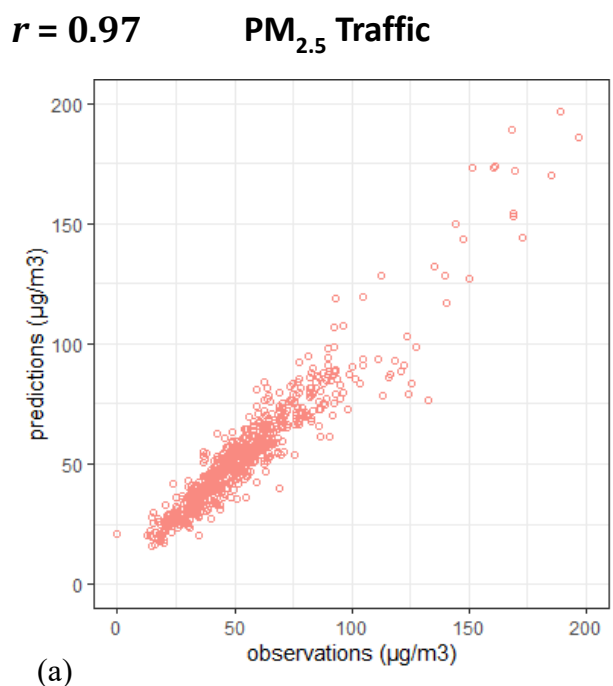


Fig. S2. The correlations between the predicted and observed concentrations in traffic and urban sites: a) PM_{2.5}, b) CO

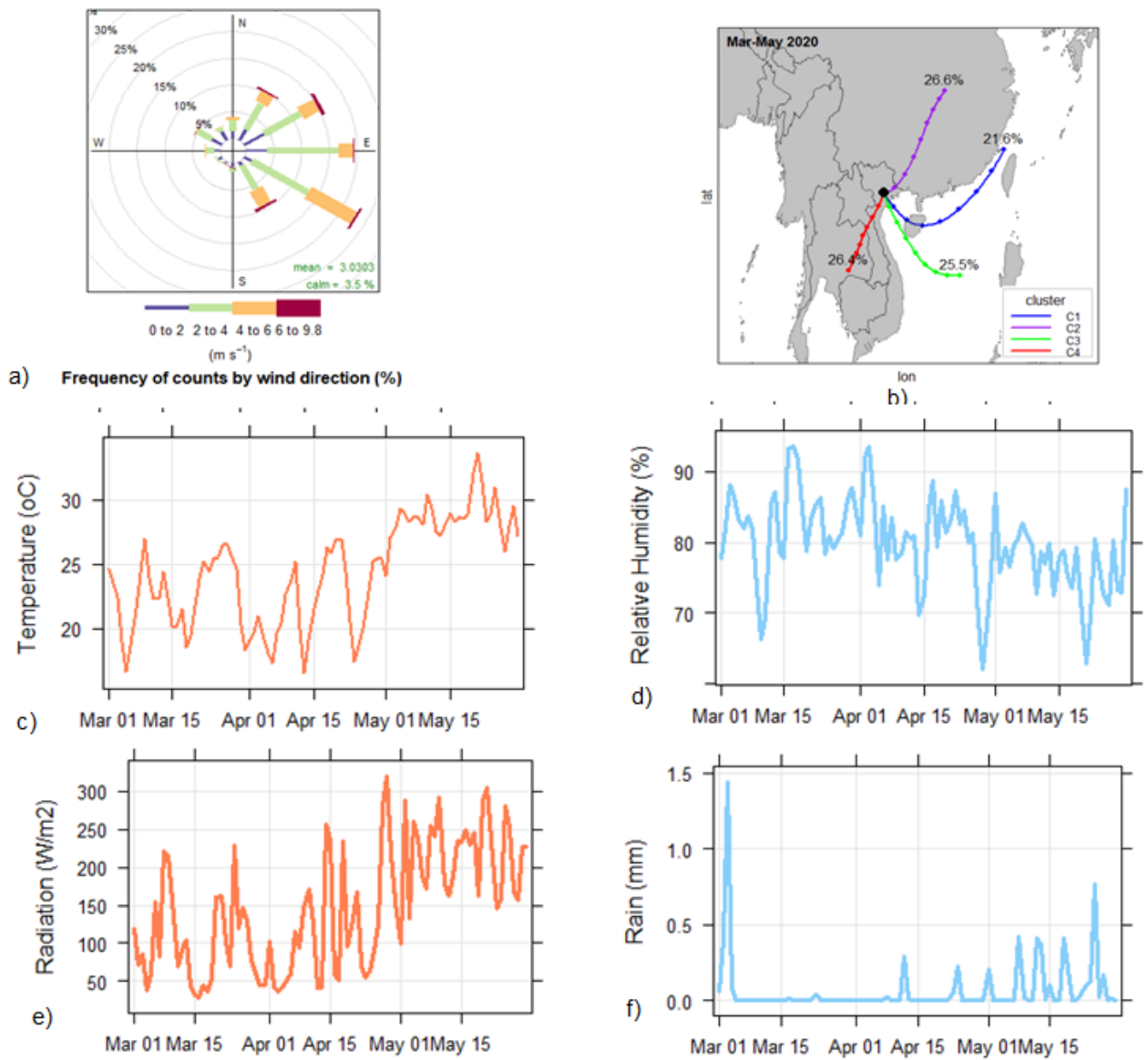


Fig. S3. Meteorological conditions in the study period: a) Wind direction; b) Air mass trajectory cluster to Hanoi; c) Temperature; d) Relative humidity; e) Radiation; f) Rain

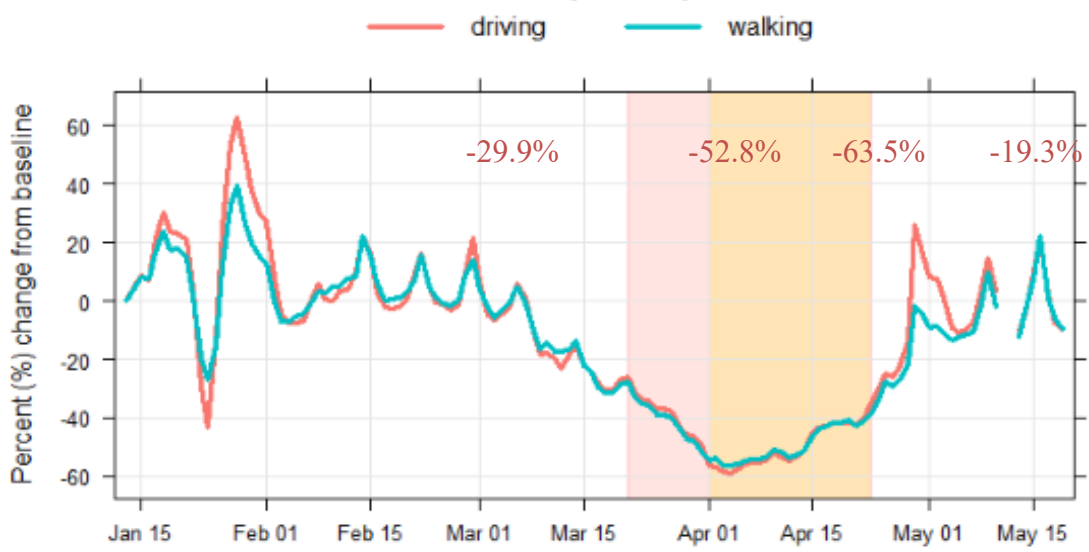


Fig. S4. The mobility trend profile of Vietnam from Jan 2020 to May 2020 obtaining from Apple

REFERENCES

- Brizio, E., & Genon, G. (2008). Definition of PM₁₀ emission factors from traffic: Use of tracers and definition of background concentration. *WIT Transactions on Ecology and the Environment*, 116, 57–66. <https://doi.org/10.2495/AIR080071>
- Bus-WebGPS. (2018). *Bus operator management*. TRANSERCO. URL <http://dieuhanhxebuyt2.transerco.vn> (accessed by 30 July 2020)
- Ketzel, M., Omstedt, G., Johansson, C., Düring, I., Pohjola, M., Oettl, D., Gidhagen, L., Wählin, P., Lohmeyer, A., Haakana, M., & Berkowicz, R. (2007). Estimation and validation of PM_{2.5}/PM₁₀ exhaust and non-exhaust emission factors for practical street pollution modelling. *Atmospheric Environment*, 41(40), 9370–9385. <https://doi.org/https://doi.org/10.1016/j.atmosenv.2007.09.005>
- Kim Oanh, N. T., & Huynh, H. Van. (2015). Comparative assessment of traffic fleets in Asian cities for emission inventory and analysis of co-benefit from faster vehicle technology intrusion. *Emissions Inventory Conference, July 2016*, 0–10. <https://doi.org/10.13140/RG.2.1.1545.5764>
- Kim Oanh, N. T., Thuy Phuong, M. T., & Permadi, D. A. (2012). Analysis of motorcycle fleet in Hanoi for estimation of air pollution emission and climate mitigation co-benefit of technology implementation. *Atmospheric Environment*, 59, 438–448. <https://doi.org/https://doi.org/10.1016/j.atmosenv.2012.04.057>
- Lien, N. T. Y., & Dung, N. T. (2017). The Determination of Driving Characteristics of Hanoi Bus System and Their Impacts on the Emission. *Journal of Science and Technology*, 55(1), 74–83. <https://doi.org/10.15625/0866-708x/55/1/8398>
- Nghiem, T.-D., Nguyen, Y.-L. T., Le, A.-T., Bui, N.-D., & Pham, H.-T. (2019). Development of the specific emission factors for buses in Hanoi, Vietnam. *Environmental Science and Pollution Research*, 26(23), 24176–24189. <https://doi.org/10.1007/s11356-019-05634-9>
- Nguyen, H. D., Takagi, M., Bui, H. L., Nguyen, T. L. H., Nguyen, B. N., Luu, T. T., & Nguyen, T. D. (2019). Project: Building traffic safety strategy for motorbikes and action plan: a start of Vietnam (Code: TRN/FAC/12/006/REG). Vietnam Ministry of Transport (in Vietnamese).
- Tung, H. D., Tong, H. Y., Hung, W. T., Anh, N. T. N. (2011). Development of emission factors and emission inventories for motorcycles and light duty vehicles in the urban region in Vietnam. *Science of The Total Environment*, 409(14), 2761–2767. <https://doi.org/https://doi.org/10.1016/j.scitotenv.2011.04.013>