



China Source Profile Shared Service (CSPSS): The Chinese PM_{2.5} Database for Source Profiles

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ABSTRACT

China Source Profile Shared Service (CSPSS, www.speciate.org.cn), a new database of emission source profiles for particulate matter (PM), has been developed by researchers from Chinese Research Academy of Environmental Sciences (CRAES). The first release of CSPSS 1.0 consists of comprehensive data from China that reveals the emission profiles of different sources in selected regions. Related source categories include coal-fired boiler, industrial process, fugitive dust, vehicle exhaust emissions, biomass burning and cooking. Compositing methodology and data quality control were applied to create high quality composite profiles of each source category. Statistical measures of correlation coefficient, t-test and distribution of weighted differences can be used to compare the similarities and differences among individual and composite profiles. In addition, differences between data of SPECIATE and CSPSS were compared. The chemical composition shows special characteristics in different source categories. For example, SO₄²⁻ and OC mark coal-fired boiler; Ca and Ca²⁺ are the most abundant elements in cement production and construction dust emissions; Cl⁻, K⁺ and K mark biomass burning; several metals such as V, Zn, Sn and Pb could be used as tracers for paved road dust while Sr, Ba and Pb marked industrial emissions. The highest abundances of organic matter are observed in cooking emissions. Toxic species such as Cr and As are enriched in PM_{2.5} from coal combustion. Distinguished features of source profiles between SPECIATE and CSPSS indicate that the knowledge of local source profiles are needed for further research. This database should better reflect the emission profiles observed in Chinese environment. Sensitivity tests have been conducted to examine the impact of sub-composite source profiles usually used to establish the composite ones. The result shows that the use of sub-composite source profiles of coal combustion dose not impact the apportionment results for biomass burning, but other sources are varying influenced.

Keywords: Database; Source profiles; PM_{2.5}.

INTRODUCTION

High ambient concentrations of particulate matter (PM) have been received great concern in China, regarding its potential impact on air quality, global climate and human health (Cao *et al.*, 2012a; Huang *et al.*, 2014; Tao *et al.*, 2014; Wang *et al.*, 2014; Liu *et al.*, 2016a). In order to guide local controls of urban air quality, the Ministry of Environmental Protection (MEP) in China recently issued the Technical Policy on the Comprehensive Prevention and Control of Atmospheric Fine Particulate Matter Pollution (MEP, 2013). Chemical source profiles are relative mass abundances of measured chemical species to the total PM in emission sources. Local or regional chemical profiles are usually used as input data for source apportionment of

receptor models such as chemical mass balance (CMB) and Positive Matrix Factorization (PMF) (Watson *et al.*, 2002; Samara, 2005; Held *et al.*, 2005; Khan *et al.*, 2010; Kong *et al.*, 2010; Liu *et al.*, 2015; Pernigotti *et al.*, 2016; Zhang *et al.*, 2016). In addition, the fingerprints of source profiles can be used to interpret ambient measurement data, verify with multivariate model factors, and create emission inventories (Simon *et al.*, 2010).

Since 1980s, the US, Europe and other developed countries have begun to carry out in source apportionment and emission inventories (Bo *et al.*, 2008). The SPECIATE database of US EPA is currently the most comprehensive collection of source profiles available, containing over 3000 PM profiles from literatures (Simon *et al.*, 2010). Related source categories include fugitive dust, motor vehicle exhaust, biomass burning, industrial boilers, residential coal burning and so on. Detailed information, such as source categories, sampling and analytical methods and data quality assessment, is also recorded. To gain more knowledge about the European environment, The SPECIEUROPE database

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of PM emission source profiles became accessible in 2015 (Pernigotti *et al.*, 2016); in that paper, the authors explored the relationships between profiles from different sources using cluster analysis. In China, studies on atmospheric pollution have been rapidly developed in recent years. An increasing number of studies have devoted to emission features for both anthropogenic and natural pollution sources (Zhang *et al.*, 2007, 2008; Zhang *et al.*, 2012; Han, 2014; Kong, 2014; Zhang *et al.*, 2014a; Cheng *et al.*, 2015; Huang *et al.*, 2015; Zhao *et al.*, 2015; Li *et al.*, 2016; Liu *et al.*, 2016b; Pei *et al.*, 2016; Tian *et al.*, 2016; Wu *et al.*, 2016). For example, Shen *et al.* (2016) discussed chemical species' characteristics of fugitive dust from northern Chinese cities on regional scale. Wu *et al.* (2016) analyzed emission characteristics of diesel exhausts in Beijing, and compared differences between profiles of vehicle emission standard of China III and those of China IV. However, more local source profile measurements are still necessary for accurate source apportionment results. The source emission characteristics between China and other countries may be discrepant for the different fuel feeds, control technology or emission standard. For example, local and non-local source profiles of coal combustion may be different because the emissions are largely depended on constituents of local used coal, which vary greatly in different region of the world. Source profiles conforming to national conditions are needed.

China Source Profile Shared Service (CSPSS, www.speiate.org.cn) was developed by researchers in the Chinese Research Academy of Environmental Science (CRAES). Hundreds of original (derived from measured results) and composite (merging different source profiles of subcategories) profiles have been collected in the CSPSS. Related source categories include coal-fired boiler, industrial process,

fugitive dust, vehicle exhaust, biomass burning cooking. The objectives of CSPSS are to develop the shared database service of speciation profiles for different regions of China, provide possible fingerprints for regional sources, and supply scientific supports for receptor models and air quality management.

The paper includes two parts: the first part shows the structure of database, methodology for establishing source profiles and characteristics of source profiles present in the database (see the section GENERAL DESCRIPTION OF DATABASE). The second part conducts sensitivity tests for source apportionment results (see the section SENSITIVITY OF SOURCE APPORTIONMENT RESULTS).

GENERAL DESCRIPTION OF DATABASE

Structure of the Database

The construction of the database consists of two parts (as reported in Fig. 1), i.e., reference data input from previous studies and new source profile establishment. For the reference data, species with CAS ID, relative concentrations and their uncertainties are allocated on the basis of source categories. Basic information on sources and publication are also reported when available. For the new measured profiles, sampling and analytical methods were included. For example, dilution sampling system (dilution ratio is 11–15) was applied to stationary sources developed for real-world source characterization (Wang *et al.*, 2012) while resuspended method was used for test of fugitive dust emissions (Chow *et al.*, 2003; Ho *et al.*, 2003; Cao *et al.*, 2008). The filter samples were chemically analyzed to obtain original source profiles, with three parallels for each. Specifics of analytical methods used in this study are

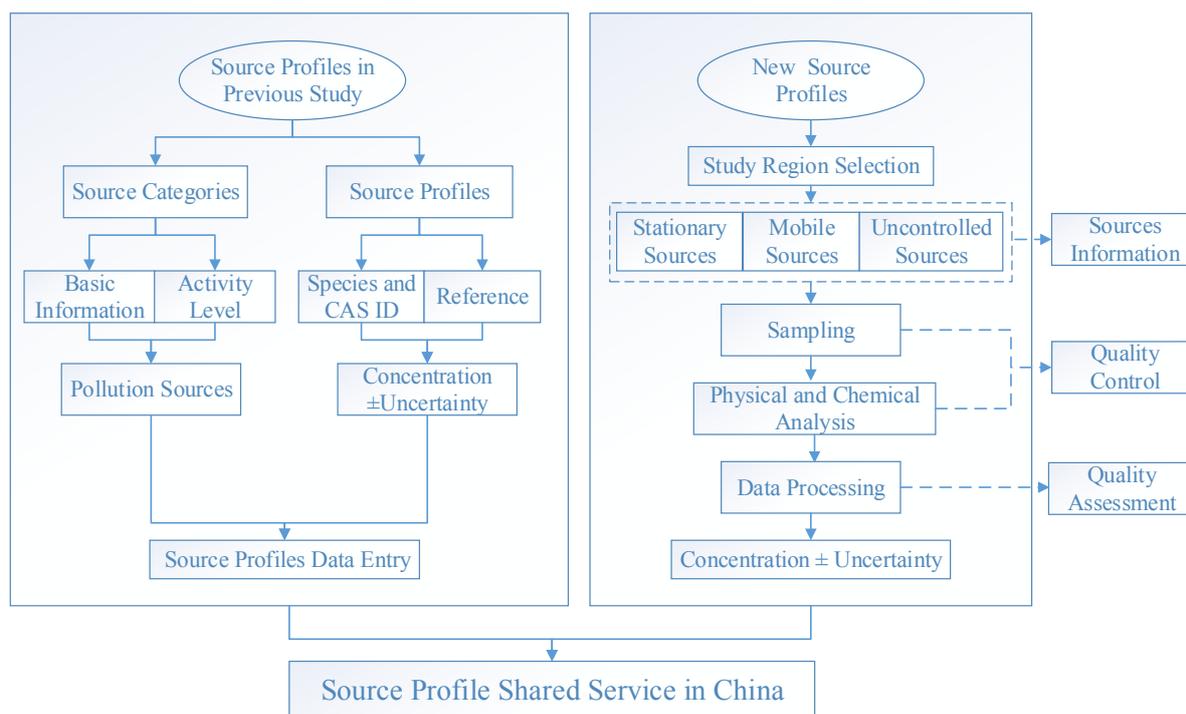


Fig. 1. Idea flow of the construction of CSPSS.

detailed in Ren *et al.* (2014). The quality rating scheme in this study refers to the profile rating criteria described in EPA SPECIATE database development documentation (Ying *et al.*, 2016). These data were uploaded to CSPSS for further research.

The java web developed by struts spring hibernate (SSH) framework is applied to CSPSS database. SSH framework is a collection of Spring, Struts and Hibernate, which are three Java-based frameworks for web development. SSH framework improves the efficiency of software development and separate the whole project into low coupling layers. The web mainly contains three parts. Source categories and profiles information, analytical methods and data sources are described in Search part. Licensed users can upload source profiles in Upload part, which should be agreed by administrator for the quality control. For Source Apportionment part, the shared platform was designed to be integrated with the receptor models, which would make the online source apportionment analysis be carried out conveniently.

The first version of CSPSS (CSPSS 1.0) consists of over 500 PM profiles of various source categories from Chinese cities during 2003 to 2015. These profiles are derived from scientific papers including fugitive dust from Chinese Loess Plateau (Cao *et al.*, 2008), Asian dust source areas (Zhang *et al.*, 2014b), north plain (Shen *et al.*, 2016), southwest basin (Liu *et al.*, 2016b) and other Chinese regions (Ho *et al.*, 2003; Zhao *et al.*, 2006; Han *et al.*, 2009; Kong *et al.*, 2011; Han *et al.*, 2011; Chen *et al.*, 2012; Han *et al.*, 2014; Kong *et al.*, 2014; Cheng *et al.*, 2015), coal-fired boilers (Zhang *et al.*, 2008; Wang *et al.*, 2009; Pei *et al.*, 2016), industrial sources (Zheng *et al.*, 2013); biomass burning (Zhang *et al.*, 2007; Zhang *et al.*, 2012; Tao *et al.*, 2015) and vehicle exhaust (Wu *et al.*, 2016). Source profiles are also collected from source apportionment studies in Chinese cities such as Beijing, Tianjin, Chongqing and so on.

Methodology for Establishing Source Profiles

Data Quality Control Methodology

Over 200 profiles of CSPSS1.0 were found to be derived from measurements of PM₁₀ or TSP. These profiles were excluded. To obtain high quality PM_{2.5} source profiles, some of these profiles were excluded for the sum of measured chemical abundances exceeding 100%. Source profiles of which the number of samples < 3 and test year before 2006 were also excluded according to SPECIATE's profile rating criteria. In addition, we calculated additional species that were not in the original source profiles to obtain the reconstructed mass (RM). Six major constituents in profiles were estimated: crustal minerals, trace components, organic matter, inorganic ions, elemental carbon and other ions. The seven constituents composed of multiple species are calculated as follows:

(1) *Crustal minerals* were expressed as $1.89\text{Al} + 2.14\text{Si} + 1.4\text{Ca} + 1.2\text{K} + 1.43\text{Fe} + 1.67\text{Ti}$, assuming the common oxide forms of Al₂O₃, SiO₂, CaO, K₂O, Fe₂O₃ and TiO₂ (Macias *et al.*, 1981; Ni *et al.*, 2013). The IMPROVE recommended soil formula expressed minerals as the sum of the oxides of Al, Si, Ca, Ti and Fe, and other

unmeasured compounds were compensated by multiply a factor of 1.16. However, this factor was thought to be overestimated. This can be examined by comparing the calculated crustal mass with the measured mass of samples after subtracting organic matter and ionic concentrations (Chow *et al.*, 2015). Thus the first formula was used in our research.

- (2) *Trace components* were determined by multiplying trace elemental abundances by an oxygen to metal ratio (except for Al, Si, Ca, K, Fe and Ti). Each ratio of the element is obtained from Reff *et al.* (2009) based on the most common oxidation states of metals.
- (3) *Organic matter* (OM) was calculated by multiplying OC abundance by ratio of OM/OC. Chow *et al.* (2015) found that multipliers varied from 1.2 to 2.6 depending on the extent of OM oxidation and secondary organic aerosol formation. In this study, the ratio of 1.25 was used for vehicle exhaust and 1.7 for biomass burning refer to Reff *et al.* (2009); the authors computed the median of OM/OC ratios obtained in previous studies. 1.4 was applied to all other source categories based on the long-standing and most common value used in numerous studies (Chow *et al.*, 2015).
- (4) SO₄²⁻, NO₃⁻ and NH₄⁺ are summed without weighting factors for *Inorganic ions* (Chow *et al.*, 1994).
- (5) The *Other ions* includes Na⁺, Mg²⁺, Ca²⁺, K⁺, F⁻ and Cl⁻.
- (6) EC abundances are obtained from original source profiles without any multiplier.

As such, RM equations take the following form: RM = OM + Crustal minerals + Trace components + Inorganic ions + Other ions + EC. The RM abundances within 80%–120% of the PM_{2.5} emissions were reserved. The deviation may be attributed to unknown sources, measurement errors and improper multipliers.

For the carbon fraction, it is worth noting that different analytical methods lead to different results for OC and EC in the same sample, whereas TC is fairly consistent (Reff *et al.*, 2009; Chow *et al.*, 2015). In this study, the IMPROVE thermal-optical reflectance (TOR) method recommended by IMPROVE (Interagency Monitoring of Protected Visual Environments) was taken as the reference method. To ensure the consistency of test results, the raw OC and EC fractions were summed to calculate TC in each source profile. Average (OC)/average (TC) ratios were calculated for all the profiles using a TOR method for each source category. These ratios were multiplied by the TC values from non-TOR profiles in the same source category to estimate OC values. EC was then re-computed as TC minus the estimated OC for each non-TOR profile (Reff *et al.*, 2009).

Compositing Methodology

After the excluding and carbon correction, 182 high-quality PM_{2.5} profiles were chosen to develop the final composite emission profiles, as shown in Table 1. Averages and standard deviations were calculated to create composite profiles of sub-source categories. Missing values were excluded whereas zeros were included during statistical analysis.

Table 1. Final chosen source profiles in CSPSS1.0.

Source Category	Sub-source Category	Number of Source Profiles
Coal-fired boiler	Grate firing boiler	12
	Fluidized bed	9
	Converter	9
	Pulverized coal boiler	5
Industrial process	Brick	5
	Cement	13
	Iron	9
	Steel	9
	Metallurgy-aluminum	6
Fugitive dust	Paved road dust	20
	Urban resuspended dust	10
	Agricultural soil	21
	Construction dust	17
Vehicle exhaust	Gas	3
	Diesel	5
	Gasoline	6
Biomass burning		11
Cooking		12

To research the representation of the composite source profiles, similarities and differences among individual and composite profiles were compared based on each sub-source categories. Statistical measures used in this section are described as follows: (1) the t-test determines distinction of the chemical abundances; (2) the Pearson's correlation coefficient (r) quantifies the strength of statistical relationship between paired profiles, with $r > 0.8$ as a good correlation according to previous studies (Cheng *et al.*, 2015; Wu *et al.*, 2016); and (3) the distribution of weighted differences [residual (R)/uncertainty (U) = $(C_{i1} - C_{i2})/(\sigma_{i1}^2 + \sigma_{i2}^2)^{0.5}$] quantifies the differences between certain species from paired profiles where C_{ij} is chemical abundances for species i from source j and σ_{ij} is uncertainties (standard deviations in this study) of C_{ij} . The normal probability function is used to evaluate the R/U ratios (68%, 95.5% and 99.7% for $\pm 1\sigma$, $\pm 2\sigma$ and $\pm 3\sigma$, respectively). When $r > 0.8$, $p > 0.05$ and 80% of the R/U ratios are within $\pm 3\sigma$, the two profiles are considered to be similar, as described by Chow *et al.* (2003). It is worth noting that different data source and artifacts such as analysis process may also result in large R/U ratios. Table 2 gives a case for gasoline vehicle exhaust profiles. Correlation coefficients (r) exceed 0.8 and $p > 0.05$. More than 90% of the R/U ratios showed similarity between paired abundances within $\pm 3\sigma$ except for GV2/GVC. The composite gasoline vehicle exhaust profile is sufficient to represent most chemical abundances from different individual profiles. Similar results are obtained from other sub-source categories. However, large differences are found among the coal-fired boiler and fugitive dust profiles. Over 30% of the Correlation coefficients (r) between paired profiles are lower than 0.7 while average 27% of distribution based on R/U ratios are out of $\pm 3\sigma$. This may be attributed to the different coal and geological characteristics which need to further research. In this study are only discussed the composite profiles deriving from the individual ones.

Characteristics of Source Profiles

Chemical Composition

Twenty-eight elements (Al, Si, K, Ca, Ti, V, Cr, Mn, Fe, Co, Ni, Cu, Zn, Ga, As, Se, Sr, Mo, Cd, Sn, Sb, Ba, La, Ce, Be, W, Tl and Pb), seven ions (Na^+ , NH_4^+ , K^+ , Ca^{2+} , Cl^- , NO_3^- and SO_4^{2-}), and carbon-containing species (organic carbon and elemental carbon) were determined to construct these profiles. Fig. 2 summarized the distributions of chemical abundances from the final composites for eighteen source and sub-source categories in CSPSS.

Distinguishing features were observed among composite profiles for different source types. Coal-fired boiler emissions are fluctuant due to the different coal as well as the methods of desulfurization and dust elimination. SO_4^{2-} and organic carbon were most abundant in coal-fired boiler emissions in this study, accounting for 7.5%–19.3% and 5.3%–19.5%. NH_4^+ accounts for $3.4\% \pm 2.5\%$ of $\text{PM}_{2.5}$ in converter, which indicates that some NH_3 used as reducing agent in denitration device might have escaped and reacted with SO_2 and SO_3 (Pei *et al.*, 2016). Similar results were found in previous studies, for example, SO_4^{2-} (20%–54%) was most abundant species emitted from industrial boilers tested by Wang *et al.* (2009). Zhang *et al.* (2008) observed that elemental and organic carbon emissions from industrial boilers were much lower than those from residential stoves. Zhang *et al.* (2012) observed good correlation between NH_4^+ and SO_4^{2-} from residential coal burning. Watson *et al.* (2001) investigated $\text{PM}_{2.5}$ chemical source profiles for vehicle exhaust, biomass and coal burning in northwestern Colorado. In that paper, carbon fraction ranged from 1% to 10% in coal-fired power plant emissions, from 50% to 90% in biomass burning emissions and over 95% in vehicle exhaust emissions. Heavy metals such as Cu, Pb, Zn and As were highly enriched in coal-fired boiler emissions, which was consistent with the SPECIEUROPE study in Europe (Pernigotti *et al.*, 2016).

The highest abundances of organic matter were observed

in cooking emissions. Fe marked steel and iron production emissions. Sofilić *et al.* (2004) measured electric-arc furnace

(EFA) and found that mass concentrations of Fe, Zn, Pb, Cr and Mn in were over 1%. Ni and Pb are enriched in

Table 2. Statistical measures for similarities and difference among individual and composite profiles (using gasoline vehicle exhaust as an example).

Sub-source category	Profile and abbreviation		Correlation coefficient (r)	T-statistic P value	Distribution ^a (%)				
	Profile No. 1	Profile No. 2			< 1σ	1σ–2σ	2σ–3σ	> 3σ	
Gasoline vehicle	GV1	GV2	0.88	0.72	32.5%	52.5%	10.0%	5.0%	
		GV3	0.91	0.51	40.0%	32.5%	22.5%	5.0%	
		GV4	0.95	0.58	45.0%	25.0%	17.5%	7.5%	
		GV5	0.96	0.43	72.5%	12.5%	5.0%	5.0%	
		GVC ^b	0.87	0.72	67.5%	17.5%	5.0%	5.0%	
	GV2	GV3	0.81	0.21	30.0%	40.0%	17.5%	10.0%	
		GV4	0.89	0.53	27.5%	45.0%	17.5%	5.0%	
		GV5	0.93	0.65	70.0%	10.0%	10.0%	5.0%	
		GVC	0.67	0.33	7.5%	20.0%	40.0%	30.0%	
	GV3	GV4	0.88	0.81	40.0%	42.5%	5.0%	7.5%	
		GV5	0.95	0.53	52.5%	30.0%	5.0%	7.5%	
		GVC	0.87	0.62	52.5%	27.5%	12.5%	7.5%	
	GV4	GV5	0.87	0.23	22.5%	52.5%	12.5%	7.5%	
		GVC	0.95	0.34	40.0%	35.0%	12.5%	7.5%	
	GV5	GVC	0.85	0.38	22.5%	62.5%	5.0%	5.0%	
	Average					41.5%	33.7%	13.2%	8.0%

a Fraction of species the within certain range determined from residual/uncertainty (R/U) ratios.

b The abbreviation GVC identifies the composite source profile of gasoline vehicle exhaust.

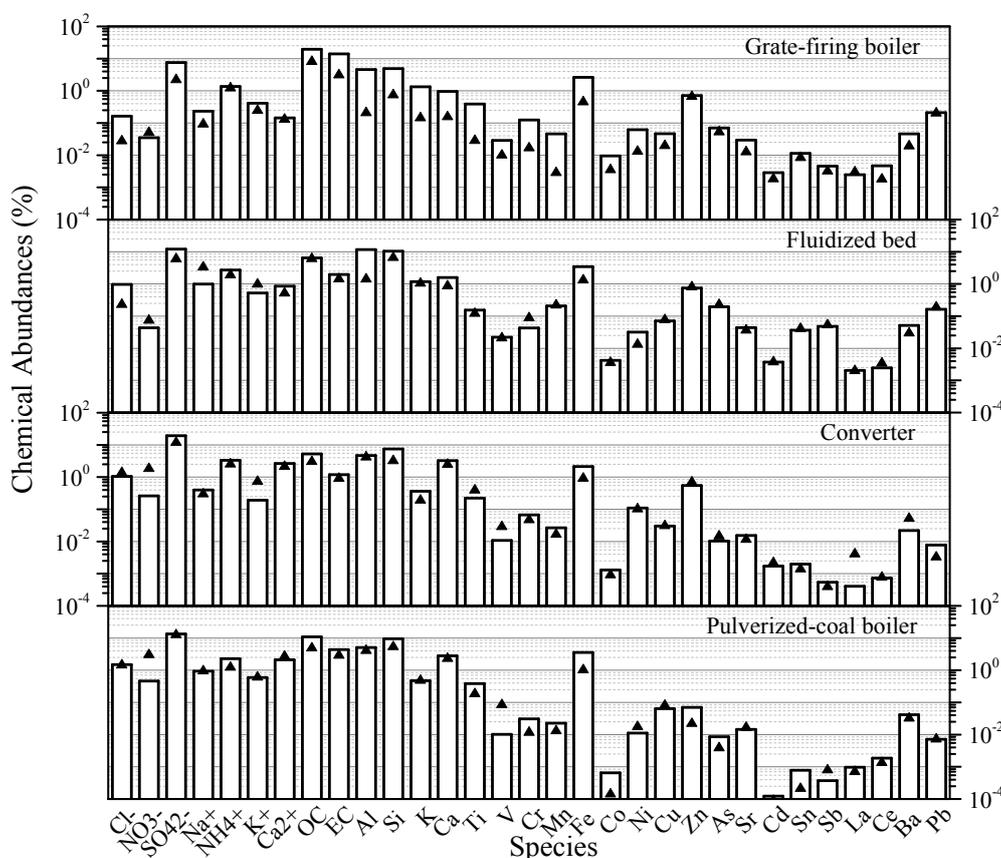


Fig. 2. Composite source profiles of coal-fired boiler from CSPSS, including grate-firing boiler, fluidized bed, converter and pulverized-coal boiler. The height of each bar indicates the chemical abundances to PM_{2.5}. The position of each triangle shows the uncertainties, which includes measurement errors and source variabilities.

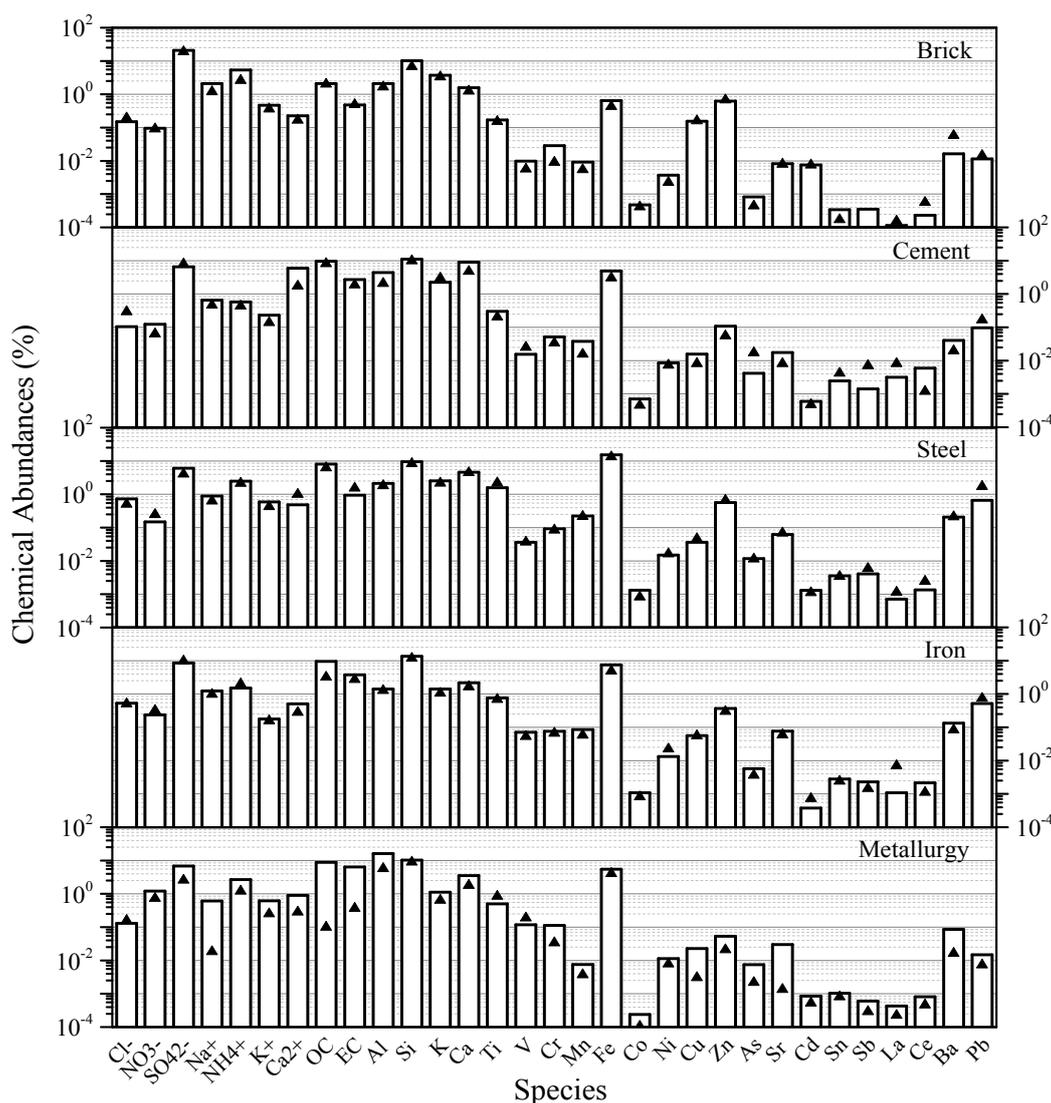


Fig. 3. Composite source profiles of industrial process from CSPSS, including brick kiln, cement, iron and steel production and metallurgy. The height of each bar indicates the chemical abundances to $PM_{2.5}$. The position of each triangle shows the uncertainties, which includes measurement errors and source variabilities

$PM_{2.5}$ from brick production. Metallurgic process release specific metals like Cu, Fe and Zn (Minguillón *et al.*, 2007). Cl^- , K^+ and K marked biomass burning. Prior literature only used K^+ or K as an indicator of biomass burning (Watson *et al.*, 2001; Li *et al.*, 2007; Zhang *et al.*, 2007). However, it is not necessarily a unique tracer, as other sources may contribute significantly to K^+ and can change from day to day (Brown *et al.*, 2016). Simoneit (2002) identified levoglucosan as a more specific tracer, which promote the source apportionment study for targeting biomass burning. Tao *et al.* (2015) lowered the uncertainties of source attribution to $PM_{2.5}$ using combined biomass burning tracers of K^+ and LG.

One of the major contributors to urban PM is fugitive dust, which contributes 17%–32% of summer $PM_{2.5}$ mass and 12%–34% of winter $PM_{2.5}$ mass in 14 Chinese cities (Cao *et al.*, 2012b). Geological dust is also a great concern in other parts of the world. For example, Watson *et al.* (2001) estimated regional $PM_{2.5}$ emissions in western Colorado. The

authors found that in the summer natural dust contribute 21% of $PM_{2.5}$, while 11% was emitted from agricultural tilling. Ca and Ca^{2+} could be as tracers of cement production and construction dust (Han *et al.*, 2014; Kong *et al.*, 2014; Shen *et al.*, 2016) with abundances 8–32 times higher than those of other profiles. The mean Ca/Al ratios ranged from 0.25–0.39 in vehicle exhaust emissions to 0.76–1.99 in urban fugitive dust, confirming previous observations that Ca/Al is a good marker for urban fugitive dust. Shen *et al.* (2016) used high Ca^{2+}/Ca ratios (0.73–0.81) to indicate urban fugitive dust from Chinese north cities. This ratios (0.15–0.47) are relatively low in our study may account for dust samples mostly from southwest China (Liu *et al.*, 2016b). Ratios of other crustal-related elements such as Si, Fe, Ti and K to Al were also taken as markers to characterize the soil dust from Loess Plateau, desert regions and Asian dust (Kim *et al.*, 2003; Cao *et al.*, 2008; Zhang *et al.*, 2014a; Zhang *et al.*, 2014b; Shen *et al.*, 2016). This component can

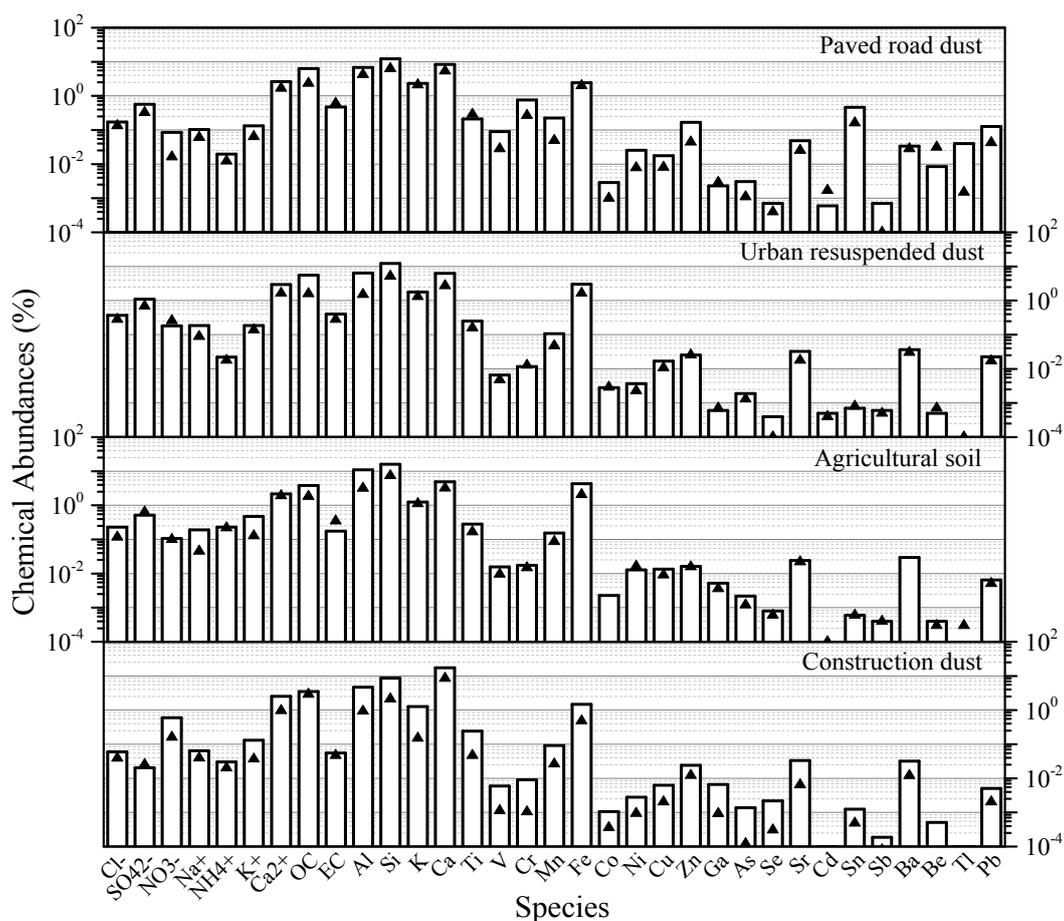


Fig. 4. Composite source profiles of fugitive dust, including paved road dust, urban resuspended dust, agricultural dust and construction dust. The height of each bar indicates the chemical abundances to $PM_{2.5}$. The position of each triangle shows the uncertainties, which includes measurement errors and source variabilities.

be resuspended from bare soil by local winds (Belis *et al.*, 2013). Also long-range transport such as Asian dust events (ADEs) can touch north even to the southwest China (Zhao *et al.*, 2010; Li *et al.*, 2015). Paved road dust could be characterized by several metals such as Sn, Sb and Ba, which may be attributed to the effect of motor vehicle contributions such as brake, oil drips and tire wear (Pant *et al.*, 2015). In addition, Cr, V and Ni shows a good correlation ($r > 0.89$) and likely related to vehicle exhaust emissions (Cheng *et al.*, 2015). Correlations among the crustal elements such as Al, Si, Ti were good, with $0.73 < r < 0.89$ across the four fugitive dust sub-source categories.

Vehicle exhaust emissions may be fluctuant deriving from different engine types and fuel combustion processes. OC/EC ratios range from 1.4 to 9.9 in diesel, gasoline and gas vehicle exhaust as an increasing trend in this study. Carbon fraction (OC and EC) together with metals such as Cu, Fe, Ba, and Zn can be used in RMs to distinguish emissions from gasoline and diesel vehicle (Belis *et al.*, 2013).

Comparison with SPECIATE Source Profiles

The USEPA SPECIATE database of source profiles has become available since 1988 (Simon *et al.*, 2010), and now it is version 4.5 in September 2016. It is the most

comprehensive repository for source category-specific emission speciation profiles. The SPECIATE datasets for $PM_{2.5}$ source profiles were analyzed to overall compare with CSPSS. For comparison, profiles in SPECIATE were averaged (compute the median) together based on source category to create a composite profile. The median was calculated over the mean for reducing large errors stemming from the presence of outlier samples and measurements (Reff *et al.*, 2009). To prevent over-weighting, raw profiles which were repeated samples from a single study were gathered to create sub-composites prior to their inclusion in the final composite. Sub-composites used same method above to average profiles. The data quality control were same as the methods applied in CSPSS. Source categories not included in CSPSS were eliminated for the consistency of comparison. A number of high quality source profiles were chosen for the composite. Information about composite and sub-composite profile numbers are shown in Tables 3 and 4, respectively.

Fig. 6 shows the species' mass concentration of composite source profiles of CSPSS and SPECIATE for the given source categories. Twenty-nine common species are displayed. Concentrations of various species were broadly different in source profiles between CSPSS and SPECIATE. In most

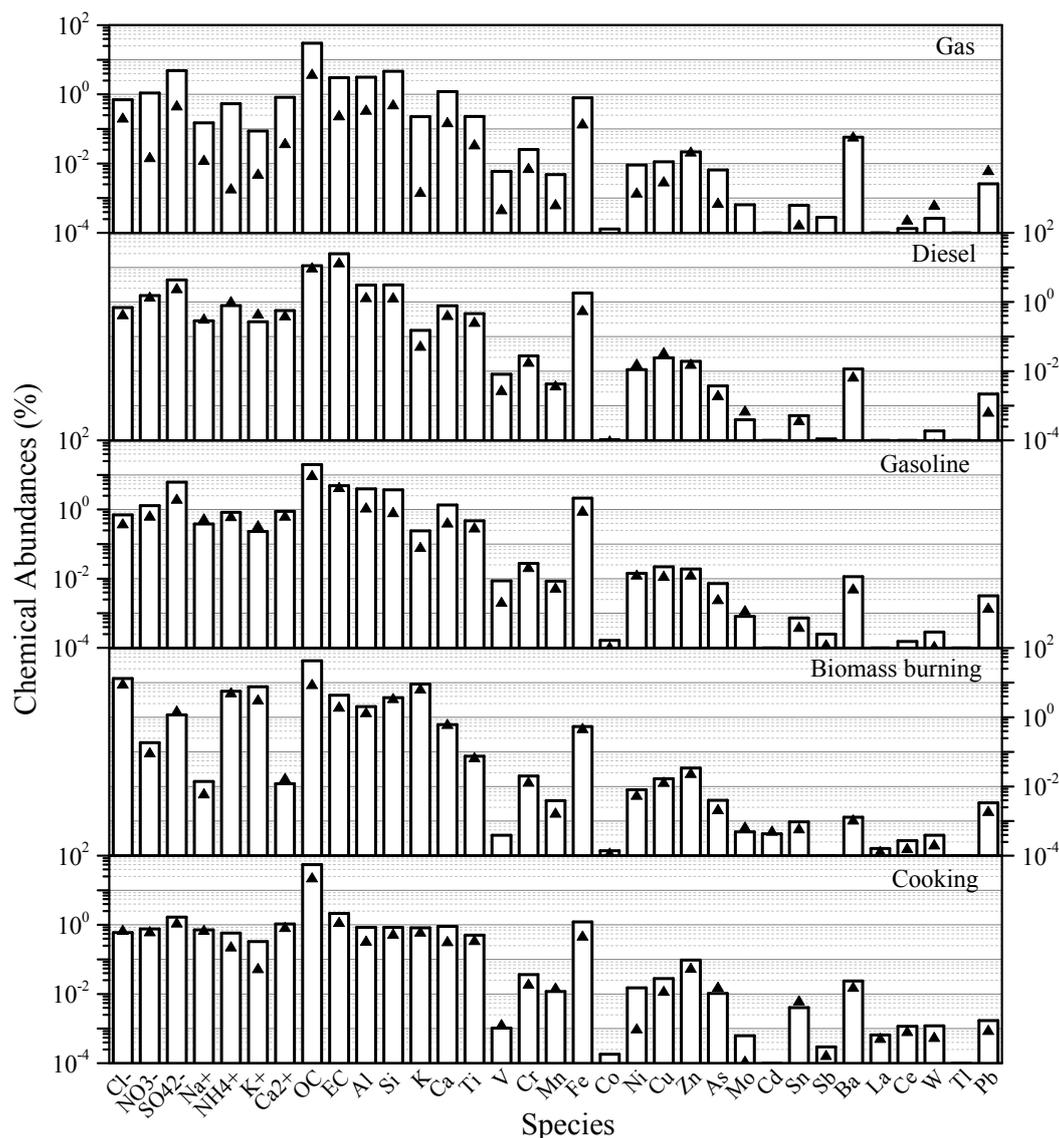


Fig. 5. Composite source profiles of biomass burning, cooking, gas, diesel and gasoline vehicle exhaust from CSPSS. The height of each bar indicates the chemical abundances to $PM_{2.5}$. The position of each triangle shows the uncertainties, which includes measurement errors and source variabilities.

cases, abundances of crustal material such as Al, Si, Ca, Ti and Fe were much higher in SPECIATE than those in CSPSS except for cement production, biomass burning and vehicle exhaust. The differences may be on account of different geological characteristics between China and America. The emissions of K, K^+ and Cl^- from biomass burning were higher in CSPSS remarkably than those in SPECIATE whereas carbon fractions were reverse. In the vehicle exhaust, carbon fraction in SPECIATE are much higher than that in CSPSS. This may be attributed to the quality of PM source profiles of vehicle exhaust (over 90% were established before 2008) in SPECIATE; the fuel, lubricating oil and engine technology have been updated in recent years. OC/EC ratios range from 1.4 to 62.9 across the given source categories in CSPSS while 0.6–17.3 in SPECIATE. It is worth noting that Pb is still persistent in paved road dust from CSPSS. Direct emissions of Pb from

vehicles have been forbidden since 2003 in China. This may be attributed to deposits of emissions from earlier vehicle exhaust and industrial emissions as Shen *et al.* (2016) reported in 14 northern Chinese cities.

SENSITIVITY OF SOURCE APPORTIONMENT RESULTS

The composite source profiles are frequently used in the CMB model for the contribution of different sources. These profiles are usually averaged from individual samples from sub-source types. To determine the impact of using sub-source profile, the sensitivity of CMB model was examined using coal combustion profile as an example. More sensitivity tests for other sources will be conducted in the future research.

$PM_{2.5}$ source profiles (Table S1) used in this part include

Table 3. Raw source profiles used to create composites based on source categories from SPECIATE v4.5.

Source Category and abbreviation	USEPA SPECIATE v4.5 Profile #
Coal Combustion (CC)	8939, 8941, 3701, 112142.5, 112152.5, 122012.5, 253022.5, 272032.5, 432012.5, 1 sub-composite
Steel Production (SP)	283022.5, 283052.5, 283062.5, 283072.5, 900042.5, 900112.5
Metallurgy-aluminum (MA)	201012.5, 201022.5, 201032.5, 291012.5, 291022.5, 900092.5
Brick production (BP)	95008, 95009
Paved Road Dust (PVD)	3197, 3201, 3204, 3515, 3559, 3713, 411022.5, 411302.5, 411372.5, 411382.5, 4347, 4349, 441022.5, 3 sub-composite
Agricultural Soil (AS)	3196, 3298, 3308, 3313, 3333, 3338, 3363, 3393, 3443
Construction Dust (CS)	91007
Cement Production (CP)	4378
Biomass Burning (BB)	8943, 4841, 3235, 4003, 4363, 4364, 4365, 4390, 423212.5, 423012.5, 423042.5, 423302.5, 4 sub-composite
Cooking (CO)	3915, 4383, 160002.5, 160012.5
Gasoline Vehicle Exhaust (GV)	4895–4942a, 8992, 8993, 3213–3218, 3222–3230, 3517, 3900, 3904, 3947, 3951, 3955, 3959, 330102.5
Diesel Vehicle Exhaust (DV)	3268, 3463, 3518, 3912, 3914, 3963, 321042.5, 4972, 4974–4997, 5000–5007, 4748–4751, 8994, 8995, 5673, 2 sub-composite

^a The profile numbers are continuous.

Table 4. Raw source profiles used to create sub-composites based on source categories from SPECIATE v4.5.

Source Category	Description	USEPA SPECIATE v4.4 Profile #
Coal Combustion	Northwestern CO	3757, 3758
Paved Road Dust	Central CA	3303, 3323, 3328, 3343, 3348, 3353, 3368, 3373, 3378, 3383, 3388, 3423, 3433, 3438
	Phoenix	3500, 3503
Biomass Burning	Robins, IL	3967, 3969, 3971, 3975
	Residential Wood, Denver, CO	3920, 3921, 3922, 3923, 3924, 3925, 3928, 3930, 3931
	Straw Combustion, CA	3243, 3258, 3448, 3453
	Residential Wood, CA	4384, 4386, 4387, 4388, 4393
	Slash, San Francisco, CA	423052.5, 423062.5, 423072.5, 423082.5, 423092.5, 423102.5, 423112.5, 423122.5, 423132.5, 423142.5, 423152.5, 423162.5
Vehicle Exhaust (Diesel)	Denver, CO	3219, 3220, 3221
	Las Vegas, CA	3878, 3879, 3880

biomass burning, vehicle exhaust, geological dust, secondary aerosols in the form of ammonium nitrate and ammonium sulfate and four coal combustion profiles (a composite one and three sub-composite from industries, power plants and heating boilers). The coal combustion source profiles were tested from three industrial boilers, six power plant boilers and six heating boilers. To reduce the variations caused by different sampling periods and sites, these source samples were obtained from same Chinese city during 2015.

The ambient data was collected for 24h average samples every 7th day from the same site during January, April, July and October in 2015 (Table S2). All the measurements were blank corrected. Twenty samples were randomly chosen. These samples were used to run the CMB model four times, once with the coal combustion composite profile and others with sub-composite ones. The phrases “composite case” and “sub-composite case” will be used for the convenience.

CMB 8.2 developed by USEPA was used to apportion PM_{2.5} in this study. Chemical abundances and uncertainties were both taken into account in the calculations based on

source profiles and ambient data. Several indicators were evaluated to meet performance standards, such as $R^2 > 0.8$, $\chi^2 < 4$ and percent mass between 80% and 120%.

Fig. 7 shows the variation in results between the composite and sub-composite cases. The source contribution estimation (SCE) from the composite case is represented on the x-axis, while the SEC for the sub-composite cases are shown on the y-axis. The CMB model results may be insensitive when the slope (k) and R^2 of regression line is close to 1. Secondary aerosols contribution are not shown for its consistent results in both cases. Biomass burning is insensitive to change the composite profiles to sub-composite ones. The slope values are 0.95–1, which show that SCE of biomass burning are consistent when sub-composite profiles of coal combustion are applied. The average uncertainty of SCE for biomass burning is 5.1% for the composite case, which is larger than errors caused by sub-composite cases. $R^2 > 0.96$ suggests that the SCE was stable in the CMB model calculations. This maybe attribute to the stable tracer such as K^+ for biomass burning. The correlation coefficient between SCE

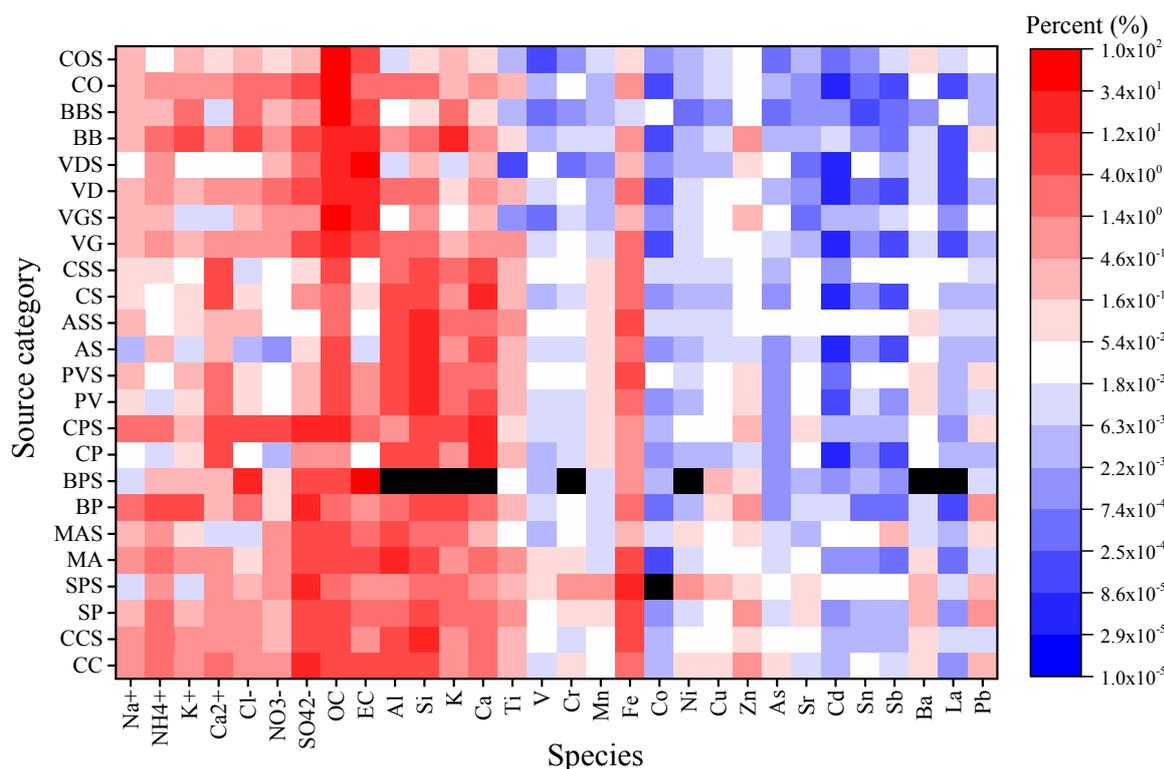


Fig. 6. Distributions of chemical abundances of composite profiles for given source categories from CSPSS1.0 and SPECIATE4.5. The black squares show the missing values. The source categories from SPECIATE are added ‘S’ to the abbreviation.

of biomass burning and K^+ are greater than 0.95. For the geological dust, the regression $R^2 > 0.86$. However, the slopes of geological dust are 1.16–1.27, with the bias beyond the range of SCE uncertainty. That means the SCE of geological dust becomes about 1.16–1.27 times larger when sub-composite profiles of coal combustion are applied. Vehicle exhaust was slightly influenced with a slope of 0.78–1.14. R^2 (0.82–0.86) reflects the relatively high uncertainty of vehicle exhaust. Coal combustion SCE was significantly influenced by the sub-composite profiles. The regression lines of SCE in three sub-composite cases are unstable with R^2 of 0.21–0.34. Underestimations exist when composite profile are change to sub-composite ones. This may be affected by many factors such as different boiler types, control process and coal types.

Emission characteristics of coal combustion are complicated. Coal combustion contribution is sensitive to variation caused by sub-composite source profiles. More elaborate source apportionment are needed for the air quality management.

CONCLUSIONS

The online open-access CSPSS database is crucial for better understanding and advancing the research of atmospheric environment in China. The database contains latest information related to emission profiles of different emission sector. The database can be used as (1) reference (chemical composition of the PM sources) for source

apportionment and emission inventory, (2) scientific evidences for new emission targets, (3) support for regional classification system of air quality management.

Compositing and quality control methodology applied in this study were expected to be references to other related studies. The R/U ratios can be used to quantify similarity and difference among source profiles and distinguish profiles of collinearity such as soil dust and road dust.

Different chemical composition characteristics of source profiles between SPECIATE and CSPSS indicate that the better knowledge of local source profiles are needed for further studies. More local and regional source profiles are also needed to develop a more comprehensive CSPSS database and guide the air pollution control efforts suited for China.

Sensitivity tests have been conducted to examine the impact of sub-composite source profiles usually used to establish the composite ones. The result shows that the use of sub-composite source profiles of coal combustion dose not impact the apportionment results for biomass burning, but other sources are varying influenced. Coal combustion contribution is sensitive to variation caused by sub-composite source profiles. More elaborate source apportionment are needed for the air quality management.

As the first attempt to establish a comprehensive database to China, the current version of CSPSS database coverage is limited for either source categories or geographical regions. In addition, comparison between different size fractions such as PM_{10} and TSP is needed for further study.

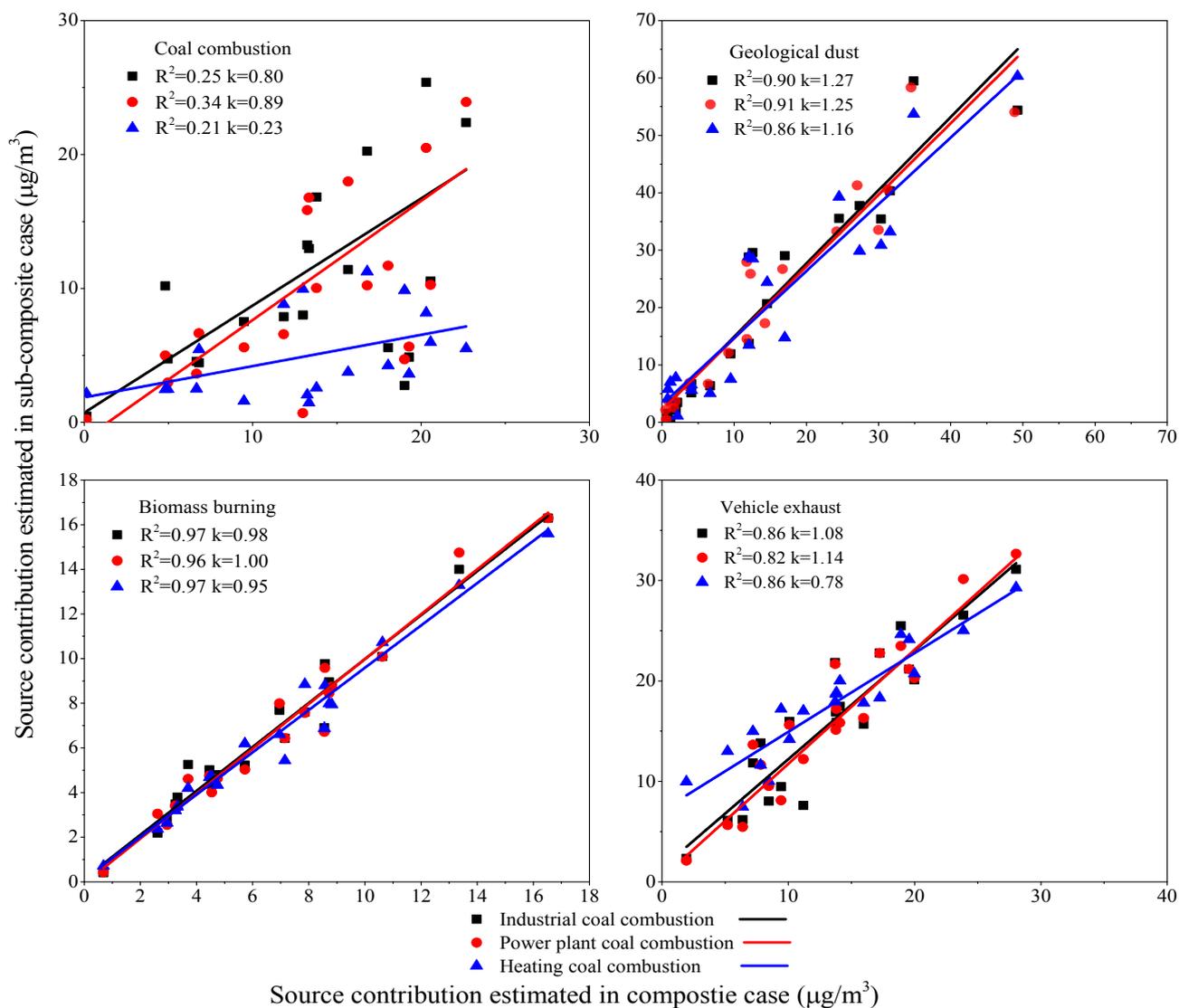


Fig. 7. Correlation of SCE in composite and sub-composite cases. CMB results for four sources and regression line are shown in each figure. X-axis and Y-axis represent SEC in composite and sub-composite cases.

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SUPPLEMENTARY MATERIAL

Supplementary data associated with this article can be found in the online version at <http://www.aaqr.org>.

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