















137 (EasyOne; Medical Technologies, Andover, MA, USA) was used to measure the  
138 mean coughed aerosol volume and peak air flow during coughing, and a 40-L stain-  
139 less steel cylinder chamber was used to collect coughed aerosols. The collection  
140 chamber was fitted with an inlet port for the spirometer and an outlet linked to the  
141 SMPS and OPS.

142 The subjects participated in two experiments. As shown in Fig. 1(a), the 40-L stain-  
143 less steel chamber was used to evaluate aerosol emissions. To evaluate the emissions  
144 of cough-generated aerosols, the participant was seated in front of the steel chamber  
145 and asked to breathe high efficiency particulate air (HEPA)-filtered air normally for 5  
146 min to remove background aerosols from their respiratory tract. At the same time, an  
147 air pump was used to remove background particles from the chamber. After breathing  
148 for 5 min, the air pump was turned off, and the subject was asked to inhale as deeply  
149 as possible and then cough with maximum force through the spirometer mouthpiece,  
150 which was connected to the chamber. After coughing, the participant breathed nor-  
151 mally and exhaled the aerosol that remained in their respiratory tract, but the aerosol  
152 emitted during this stage was not included in the concentration per cough shown in  
153 Table 2. After analysis, the chamber was evacuated for 10 min using the air pump,  
154 and the subject was asked to repeat the coughing procedure two more times for a total  
155 of three coughs. After each participant finished the procedure, the spirometer mouth-  
156 pieces and equipment, including the chamber, were cleaned with disinfectant and UV



157 light.

158 The second experiment to evaluate the characteristics of the cough-generated  
159 aerosol in an indoor environment was conducted in a clean room, which controlled  
160 background particulates to <10 particles/cc using a HEPA filter-equipped ventilation  
161 system. The volume of the clean room was 40.32 m<sup>3</sup> (7.0 m [W] × 2.4 m [L] × 2.4 m  
162 [H]). The participant was asked to put on dustproof clothing and to take an air shower  
163 to exclude the possibility of any particulate matter from other sources, such as dust  
164 dispersion. The temperature and relative humidity were constantly monitored using a  
165 real-time thermo-hygrometer (Model TR-72U; T&D Inc., Redmond, WA, USA) to  
166 ensure that the room conditions were maintained.

167 Figure 1(b) shows the sampling system. Direct reading instruments for measur-  
168 ing the particle concentration and size distribution were placed in each sampling loca-  
169 tion. Based on the reported respiratory disease air transmission from previous studies,  
170 the clean room area was divided into a near field (<1 m) and far field (>2 m). The  
171 SMPS-1 was located 0.5 m from the participant to evaluate the aerosol emissions in  
172 the direct contact transmission range. The SMPS-2 and OPS were located 3 m from  
173 the participant to measure particle dispersion and airborne exposure. The OPS was  
174 placed in the far field to observe the transmission of larger particles and their overall

175 size distribution and concentration. Due to a lack of monitoring devices, we did not  
176 use the OPS in the near field.

177 Relative humidity and temperature were maintained at 30–50% and 21–25°C, re-  
178 spectively, to represent the indoor air conditions in a hospital or emergency room  
179 (Ninomura and Hermans, 2008; Geshwiler, 2003). When the subjects had a cold, the  
180 mean temperature in the clean room was 24.0°C (standard deviation [SD] = 0.59) and  
181 mean relative humidity was 38.3% (SD = 3.42). After the subjects had recovered, the  
182 mean temperature in the clean room was 23.8°C (SD = 0.36) and the mean relative  
183 humidity was 37.2% (SD = 1.28).

184 Each experiment was divided into three phases. Before the cough, the HEPA-  
185 filtered air circulation system was operated for at least 60 min to remove contami-  
186 nants from the clean room. After the particulate concentration level was stabilized, the  
187 ventilation system was stopped and 30 min of sampling was conducted to obtain a  
188 background aerosol concentration. Cao *et al.* (2015) reported that the existence of a  
189 downward air flow from a ventilation system attached to the ceiling can greatly affect  
190 aerosol transmission. For this reason, the ventilation system was shut down prior to  
191 the experiment, and we assumed that there was no air movement apart from the air  
192 flows due to coughing and the sampling instrument intake. The air changes per hour  
193 (ACH) of the system during the sampling process were 0.0037 and there were 0.0056  
194 air exchanges per sampling interval. We therefore considered the air flow low enough

195 to be ignored, and assumed that the inlet flow of the monitoring devices did not affect  
196 the transport efficiency in the clean room.

197 The coughing phase comprised both coughing and rest periods. The participant was  
198 asked to cough continuously for 1 min and then rest for 5 min to exhale the aerosol  
199 remaining in the respiratory tract. This cough cycle was repeated five times for 30  
200 min of cough-generated aerosol emissions.

201 After the cough, real-time monitoring was conducted for 30 min to monitor the res-  
202 idence and diffusion of cough-generated aerosols.

203

#### 204 *Calculations and data analysis*

205 The concentration and size distribution data measured by the SMPS and OPS  
206 were used to estimate particle number concentrations and the size distribution. The  
207 SMPS provided aerosol particle counts in 13 size bins and the OPS provided five bins.  
208 The particle concentrations of 18 optical bins in the 10 nm to 10  $\mu\text{m}$  diameter range  
209 were monitored. Data from the SMPS and OPS channels were merged using the Multi  
210 Instrument Manager software (MIM-2 ver. 2.0; TSI Inc.) provided by the manufactur-  
211 er. For effective observation of the characteristics of nano-size aerosol, data was con-  
212 verted from a number concentration into a surface concentration, assuming that the  
213 particles were ideal spheres.

214 All data acquired from real-time monitoring were analyzed statistically. Descrip-  
215 tive statistics were recorded to compare the aerosol concentrations during and after  
216 coughing. The number and surface area of aerosol particles per cough were presented  
217 as arithmetic means (AM)  $\pm$  SD because the results were acquired from experiments  
218 that were repeated three times. The particle concentrations in the clean room experi-  
219 ment are shown as AMs  $\pm$  SD because data for each phase and location were normal-  
220 ly distributed and the size distribution was proven to be unimodal.

221 Because the individual data of subjects were not normally distributed when we  
222 tested them with a Shapiro-Wilks Test, the results of each subject's coughing while ill  
223 were compared to coughs done after recovery using the Mann-Whitney U test. How-  
224 ever, because the normalized aerosol concentration data in the clean room were nor-  
225 mally distributed, the number of data per experimental phases was the same. A one-  
226 way analysis of variance was conducted to compare the particle concentration accord-  
227 ing to elapsed time (before, during, and after the cough) and Tukey's HSD test was  
228 applied because it is the most reasonable way to control type 1 error and has a lot of  
229 statistical power. Tukey's test was applied to determine the differences in particle  
230 concentration by elapsed time. A result was considered significant at  $P \leq 0.05$ . All  
231 analyses were conducted using SAS software (v. 9.4; SAS Institute, Cary, NC, USA).  
232 SigmaPlot software (ver. 10; Systat Software, San Jose, CA, USA) was used to visu-  
233 alize the results.

234 The particulate concentrations in the chamber were assumed to be the same eve-  
235 rywhere and it was also assumed that the aerosol dispersed equally when the concen-  
236 tration was highest 5 min after the cough. Equation (1) was used to estimate the aero-  
237 sol emissions for each subject:

238

$$239 \text{ *Number of aerosol per cough* } = (C_{\text{particle,max}} - \bar{C}_{\text{particle,bg}}) \times V_{\text{chamber}} \quad (1)$$

240

241 where  $C_{\text{particle,max}}$  is the particle concentration inside the chamber 5 min after the  
242 cough,  $V$  is chamber volume ( $\text{m}^3$ ), and  $\bar{C}_{\text{particle,bg}}$  is the mean background concen-  
243 tration inside the chamber 5 min before the test. There are several assumptions in Eq.  
244 1 that may lead to inaccuracies when estimating aerosol emissions. Size-resolved par-  
245 ticle dynamics, coagulation, and constant particle loss rates were ignored. Because we  
246 did not use ventilation systems while sampling, the ventilation rate of each experi-  
247 ment was determined only by the inlet flow of the sampling devices. In the chamber  
248 experiment, the total inlet flow of the sampling devices was 1.75 L/min. The ACH of  
249 the system was 2.5 and there were 0.20 air exchanges per sampling period. Because  
250 of dilution and deposition, we considered the use of average aerosol number concen-  
251 tration during sampling inappropriate, and therefore  $C_{\text{particle,max}}$  was used as the  
252 representative value of a well-mixed state.

253 **RESULTS**

254

255 *Characteristics of individual subjects*

256 The mean time from the first to the second visit was  $32.1 \pm 12.1$  days. Cough  
257 volume and cough peak flow rate were measured during illness and after recovery,  
258 whereas the forced vital capacity (FVC), forced expiratory volume in 1 second  
259 (FEV1), and peak expiratory flowrate (PEF) of subjects were measured when they  
260 were ill.

261 As summarized in Table 1, the air volume of each cough, and the peak cough  
262 flow rate, increased slightly after recovery compared to during the cold (cough vol-  
263 ume,  $P = 0.57$ ; peak cough flow rate,  $P = 0.27$ ). Mean air volume and peak cough  
264 flow rate during the cold were  $1.68 \pm 1.19$  L and  $6.01 \pm 1.45$  liters/second (LPS), re-  
265 spectively, which increased to  $1.96 \pm 1.02$  L and  $6.59 \pm 1.98$  LPS after recovery. Alt-  
266 hough patient cough peak flowrates (CPFs) increased overall after recovery, the CPFs  
267 of IDs No. 1 and 10 decreased. Compared with the CPF of ID No. 10, in which the  
268 “After Recovery” CPF did not decrease much from the “While ill” CPF, the “After  
269 Recovery” CPF of ID No. 1 was much lower than the “While ill” CPF, and was out-  
270 side the normal range. In previous studies, the normal CPF in healthy adults is typi-  
271 cally in the range of 360–1,000 L/min, with CPFs under 160 L/min considered inef-  
272 fective for airway clearance (Bach, 1993). According to these criteria, the CPF of ID

273 No. 1 (170 L/min) was effective but below the normal range. Although the CPF crite-  
274 ria may vary slightly depending on race and gender, there was a large difference be-  
275 tween the CPF of ID No. 1 and the normal value. The results of measurements other  
276 than the CPF for ID No. 1 tended to match those of other subjects. The low CPF may  
277 therefore have been a measurement error.

278 The FVC, FEV1, and PEF were significantly higher in males than in females (all  
279  $P$ -values = 0.01). The mean FVC, FEV1, and PEF values of female subjects were  
280  $2.92 \pm 0.24$  L,  $2.52 \pm 0.25$  L, and  $4.59 \pm 1.02$  L, respectively, whereas they were  $4.54$   
281  $\pm 0.65$  L,  $3.88 \pm 0.40$  L, and  $9.07 \pm 1.07$  L in males, respectively. The peak cough  
282 flow rate and cough volume of each cough during a cold were also significantly high-  
283 er in males than in females (all  $P$ -values = 0.04), but the difference disappeared after  
284 recovery, although peak cough flow rate and cough volume were still higher in males  
285 than in females ( $P = 0.09$  and  $P = 0.06$ , respectively).

### 287 *Size and quantity of cough-generated aerosol*

288 As shown in Table 2, the number of particles expelled per cough while the sub-  
289 jects had a cold ranged from 731,000 to 18,756,000 (mean, 4,914,600 parti-  
290 cles/cough). The number of particles expelled per cough after the subjects recovered  
291 ranged from 200,900 to 450,000. The mean number of particles per cough was higher  
292 when the subjects had a cold than after they recovered ( $P < 0.001$ ). However, the

293 mean value was not significantly different between the sexes, either when subjects  
294 were ill or had recovered ( $P = 0.68$  and  $P = 0.21$ , respectively).

295 The surface area of particles expelled per cough when the subjects had a cold varied  
296 from 156,000 to 66,824,000  $\mu\text{m}^2$  (mean, 7,210,000  $\mu\text{m}^2$ /cough). The surface area of  
297 particles expelled per cough after the subjects recovered ranged from 39,000 to  
298 2,681,000  $\mu\text{m}^2$  (mean, 521,000  $\mu\text{m}^2$ ). When the subjects had a cold, the mean surface  
299 area of particles per cough was higher than after they recovered ( $P = 0.002$ ). However,  
300 patient ID No. 10 displayed the opposite trend. This result was induced by an increase  
301 in the proportion of particles with larger diameters in the cough of ID No. 10. The  
302 mean did not differ between the sexes, either when the subjects were ill or had recov-  
303 ered ( $P = 0.40$  and  $P = 0.30$ , respectively).

304 Figure 2(a) shows a plot of the number of aerosol particles expelled per cough,  
305 as detected in each size bin, and Fig. 2(b) shows a plot of the surface area of aerosol  
306 particles per cough in each size bin. Around  $99.9 \pm 0.3\%$  of all expelled particles had  
307 diameters  $<5 \mu\text{m}$  (airborne transmission) when the subjects had a cold, which ac-  
308 counted for  $90.2 \pm 12.2\%$  of the total surface area. The particle number concentration  
309 decreased in each respective size channel of instruments.

310 The mean number of particles per cough and mean surface area of particles per  
311 cough were higher within certain diameter ranges ( $<100 \text{ nm}$ ,  $100\text{--}300 \text{ nm}$ ,  $420\text{--}1,000$



312 nm, and 1.0–2.5  $\mu\text{m}$ ) when the subjects had a cold versus after they had recovered ( $P$   
313  $< 0.001$ ). The diameter distribution of the measured particles varied among patients,  
314 especially for larger particles. In particles with diameters under 100 nm and 100–320  
315 nm, the geometric means and standard deviations GM (GSD) of the particle number  
316 concentration were 1,467,000 (2.97) and 1,285,000 (2.73), respectively, for patients  
317 with positive symptoms, and 421,000 (3.02) and 413,000 (1.6), respectively, for pa-  
318 tients with negative symptoms. However, for larger particles, the GSD was larger,  
319 ranging from 5.40 to 47.08.

320

### 321 *Aerosol characteristics*

322 Table 3 shows a summary of the background particle concentrations during and after  
323 coughing in the near field (0.5 m) and far field (3 m) from the source (participant). In  
324 the far field, particle concentrations during coughing by subjects with a cold were  
325 considerably higher than the background level. After coughing the concentration in-  
326 creased considerably, but not significantly, in the near field. After subjects had recov-  
327 ered, particle concentrations during and after coughing were slightly higher than the  
328 background level, but the difference was less than that observed during the period  
329 when subjects had a cold (Fig. 4a).

330 For nine of the ten subjects, the particle concentrations in the far field were high-  
331 er than the background concentration when they were ill. The arithmetic mean (AM)

332 of the particle concentration in the far field increased during coughing for infected  
333 subjects compared to the AM of the background concentration. The difference in par-  
334 ticle number concentration in the clean room between the background and during  
335 coughing varied from 65 to 710 particles/cm<sup>3</sup>, as shown in Fig. 4(b), and the differ-  
336 ence was significant ( $P < 0.001$ ).

337 The AM of the particle concentration in the near field was higher than the AM of  
338 the background concentration. The difference in the particle number concentration in  
339 the clean room between the background and during coughing varied from 8 to 448  
340 particles/cm<sup>3</sup> and the difference was not significant ( $P = 0.22$ ). The distribution of  
341 particle concentrations during coughing were not different among the 13 different-  
342 sized bins, which ranged from 10 to 420 nm ( $P = 1.000$ ).

343 In exposure chamber experiments, when subjects had cold symptoms, the number  
344 of aerosol particles generated from a single cough had no statistically significant cor-  
345 relation with FVC (0.93), FEV1 (0.90), PEF (0.65), volume of cough (0.28), CPF  
346 (0.43), body mass index (0.57), or sex (0.63). However, after subjects had recovered,  
347 the number of aerosol particles generated from a single cough had a statistically sig-  
348 nificant correlation with FVC (0.04,  $r = 0.65$ ), FEV1 (0.03,  $r = 0.68$ ), PEF (0.01,  $r =$   
349 0.78), and volume of cough (0.02,  $r = 0.72$ ), but did not have a statistically significant  
350 correlation with CPF (0.19), body mass index (0.33), or sex (0.19).

351 In clean room experiments, when subjects had cold symptoms, the ratio of aero-  
352 sol number concentration during coughing to the background was significantly corre-  
353 lated with the number of coughs (0.04,  $r = 0.65$ ). However, it had no statistically sig-  
354 nificant correlation with FVC (0.73), FEV1 (0.96), PEF (0.47), volume of cough  
355 (0.49), CPF (0.58), body mass index (0.56), or sex (0.27). After subjects had recov-  
356 ered, the ratio of the aerosol number concentration during coughing to the back-  
357 ground had no statistically significant correlation with the number of coughs (0.65),  
358 FVC (0.75), FEV1 (0.85), PEF (0.65), volume of cough (0.38), CPF (0.38), body  
359 mass index (0.99), or sex (0.38).

360

## 361 **DISCUSSION**

362

363 In this study, we found that patients with a cold can release cough-generated air-  
364 borne transmission-available particles. Transmission was detected at a distance of 3 m,  
365 which is considered to be beyond the contact transmission distance. Furthermore, we  
366 found that the number of particles expelled by coughing decreased after patients re-  
367 covered, and most of the particles generated from coughing were  $<5 \mu\text{m}$  in size. Par-  
368 ticles of this size can remain suspended in the air for at least 1 h. These results sug-  
369 gest that the airborne spread of pathogens based on aerosol diffusion or the forceful  
370 airflow produced by coughing may be possible even at distances  $>3$  m from a patient

371 with a respiratory disease.

372 The possibility of airborne transmission of pathogen-containing aerosols is a crit-  
373 ical issue for the public health community. However, many questions remain unan-  
374 swered regarding potentially infectious aerosols produced by ill people. Many recent  
375 studies have focused on the generation of aerosols expelled from the respiratory sys-  
376 tem, and their transmission possibility has usually been studied using models, such as  
377 those suggested by Xie *et al.* (2007), Redrow *et al.* (2011), and Wei and Li (2015),  
378 rather than experimental methods. The results of this study indicate that people pro-  
379 duce more fine aerosols  $<5 \mu\text{m}$ , as well as aerosols containing a larger number of par-  
380 ticles, when they have a cold compared to after they have recovered. As in several  
381 similar studies, the number of cough-generated aerosol particles expelled by the test  
382 subjects in this study varied considerably from person to person (Lindsley *et al.*,  
383 2010; Stelzer-Braid *et al.*, 2009; Fabian *et al.*, 2008).

384 As shown in Table 2, the number concentration and surface area concentration  
385 varied greatly. The reason the variation was large even though the participants were  
386 of similar ages, i.e., in their 20s and early 30s, was due to differences in gender,  
387 spirometric differences (FVC, FEV1, PEF), and differences in the amount of  
388 coughing and CPF. For this reason, we found that the number of particles released  
389 when suffering from a cold was higher than that after recovery for the same  
390 individual. The range of generated particles was 731,000 to 18,756,000

391 particles/cough when subjects had an infection. This suggests a “superspreader”  
392 effect, i.e., if a person expels large quantities of infectious particles, they may spread  
393 a virus or other infectious agents to others at a much higher rate. When calculating the  
394 number of particles per cough, the maximum concentration within 5 min after  
395 coughing was assumed to represent the conditions under which the aerosol was  
396 completely diffused in the air. We considered that the aerosol would be dispersed  
397 from a subject’s mouth over time and diffusion of the aerosol would cease when the  
398 aerosol reached the end of the chamber.

399 Because of its ability to reach the alveoli, the respirable fraction of cough-generated  
400 aerosols is of great concern. Compared with particles deposited in the nasal region, a  
401 considerably lower dose of infectious particles deposited in the lungs can lead to in-  
402 fection (Tellier, 2006). Approximately 99.9% of the total number of particles expelled  
403 by the subjects in this study were  $<5\ \mu\text{m}$  in diameter (airborne-transmitted particles),  
404 accounting for 90.2% of the total surface area. As seen in Figs. 3(a) and (b), most aer-  
405 osols were  $<5\ \mu\text{m}$ , which meant they could enter and deposit in the alveolar region  
406 rather than the upper respiratory tract and branchioles. Most cough-generated aero-  
407 sols are in the respirable particle size range and can enter the alveolar region. We also  
408 found that the mean number of particles per cough and mean surface area of particles  
409 per cough were higher within certain size ranges ( $<100\ \text{nm}$ ,  $100\text{--}300\ \text{nm}$ ,  $420\text{--}1,000$   
410  $\text{nm}$ ,  $1.0\text{--}2.5\ \mu\text{m}$ ), but the reason for a lack of statistical difference for particles in the

411 other size ranges (300–420 nm and  $>2.5$   $\mu\text{m}$ ) was unclear. This may be due to the  
412 physical or chemical properties of particles with specific diameters or simply due to  
413 the lack of a sufficient sample size. We found no previous studies that reported the  
414 same result, and therefore this observation requires further study.

415 There were some differences between the results of this study and those of pre-  
416 vious studies. Yang *et al.* (2007) found that small droplet nuclei had a size distribution  
417 of 0.58–5.42  $\mu\text{m}$  and 82% of droplet nuclei were centered in the range of 0.74–  
418 2.12  $\mu\text{m}$ . This contrasted with the results of our study, in which a modal diameter of  
419  $<100$  nm was obtained. Yang *et al.* (2007) used a novel process to transfer a respirato-  
420 ry-originating warm and water vapor-saturated cough aerosol to a dry bag at room  
421 temperature. This would have involved a disturbance to the original equilibrium size  
422 of the aerosol due to saturation on the walls of the bag. Therefore, the size distribu-  
423 tion would have been larger than that of the aerosol in the respiratory tract. According  
424 to Johnson and Morawska (2009), particles of 8–10  $\mu\text{m}$  are the most common size in  
425 a droplet distribution. The size of droplets in their study varied from 0.1 to 16  $\mu\text{m}$  and  
426 the number concentration varied from 0.001 to 5.5 #/cc. These differences were at-  
427 tributed to differences among monitoring devices. The lower diameter limit of the  
428 SMPS (10 nm) is much smaller than the device (aerodynamic particle sizer [APS],  
429 TSI Inc.) used by Johnson and Morawska (2009), which has a lower diameter limit of  
430 0.5  $\mu\text{m}$ . We recorded the proportion of nanosized particles, which made a large differ-

431 ence to the aerosol number concentration. The size of pathogens may be informative  
432 regarding the size of particles that carry them. For example, larger pathogens, such as  
433 bacteria (1–2  $\mu\text{m}$ ), are found in larger particles (Wainwright *et al.*, 2009), whereas  
434 smaller pathogens, such as viruses (20–30 nm), are found in smaller particles (Fabian  
435 *et al.*, 2008; Hersen *et al.*, 2008). Hence, measuring aerosols with instruments capable  
436 of detecting a wide range of particle sizes is likely to be the most effective way to  
437 identify the particles that can induce viral infection.

438 From the results of the exposure chamber experiments, when subjects had a cold,  
439 the number of aerosol particles generated from a single cough had no statistically sig-  
440 nificant correlation with FVC (0.93), FEV1 (0.90), PEF (0.65), or volume of cough  
441 (0.28). However, after subjects recovered, the number of aerosol particles generated  
442 from a single cough had a statistically significant correlation with FVC (0.04,  $r =$   
443 0.65), FEV1 (0.03,  $r = 0.68$ ), PEF (0.01,  $r = 0.78$ ), and volume of cough (0.02,  $r =$   
444 0.72). This raised the question of whether infection affects the emission characteris-  
445 tics of cough aerosols. From the results of the clean room experiments obtained with  
446 cold patients, we found that there was a correlation between the ratio of the aerosol  
447 number concentration during coughing and the background concentration, and the  
448 number of coughs (0.04,  $r = 0.65$ ). However, other factors had no correlation with the  
449 aerosol emissions of cold patients. Our correlation analysis results were similar to  
450 those of Zayas *et al.* (2010). They reported that the concentration of droplets was not

451 related to age, sex, weight, height, or body mass index in 45 subjects. However, Yang  
452 *et al.* (2007) found a significant difference in concentration depending on sex in three  
453 age groups. Their 30–50 year age group produced the highest aerosol concentration,  
454 and there was also a higher airborne droplet concentration in males than in females.  
455 Johnson *et al.* (2009) reported a significant correlation between the droplet concentra-  
456 tion and age in 15 individuals, and the concentration differed markedly by particle  
457 size. In our study, the participants were all young and healthy adults; thus, our results  
458 may not be representative of the entire population. Furthermore, the total number of  
459 subjects was small, and aerosol production varied significantly from person to person.  
460 This study suggests that within the same age groups, the particle number concentra-  
461 tion can vary significantly. According to several studies, relative humidity may also  
462 play a role in affecting particle trajectory. Yang and Marr (2011) showed that the total  
463 concentration of influenza A virus contained in aerosol particles decreased with in-  
464 creasing relative humidity across all particle sizes. Generally, evaporation can control  
465 droplet size, with fine droplets evaporating faster than large droplets. A high relative  
466 humidity slows the evaporation process. Yang and Marr (2011) showed that the total  
467 concentration of influenza A virus contained in aerosol particles decreased with in-  
468 creasing relative humidity across all particle sizes.

469 There were some limitations of this study. First, the number of participants was  
470 small and the study could therefore not reflect all age groups. Second, all subjects



471 were infected with a cold at the time of the initial test, but their illnesses were in dif-  
472 ferent stages. Some subjects were more ill than others, although all participants were  
473 diagnosed at a hospital. These factors may account for the wide variation in aerosols  
474 per cough within the same age group and gender.

475 Third, we attempted to determine the difference in aerosol concentration be-  
476 tween the near and far field distances, but it was negligible. This was because the  
477 background concentration fluctuated, and the number of subjects was not large. We  
478 therefore normalized the concentration data, but further observations of changes in  
479 the cough aerosol size distribution with distance should be made with more patients  
480 under more stable background concentration conditions. Fourth, relatively large parti-  
481 cles, i.e.,  $>10\ \mu\text{m}$ , were likely deposited by impaction on the walls of the exposure  
482 chamber and then sedimented in the clean room, resulting in an underestimation  
483 compared to smaller particles. In the exposure chamber experiment, the distance from  
484 a participant's mouth to the measuring instrument was less than 60 cm and the cough  
485 particles were propagated as a conical plume, which might have reduced the potential  
486 for impaction on the walls of the chamber, although some large particles would inevi-  
487 tably be deposited. In the clean room experiment, large droplets might have sedi-  
488 mented out over a short distance. However, the purpose of the study was to determine  
489 the potential for cough-generated aerosol transmission to the far field as well as the  
490 near field. The transmission of cough-generated aerosol over a long distance ( $>3\ \text{m}$ )

491 in this study suggests that the assumption that most cough-generated aerosol consists  
492 of large droplets that are deposited in the near field (<2 m) is wrong.

493 Although these limitations could lead to an underestimation of particles size in  
494 the study, we detected airborne particles that were small enough for transmission and  
495 a temporary increase in the particle number concentration in the far field by airborne  
496 transmission. We found no difference in size distribution between the direct contact  
497 range (0.5 m) and the airborne transmission range (3.0 m), which may have been due  
498 to a lack of monitoring according to distance. We only used the OPS for the far field  
499 measurement, whereas in the near field the upper limit of the SMPS (420 nm) was too  
500 small to detect changes in the size distribution of large particles, such as droplets or  
501 larger droplet nuclei. However, as shown in Fig. 3(a), most of the aerosol particles  
502 expelled from coughing were in the droplet nuclei size range. Thus, this result may  
503 not differ from the results obtained with the simultaneous use of monitoring devices  
504 with wide particle size detection ranges.

505

## 506 **CONCLUSIONS**

507

508 Individuals infected with a cold release potentially infectious aerosols when they  
509 cough, sneeze, and speak. Coughing is the most important and most common source

510 of transmission of infectious agents. The results of this study show that most of the  
511 particles generated from coughing are small enough to be suspended in the air. Fur-  
512 thermore, patients with a cold can release airborne transmission-available particles,  
513 with transmission detected at a distance of 3 m. These results suggest that the air-  
514 borne spread of pathogens may be possible even at a distance >3 m from a patient  
515 with a respiratory disease. Hence, more attention should be given to airborne infec-  
516 tions to prevent the spread of disease.

517

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519

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613

### Table Captions

614 **Table 1.** Characteristics of the individual test subjects.

615 **Table 2.** Number and surface area of particles expelled per cough – Chamber (n = 3).

616 **Table 3.** Particle number concentration by experimental phase – clean room (#/cc,  
617 SMPS only).

618



**Table 1. Characteristics of the individual test subjects.**

ID	Gender	Age	Height (cm)	Weight (kg)	FVC (L)	FEV1 (L)	PEF (LPS)	Cough volume (L)		Cough peak flowrate (LPS)	
								While ill	After recovery	While ill	After recovery
1	F	25	158	49	2.75	2.61	4.00	0.81	1.33	4.56	2.83
2	F	24	160	49	2.52	2.30	4.59	0.45	0.44	3.58	3.90
3	F	24	158	46	3.03	2.45	4.22	0.73	0.95	5.84	6.37
4	F	29	162	52	3.10	2.28	3.59	1.12	1.60	5.35	6.02
5	F	22	158	63	3.18	2.97	6.53	1.53	2.37	5.92	6.45
Subtotal	F	24.8±2.3	159.2±1.6	51.8±5.9	2.92±0.24	2.52±0.25	4.59±1.02	0.93±0.36	1.34±0.64	5.05±0.88	5.11±1.47
6	M	26	177	77	4.75	4.26	9.29	1.23	3.39	6.28	8.25
7	M	25	173	75	4.77	4.09	10.37	3.87	3.30	8.11	9.19
8	M	30	174	77	3.24	3.12	7.10	1.61	1.50	6.12	8.43
9	M	26	182	90	4.97	3.85	9.18	1.44	1.37	5.52	5.88
10	M	33	174	77	4.95	4.07	9.40	4.02	3.39	8.79	8.58
Subtotal	M	28±3.0	176±3.2	79.2±5.4	4.54±0.65	3.88±0.40	9.07±1.07	2.43±1.24	2.59±0.94	6.96±1.25	8.07±1.13
Total	-	26.4±3.1	167.6±8.8	65.6±14.8	3.73±0.94	3.20±0.75	6.83±2.47	1.69±1.18	1.96±1.02	6.01±1.44	6.59±1.98
<i>P</i> -value		0.07	0.01	0.01	0.01	0.01	0.01	0.04	0.09	0.04	0.06

LPS, liters per second; FVC, forced vital capacity; FEV1, forced expiratory volume in 1 second; PEF, peak expiratory flowrate.

**Table 2. Number and surface area of particles expelled per cough—Chamber (n = 3).**

ID.	Gender	Number of particles / cough			Surface area of particles / cough ( $\mu\text{m}^2$ )		
		While ill	After recovery	<i>P</i> -value	While ill	After recovery	<i>P</i> -value
1	F	4,443,000 $\pm$ 2,300,000	661,000 $\pm$ 421,000	0.081	438,000 $\pm$ 210,000	66,000 $\pm$ 53,000	0.081
2	F	774,000 $\pm$ 477,000	600,000 $\pm$ 180,000	0.190	748,000 $\pm$ 786,000	55,000 $\pm$ 14,000	0.190
3	F	2,542,000 $\pm$ 959,000	546,000 $\pm$ 291,000	0.383	160,000 $\pm$ 87,000	106,000 $\pm$ 109,000	0.383
4	F	4,674,000 $\pm$ 1,857,000	566,000 $\pm$ 292,000	0.383	818,000 $\pm$ 306,000	444,000 $\pm$ 531,000	0.383
5	F	18,806,000 $\pm$ 6,984,000	1,039,000 $\pm$ 604,000	0.081	66,825,000 $\pm$ 33,647,000	121,000 $\pm$ 114,000	0.081
Subtotal		6,248,000 $\pm$ 7,291,000	683,000 $\pm$ 182,000	< 0.001	13,805,000 $\pm$ 30,498,000	159,000 $\pm$ 145,000	< 0.001
6	M	3,226,000 $\pm$ 1,525,000	1,178,000 $\pm$ 440,000	1.00	127,000 $\pm$ 136,000	714,000 $\pm$ 863,000	1.00
7	M	1,596,000 $\pm$ 1,145,000	4,229,000 $\pm$ 2,728,000	0.383	1,212,000 $\pm$ 481,000	842,000 $\pm$ 629,000	0.383
8	M	3,522,000 $\pm$ 2,057,000	363,000 $\pm$ 108,000	0.081	492,000 $\pm$ 343,000	39,000 $\pm$ 17,000	0.081
9	M	1,043,000 $\pm$ 490,000	983,000 $\pm$ 651,000	0.663	156,000 $\pm$ 44,000	113,000 $\pm$ 87,000	0.663
10	M	9,326,000 $\pm$ 6,181,000	2,940,000 $\pm$ 1,910,000	1.00	550,000 $\pm$ 330,000	2,681,000 $\pm$ 3,132,000	1.00
Subtotal		3,742,000 $\pm$ 4,235,000	1,939,000 $\pm$ 1,430,000	0.147	512,000 $\pm$ 498,000	882,000 $\pm$ 958,000	0.481
Total		4,995,000 $\pm$ 6,090,000	1,376,000 $\pm$ 1,459,000	< 0.001	7,210,000 $\pm$ 19,901,000	521,000 $\pm$ 774,000	0.002

**Table 3. Particle number concentration by experimental phase — clean room (#/cc, SMPS only)**

ID	Diagnosis	0.5 m			3.0 m		
		Background (N = 30) AM ± SD	During cough (N = 30) AM ± SD	After cough (N = 30) AM ± SD	Background (N = 30) AM ± SD	During cough (N = 30) AM ± SD	After cough (N = 30) AM ± SD
1	While ill	1,163 ± 173	1,443 ± 330	1,346 ± 90	1,098 ± 56	1,503 ± 192	1,200 ± 103
	After recovery	2,619 ± 202	2,605 ± 162	2,891 ± 171	2,437 ± 173	2,281 ± 130	2,596 ± 145
2	While ill	2,019 ± 110	2,159 ± 113	2,100 ± 98	1,730 ± 96	1,797 ± 96	1,754 ± 77
	After recovery	4,044 ± 163	3,920 ± 161	3,708 ± 173	3,275 ± 160	3,501 ± 139	3,251 ± 395
3	While ill	-	-	-	1,154 ± 100	1,460 ± 141	1,147 ± 74
	After recovery	884 ± 81	944 ± 86	1,037 ± 79	690 ± 63	755 ± 80	789 ± 65
4	While ill	-	-	-	1,282 ± 69	1,488 ± 117	1,202 ± 213
	After recovery	3,732 ± 294	4,001 ± 223	3,917 ± 180	3,494 ± 252	3,758 ± 266	3,605 ± 187
5	While ill	-	-	-	2,277 ± 198	2,987 ± 628	2,260 ± 172
	After recovery	1,627 ± 85	2,011 ± 239	1,752 ± 177	1,270 ± 70	1,454 ± 92	1,340 ± 76
6	While ill	-	-	-	3,329 ± 131	3,955 ± 236	3,162 ± 227
	After recovery	2,137 ± 125	2,401 ± 155	2,645 ± 283	2,032 ± 96	2,102 ± 148	2,314 ± 140
7	While ill	1,968 ± 134	2,141 ± 121	2,314 ± 159	1,611 ± 87	1,881 ± 141	2,061 ± 115
	After recovery	2,786 ± 169	2,815 ± 132	2,542 ± 147	2,547 ± 166	2,661 ± 141	2,350 ± 146
8	While ill	2,753 ± 106	2,761 ± 160	2,730 ± 207	2,515 ± 101	2,231 ± 153	2,429 ± 171
	After recovery	3,980 ± 316	4,006 ± 302	3,655 ± 221	3,548 ± 287	3,430 ± 284	3,150 ± 259
9	While ill	-	-	-	3,244 ± 206	3,873 ± 220	3,928 ± 335
	After recovery	3,881 ± 204	3,997 ± 203	3,978 ± 160	3,421 ± 177	3,534 ± 152	3,382 ± 117
10	While ill	2,893 ± 238	3,341 ± 274	2,559 ± 129	3,495 ± 248	3,800 ± 357	3,124 ± 200
	After recovery	3,622 ± 482	3,747 ± 412	3,703 ± 447	3,293 ± 548	3,830 ± 310	3,397 ± 444

Table 3. Continued.

ID	Diagnosis	0.5 m			3.0 m		
		Background (N = 30) GM(GSD)	During Cough (N = 30) GM(GSD)	After Cough (N = 30) GM(GSD)	Background (N=30) GM(GSD)	During Cough (N = 30) GM(GSD)	After Cough (N = 30) GM(GSD)
1	While ill	1,142(1.26)	1,362(1.54)	1,343(1.07)	1,096(1.05)	1,491(1.13)	1,196(1.09)
	After recovery	2,611(1.08)	2,600(1.07)	2,886(1.06)	2,431(1.07)	2,277(1.06)	2,592(1.06)
2	While ill	2,015(1.06)	2,156(1.05)	2,098(1.05)	1,728(1.06)	1,795(1.06)	1,751(1.04)
	After recovery	4,041(1.04)	3,917(1.04)	3,705(1.05)	3,271(1.05)	3,499(1.04)	3,291(1.09)
3	While ill	-	-	-	1,150(1.09)	1,454(1.10)	1,144(1.07)
	After recovery	881(1.10)	940(1.10)	1,034(1.08)	687(1.09)	751(1.11)	786(1.09)
4	While ill	-	-	-	1,280(1.06)	1,484(1.08)	1,185(1.18)
	After recovery	3,721(1.08)	3,994(1.06)	3,910(1.05)	3,485(1.07)	3,748(1.07)	3,600(1.05)
5	While ill	-	-	-	2,269(1.09)	2,928(1.22)	2,254(1.08)
	After recovery	1,625(1.06)	1,997(1.13)	1,744(1.10)	1,268(1.06)	1,451(1.07)	1,338(1.06)
6	While ill	-	-	-	3,326(1.04)	3,948(1.06)	3,154(1.07)
	After recovery	2,133(1.06)	2,396(1.07)	2,630(1.11)	2,030(1.05)	2,097(1.07)	2,310(1.06)
7	While ill	2,309(1.07)	2,138(1.06)	1,964(1.07)	1,608(1.06)	1,875(1.08)	2,058(1.06)
	After recovery	2,781(1.06)	2,812(1.05)	2,538(1.06)	2,542(1.07)	2,657(1.06)	2,346(1.06)
8	While ill	2,751(1.04)	2,757(1.06)	2,723(1.08)	2,513(1.04)	2,226(1.07)	2,423(1.07)
	After recovery	3,968(1.08)	3,995(1.08)	3,649(1.06)	3,536(1.08)	3,419(1.09)	3,139(1.09)
9	While ill	-	-	-	3,238(1.07)	3,867(1.06)	3,912(1.09)
	After recovery	3,875(1.05)	3,992(1.05)	3,975(1.04)	3,416(1.05)	3,531(1.05)	3,380(1.04)
10	While ill	2,883(1.08)	3,330(1.09)	2,556(1.05)	3,486(1.07)	3,783(1.10)	3,118(1.06)
	After recovery	3,589(1.15)	3,724(1.12)	3,675(1.14)	3,249(1.18)	3,817(1.09)	3,368(1.14)

ACCEPTED MANUSCRIPT

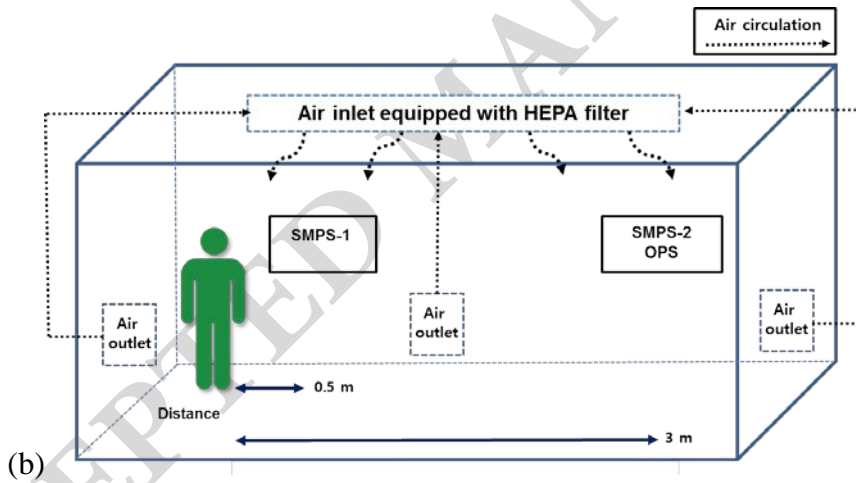
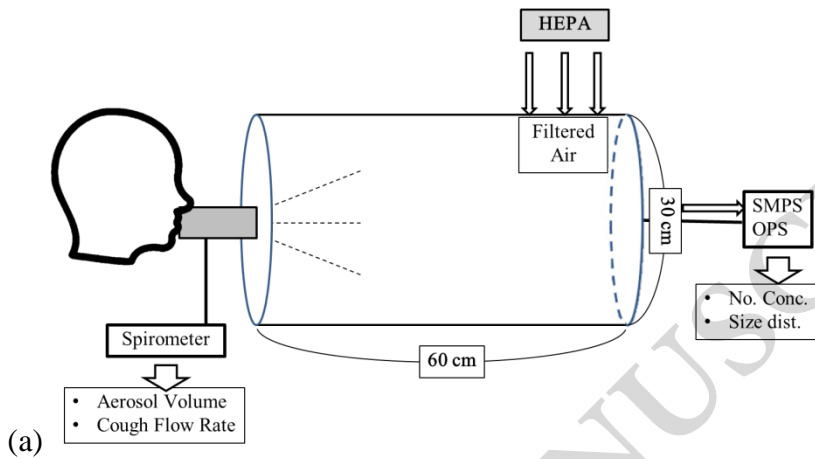
## Figure Captions

**Fig. 1.** Schematic of the exposure chamber (a) for the experiment conducted in the clean room (b). In the experiment (b), filtered air was circulated for approximately 60 min before the experiment to lower the background aerosol concentration. Circulation was suspended during the experiment.

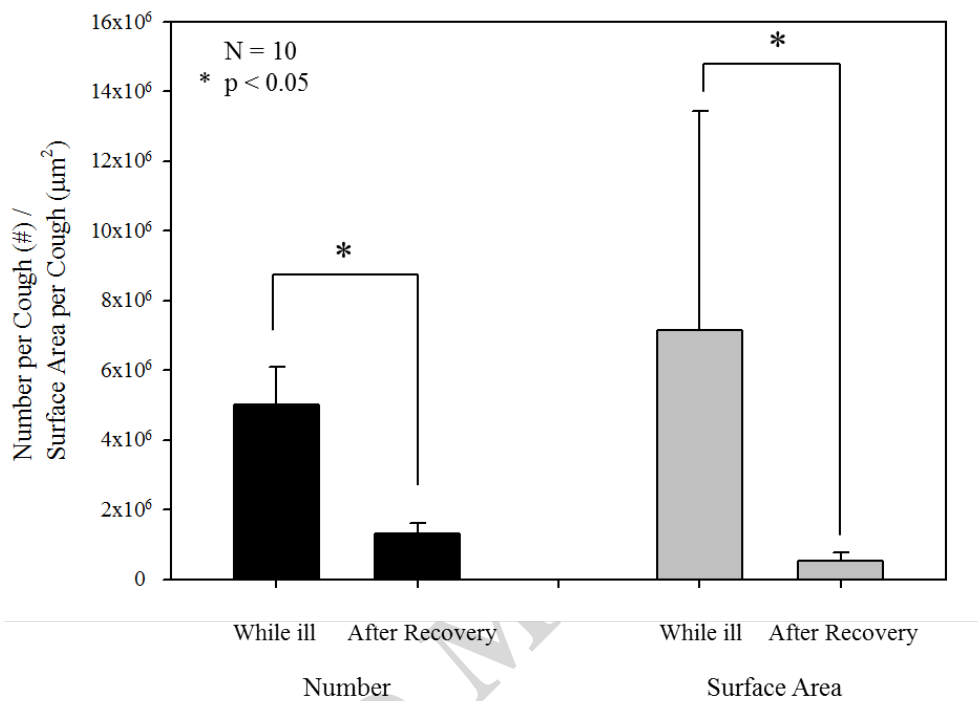
**Fig. 2.** Number and surface area of particles per cough while ill and after recovery. Results were derived from the chamber experiment. Each bar shows the average of three coughs, and the error bars show the standard error.

**Fig. 3.** Number (a) and surface area (b) of particles per cough in each size range. Results from the chamber experiment.

**Fig. 4.** Particle number concentration ratio in the near field (a) and far field (b). The mean number concentration of each phase was normalized by dividing by the mean number concentration of the background. Values shown are medians (line within box), 25th and 75th percentiles (bottom and top of box, respectively), and 10th and 90th percentiles (lower and upper bars on whiskers, respectively).

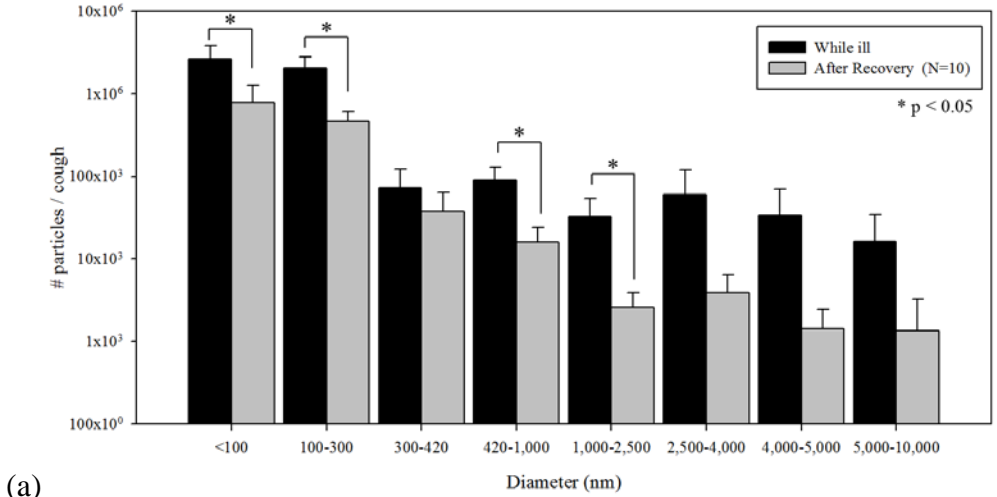


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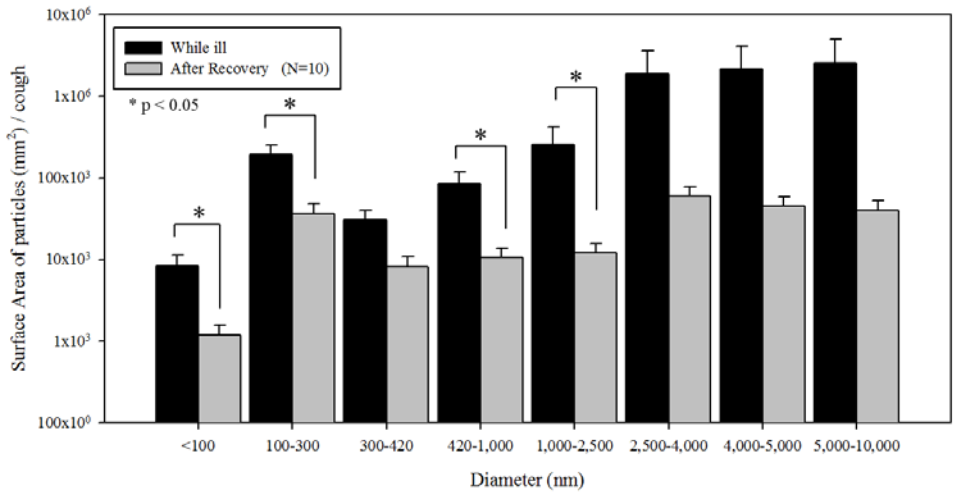


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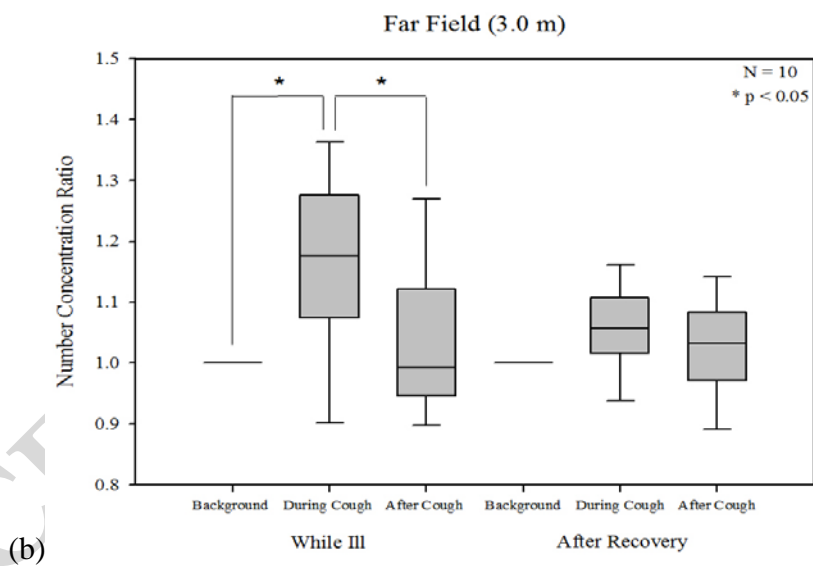
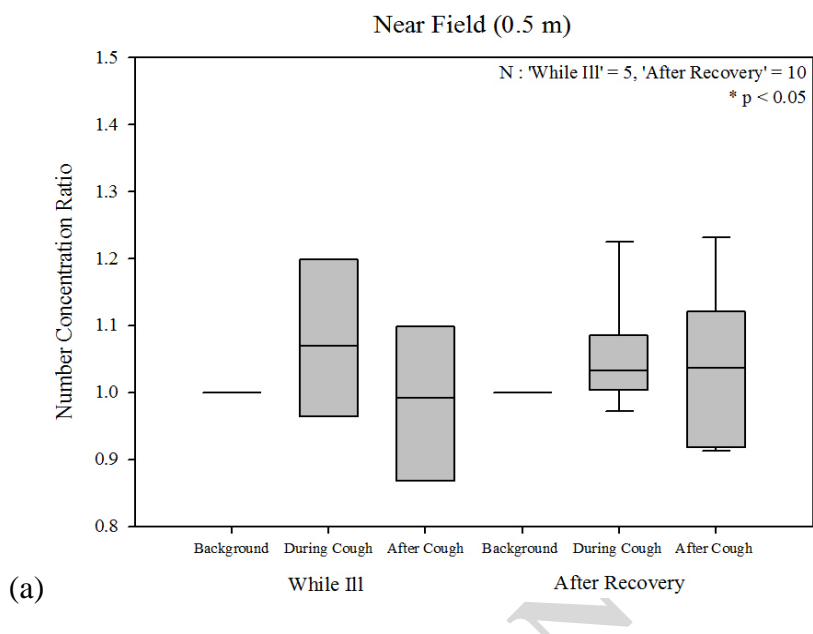


(a)



(b)

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