

1 **Comparison of discharging electrodes for the electrostatic**
2 **precipitator as an air filtration system in air handling units**
3 **(Secondary Publication)[†]**
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11
12 **Abstract**

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14 The quality of indoor air is of increasing concern because it is closely related to human health.
15 An air handling unit (AHU) can be used to control the quality of indoor air with respect to
16 particulate matter and CO₂, as well as providing air conditioning by regulating the temperature
17 and humidity of the air. Electrostatic precipitators have high collection efficiencies and low
18 pressure drops. However, their chargers can generate ozone, which is a drawback of applying
19 them to indoor air control. In this study, we compared four discharging electrodes: a 50- μm
20 tungsten wire, a 100- μm tungsten wire, a 16- μm aluminum (Al) foil, and a carbon fabric
21 comprised of 5–10- μm fibers. The carbon-fabric electrode exhibited superior particle collection
22 efficiency and lower ozone generation for a given power consumption compared to the 50- and
23 100- μm tungsten wires, or the Al foil electrode. This low-ozone-generating, micro-sized
24 electrode can be applied as an electrostatic precipitator in AHUs for indoor air control.
25

26 **Keywords:** Air handling unit; Carbon fabric; Discharge electrode; Ozone

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[†] This article is an English version of “Comparison of discharging electrodes for the electrostatic precipitator as an air filtration system in air handling units [Korean]” published in the Particle and Aerosol Research in March. 2017.

27 INTRODUCTION

28

29 The importance of indoor air quality and the level of public interest in the effects of fine
30 particles on health are both increasing. Ozone is one of the substances found in indoor air that
31 poses a threat to human health because it has adverse effects on the entire respiratory system.
32 Ozone is relatively stable, and is generated mainly outdoors by sunlight. However, it can be
33 generated inside by printers or photocopiers (Boelter and Davidson, 1997; Weschler, 2000).

34 Air handling units (AHUs) are typically used to improve the quality of indoor air via their
35 filtering action. Electrostatic precipitators are used for industrial AHU filters. These have a
36 relatively low pressure drop and are more efficient. However, they are not widely used because
37 they can generate indoor ozone. Ozone filters can be used to collect the ozone generated by
38 electrostatic precipitators, but these incur additional costs and require management. Carbon
39 nanotubes (Bo et al., 2010) and graphene (Bo et al., 2011) have been used as discharge electrodes
40 with lower ozone emissions, but there are difficulties associated with the use of such materials.
41 Grob et al. (2013) developed a charging device based on ultraviolet (UV) light that generates very
42 low amounts of ozone. However, UV generation consumes a large amount of energy. Hyun et al.
43 (2017) reported a reduction in the amount of ozone generated by using coated discharge
44 electrodes with silver, but the addition of this coating necessitates an additional step in the device
45 fabrication process.

46 In this study, a new charging device consisting of a sheet of carbon fiber with a diameter of 5–
47 10 μm was fabricated. Carbon-fiber electrodes can generate large numbers of ions at either the
48 cathode or the anode while emitting minimal levels of secondary pollutants such as ozone (Han et
49 al., 2009; Park et al., 2011). The particle-collecting performance and ozone emission of our
50 charging device made of carbon fabric were compared to those of a conventional discharge
51 electrode with 50- or 100- μm tungsten wire and aluminum (Al) foil. A collector at the rear end

52 was used to evaluate the collection performance of the carbon-fabric discharge electrode. This
53 study can probably contribute to the improvement of indoor air quality with high efficiency by
54 reducing the operating costs of AHUs with a low pressure drop, which is a key advantage of
55 electrostatic precipitators (Kang et al., 2016; Han et al., 2012; Koo et al., 2013).

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57 **EXPERIMENTAL SETUP AND METHODS**

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59 Fig. 1 shows optical images of the four discharge electrodes investigated in this study. Tungsten
60 wires were prepared with diameters of 100 μm and 50 μm from 99.95% tungsten (AVENTION,
61 Korea). The carbon-fabric electrodes were prepared M34-21 carbon sheet (1322P), which has 3K
62 yarn with a plain weaving pattern of density 14 count inch^{-1} , purchased from Mirae Sinsojae
63 (Korea). Aluminum foil with a thickness of 16 μm was purchased from Daehan Aluminum
64 (Korea), and cut into 15 \times 30-mm rectangles.

65 As shown in Fig. 2, the four prepared discharge electrodes were positioned at the center between
66 two stainless-steel (SS) ground plates. A negative voltage of several kV was applied between the
67 discharge electrode and the ground plates to provide unipolar charging. The outer case of the
68 charging device was made of nonconductive polyvinyl chloride (PVC).

69 The collector comprised seven SS plates, as shown in Fig. 3. A high voltage was applied across
70 three of these plates, while the others were grounded, which generated an electric field so that
71 particles collected inside the collecting device. The spacing between the plates was 3.2 mm, and
72 the collector was designed so that most of the singly charged particles of diameter 0.3 μm that
73 passed through it at -5 kV could be collected.

74 Fig. 3 shows the duct system used to evaluate the performance of the chargers in this study.
75 Potassium chloride (KCl) particles were generated by injecting 1% KCl solution into an atomizer
76 (model 3076; TSI, USA) to produce test particles 0.3 μm in diameter and then supplied to the

77 upstream side of the 32 × 32-mm test duct. The particles then mixed with test air introduced by a
78 blower located downstream of the test duct.

79 The number concentration of 0.28~0.3- μm particles was maintained within $1 \times 10^3 \text{ cm}^{-3}$
80 upstream of the duct. To minimize the inflow of contaminating particles, a high-efficiency
81 particulate air (HEPA) filter was installed upstream of the test duct. The charged particles
82 generated by the particle generator were neutralized with a ^{85}Kr neutralizer (model 3012; TSI).
83 The air flow rate was set to 12 L min^{-1} (face velocity, 0.3 m s^{-1}) for comparisons of the particle
84 collection efficiency. The 0.3- μm -particle collection performance and ozone concentration were
85 measured at different applied voltages, from -4 to -9 kV, and a fixed voltage of -5 kV was applied
86 to the collector. The particle number concentration and ozone concentration were measured using
87 a dust spectrometer (model 1.109; Grimm Aerosol Technik Ainring GmbH & Co. KG, Germany)
88 and ozone meter (Model 202; 2B Technologies, USA), respectively. Particle sampling was
89 conducted at a location downstream of the collector. The particle collection efficiency was
90 calculated using the equation:

91

$$\eta = \left(1 - \frac{n_1}{n_0}\right) \times 100$$

92

93 where

94 η = particle collection efficiency (%),

95 n_0 = number concentration of condition with charging device off and collector on (cm^{-3}), and

96 n_1 = number concentration of condition with charging device on and collector on (cm^{-3}).

97

98 **RESULTS AND DISCUSSION**

99

100 Fig. 4 shows the change in current according to the voltage applied over the charging device.
101 The current was measured by connecting the discharge to the ammeter and the ground plate in
102 series. Higher currents were measured at the same applied voltage in the order of a carbon fabric,
103 50- μm tungsten wire, 100- μm tungsten wire and Al foil. The currents increased when the size of
104 the discharge electrode decreased in the cases of the carbon fabric, 50- μm tungsten wire, and 100-
105 μm tungsten wire, but the discharge electrode of the Al foil was smaller than the discharge
106 electrodes of the wire type used in this experiment, and this device had the highest onset voltage,
107 of -8 kV. The thickness of the Al foil was 16 μm . However, the ductility of the Al foil electrode
108 caused it to have a rough surface during the preparation steps.

109 Fig. 5 shows the collection efficiency with respect to the voltage applied over the discharge
110 electrode. The discharge current increased with the applied voltage because higher discharge
111 currents arise when more ions are generated at the discharge electrode. This increases both the
112 probability of charging the particles and the collection efficiency of the collector. Figs. 4 and 5
113 show that higher collection efficiency can be reached by using a discharging electrode with a
114 higher discharging current at the same voltage. Comparing the two tungsten wire-discharge
115 electrodes, which were made from the same material but had different diameters, the collection
116 efficiency of the smaller tungsten wire electrode (diameter of 50 μm) was higher at the same
117 applied voltage. The carbon-fabric discharge electrodes had markedly higher collection
118 efficiencies than the 50- μm tungsten wire electrode because of their smaller diameter.

119 Fig. 6 shows the collection efficiency as a function of power consumption. The highest particle-
120 collection efficiency at a given power consumption was obtained using the carbon-fabric
121 discharge electrode. In the case of the tungsten wire, the 50- μm tungsten wire had higher particle
122 collection efficiency than the 100- μm tungsten wire at the same power consumption. Although

123 the Al foil electrode was smaller than the wires, at the same voltage and power consumption its
124 collection efficiency was lower because of its higher onset voltage.

125 Fig. 7 shows the changes in ozone concentration as a function of power consumption for each
126 discharge electrode. Ozone measurement was performed 200 mm downstream of the charging
127 device without using a collector with an airflow of $7 \text{ L}\cdot\text{min}^{-1}$. When the power consumption was
128 held constant to within 0.5 W, the carbon-fabric discharge electrode generated several to several
129 tens of ozone parts per billion, which was significantly fewer (10 times lower) than the other
130 discharge electrodes, which generated several hundred ozone parts per billion. These results show
131 that the carbon-fabric charging device developed was superior to the other charging devices
132 tested in terms of both power consumption and ozone generation.

133 However, due its small diameter of several micron, the durability of the charging device and
134 whether particles become attached to the carbon fabric after prolonged use need to be considered.
135 Additionally, the effect of humidity on particle-collection performance should also be considered
136 in future studies, prior to applying our results to AHU collecting equipment.

137

138 **CONCLUSION**

139

140 In this study, the collection performance and amounts of ozone generated were evaluated while
141 varying the voltage applied according to the type of discharge electrode in the charging device.
142 The conclusions are as follows:

- 143 (1) For the same applied voltage, the discharge current and collection efficiency of the 50- μm
144 tungsten wire was higher than that of the 100- μm tungsten wire usually used in
145 electrostatic AHU precipitators. Carbon fabric with a smaller discharge electrode was
146 more effective than tungsten wire.

147 (2) At the same power consumption, the carbon-fabric discharge electrode generated less
148 ozone than the other electrodes.

149 (3) Considering power consumption, particle collection performance, and ozone generation,
150 the carbon-fabric discharge electrode was superior to the 50- and 100- μm tungsten wire
151 discharge electrodes usually used in conventional electrostatic precipitators.

152 Based on our results, to improve indoor air quality while maintaining a low pressure drop,
153 carbon-fabric discharge electrodes can be considered for use as the electrostatic precipitator in
154 AHU air filtration systems instead of the conventional fiber filters that require periodic cleaning
155 and replacement. The use of several micro-sized carbon-fabric discharge electrodes yields
156 excellent particle collection performance with low power consumption and little ozone generation.

157

158 **ACKNOWLEDGMENTS**

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160 This research was supported by the Eco-Innovation Project operated by the Ministry of the
161 Environment, Korea.

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Fig. Captions

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207 **Fig. 1.** Picture of the charging element used in this study. A: tungsten wire 100 μm in diameter, B:
208 tungsten wire 50 μm in diameter, C: carbon fabric (thickness 5–10 μm), D: aluminum foil
209 (thickness 16 μm).

210 **Fig. 2.** Schematic of the charger used in this study.

211 **Fig. 3.** Schematic of the experimental setup for the measurement of particle collection efficiency.

212 **Fig. 4.** Changes in current with respect to the voltage applied to the ionizers by changing the
213 charger materials.

214 **Fig. 5.** Collection efficiency as a function of the voltage applied to the ionizers for different
215 charger materials.

216 **Fig. 6.** Collection efficiency as a function of the power consumption by ionizers composed of
217 different charger materials.

218 **Fig. 7.** Ozone concentration as a function of power consumption for each discharge electrode.

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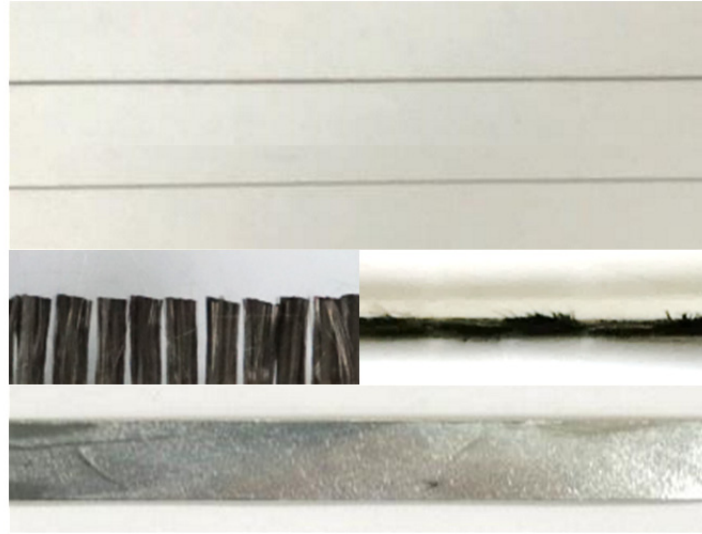
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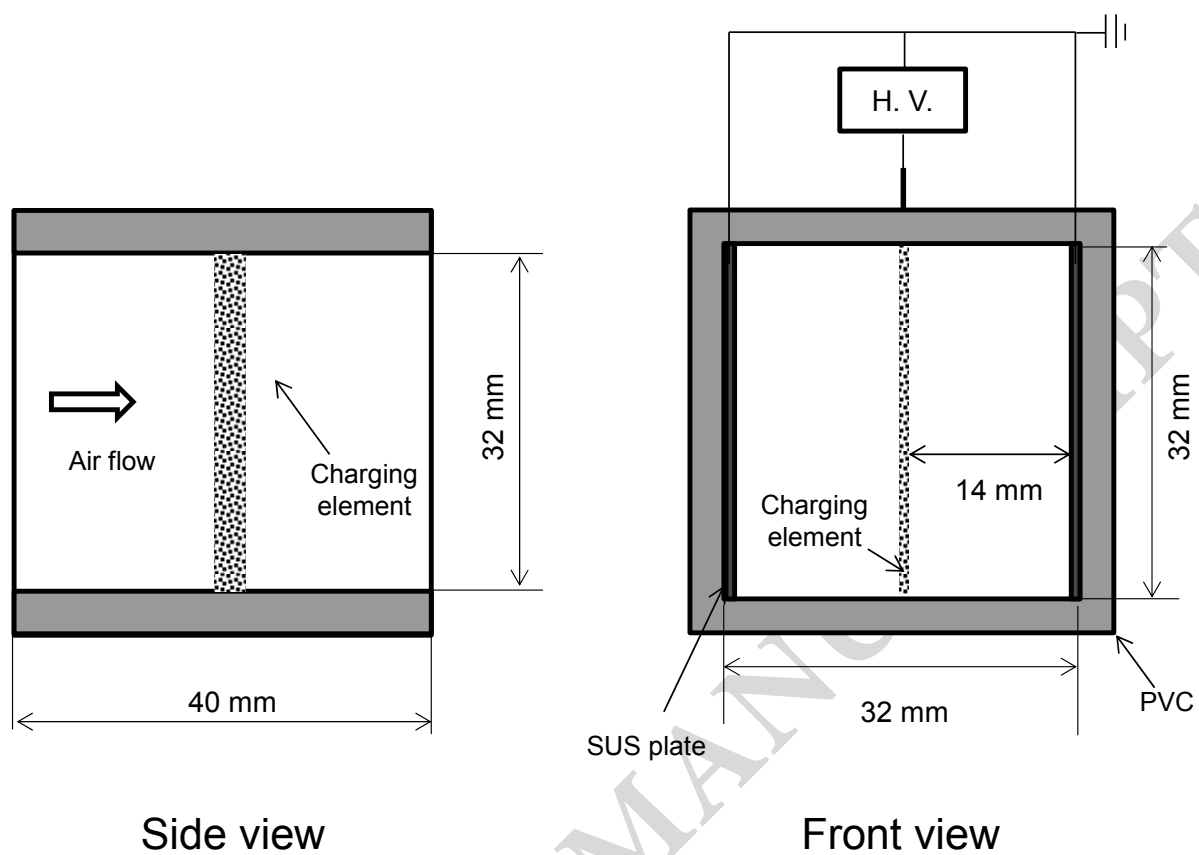
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Fig. 1.

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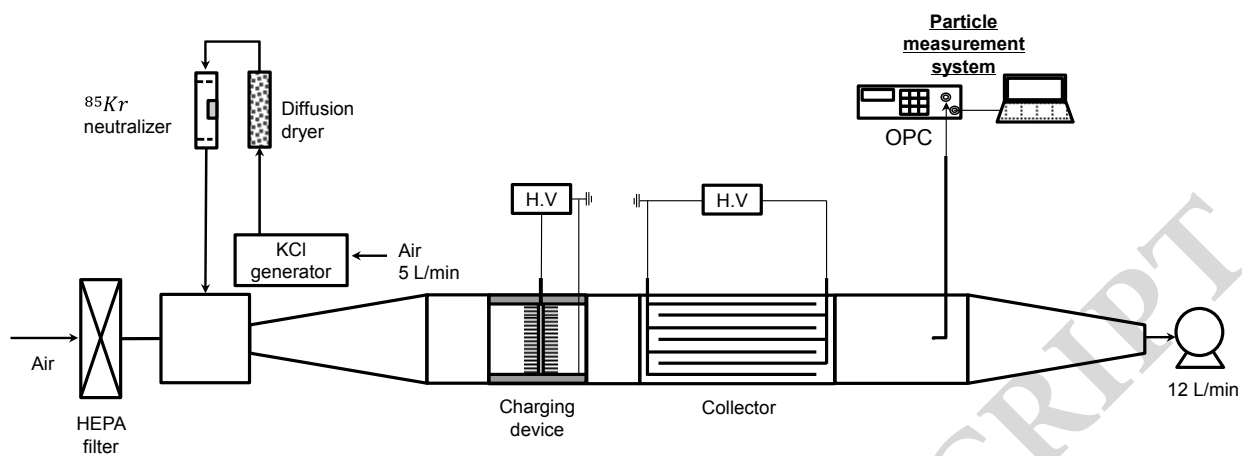
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Fig. 2.

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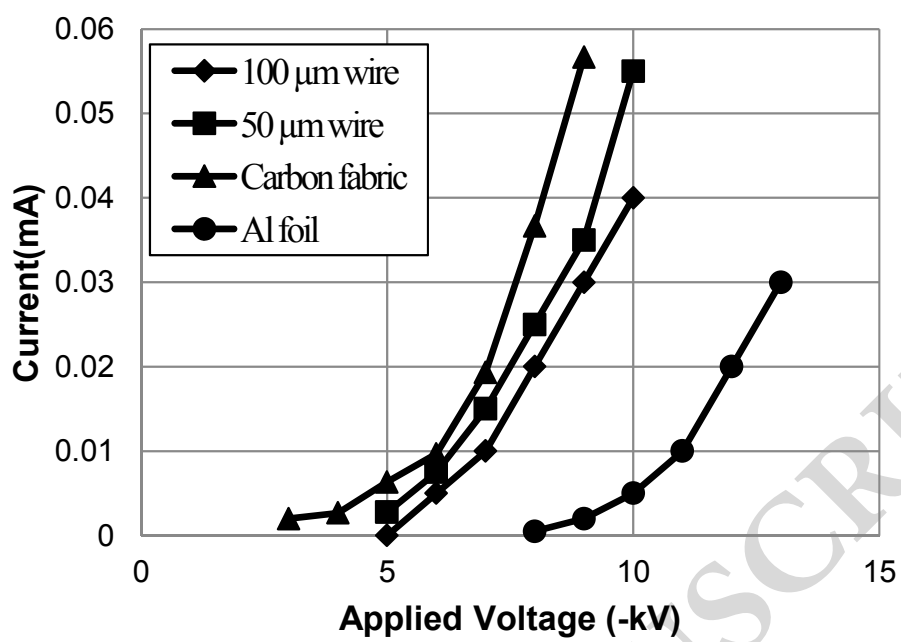
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Fig. 3.

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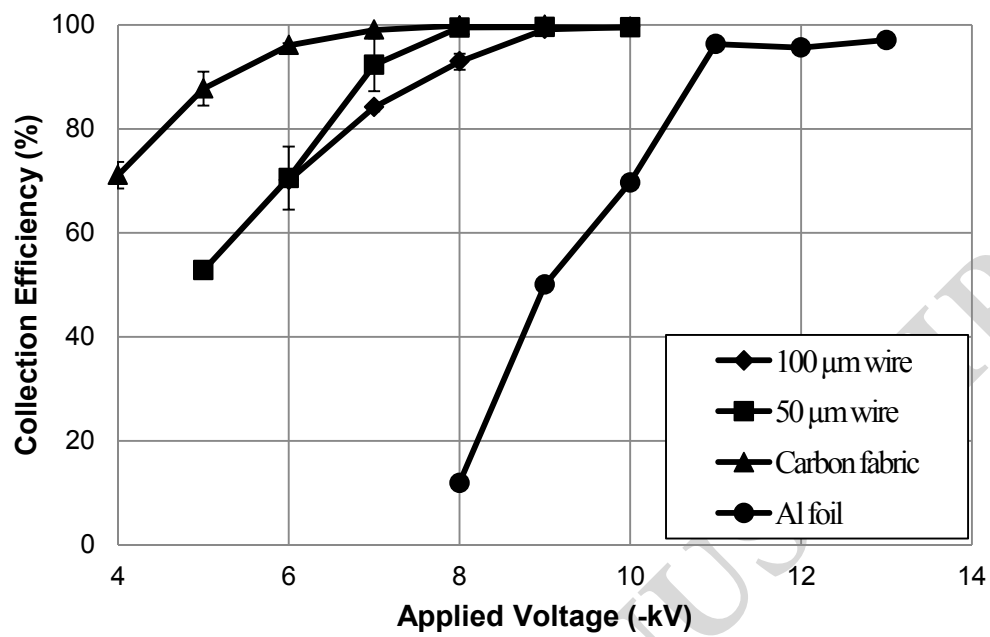
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Fig. 4.



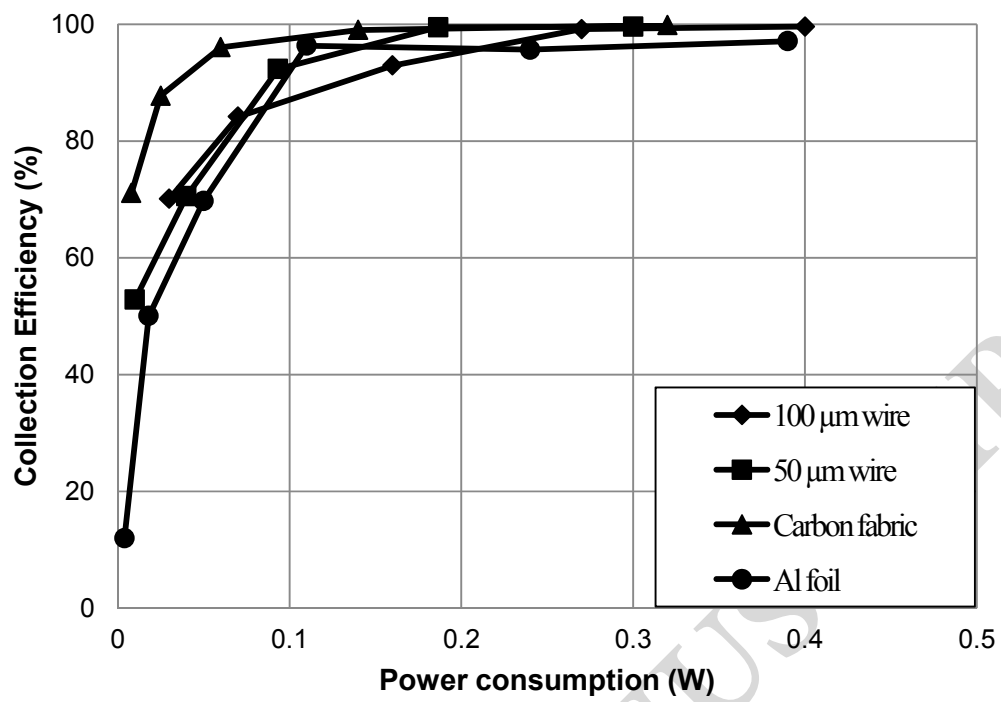
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Fig. 5.

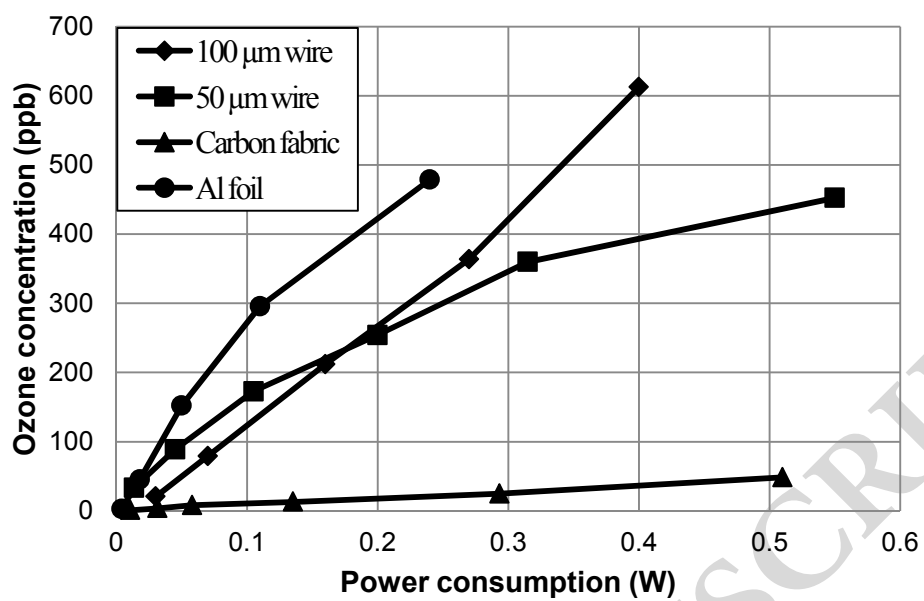


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Fig. 6.



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Fig. 7.

271 **APPENDIX**

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