

# Size-Selective Assessment of Respirator Protection Against Airborne Fungi and (1→3)-β-D-glucan in Farms

Shu-An Lee<sup>1\*</sup>, Chien-Hua Liao<sup>1</sup>, Tsai-Yu Lin<sup>2</sup>

<sup>1</sup> Department of Environmental Engineering and Science, Feng Chia University, No. 100, Wenhwa Rd., Seatwen, Taichung 40724, Taiwan, Republic of China.

<sup>2</sup> Department of Applied Mathematics, Feng Chia University, No. 100, Wenhwa Rd., Seatwen, Taichung 40724, Taiwan, Republic of China.

## Abstract

(1→3)-β-D-glucan is a major component of the fungal cell wall. It is commonly used to evaluate human exposure to fungi. A personal sampling system was developed to size-selectively evaluate workplace protection factors (WPF) provided by N95 filtering facepiece respirators (FFR) and surgical masks (SM) against fungi. This field study was performed with human subjects wearing an N95 FFR or a SM in farming activities. The geometric means (GM) of the WPFs of N95 FFRs and SMs were 156.2 and 12.2 for total culturable fungi, 55.4 and 9.0 for total fungi, and 10.5 and 11.1 for (1→3)-β-D-glucan. WPFs for N95 FFRs against fungal contaminants were mostly greater than those for SMs; however, still about 4.8%-35.0% of WPFs in the spore size range (> 1.8 μm) were below 10 (the assigned protection factor designated for N95 FFRs by the US Occupational Safety and Health Administration). The WPFs of N95 FFRs and SMs against culturable fungi and (1→3)-β-D-glucan increased with increasing particle size. Total (1→3)-β-D-glucan significantly correlated with total fungi ( $r = 0.588$ ,  $p < 0.001$ ) and total culturable fungi ( $r = 0.463$ ,  $p = 0.002$ ). This indicates that (1→3)-β-D-glucan could be used as an indicator to assess respirator protection against airborne fungi in agricultural farms.

**Keywords:** Culturable Fungi; Total Fungi; (1→3)-β-D-glucan; Protection; Respirator.

## INTRODUCTION

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\* Corresponding author. Tel: +886-4-24517250 ext. 5234; Fax: +886-4-24517686

E-mail address: salee@fcu.edu.tw

31 Fungi are classified as a type of bioaerosols. It has been found that exposure to fungi can be  
32 associated with respiratory diseases such as allergies and asthma (Bornehag et al., 2005; Edwards  
33 et al., 2012; Roy et al., 2017). Epidemiological studies have discovered that moisture and fungi in  
34 the air are correlated with respiratory diseases in children and adults (Institute of Medicine, 2004;  
35 Thacher et al., 2017). Apart from causing respiratory and allergy-related problems in humans,  
36 fungi also cause infections (e.g., Aspergillosis) and other toxic responses. The mechanism for  
37 toxic responses is induced by secondary metabolites such as mycotoxins. However, the  
38 components of the fungal cell wall (such as (1→3)- $\beta$ -D-glucan) are also known to cause toxic  
39 reactions (Husman, 1996; Levetin, 1995) and to exacerbate allergic asthma (Zhang et al., 2017).  
40 Additionally, exposure to volatile organic compounds produced by fungi may cause atypical or  
41 non-specific symptoms such as headache, eye, nose, and throat irritation, fatigue, and others  
42 (Miller, 1992). Hence, some countries have proposed occupational exposure limits for total  
43 microorganisms. They propose that the range be kept between 5000-100000 CFU m<sup>-3</sup> (Health and  
44 Safety Executive, 2003; National Labour Inspection of Denmark, 1989). Beijer et al. (2002)  
45 divided the concentration of (1→3)- $\beta$ -D-glucan into “high” and “low”. A concentration greater  
46 than 4 ng m<sup>-3</sup> is considered as a “high” level, while a concentration lower than 2 ng m<sup>-3</sup> is counted  
47 as a “low” level.

48 Taiwan is located in a sub-tropical climatic region and is surrounded by the sea. The weather is  
49 warm and humid, suitable for agricultural development. According to Taiwan's 2013  
50 Directorate-General of Budget, Accounting and Statistics, Executive Yuan, R.O.C (Taiwan), 3  
51 million people out of Taiwan's population of 23 million live and work in agricultural  
52 environments (Taiwan Directorate-General of Budget, 2013). Farming environments are known  
53 for their high concentrations of fungi. Chief among these are swine farms (Sowiak et al., 2012),  
54 poultry farms (Lee et al., 2006), and grain farms (Roy and Thorne, 2003). Apart from farms,  
55 peripheral housing close to farming areas is also known to have greater concentrations of fungi  
56 compared to average housing (Lis et al., 2008). The concentrations of airborne fungi in farms  
57 from this study were published in 2014 (Lee et al., 2014). The study results indicated that  
58 concentrations of culturable fungi in corn farms and mushroom farms were both higher than the  
59 proposed occupational exposure limits (5000-100000 CFU m<sup>-3</sup>). Swine farms and poultry farms  
60 also had concentrations of airborne culturable fungi that were close to the exposure limits. In  
61 addition, the concentrations of (1→3)-β-D-glucan at the agricultural farms (Lee et al., 2014) we  
62 tested all exceeded 4 ng m<sup>-3</sup>, which Beijer et al. (2002) classified as a "high" exposure level. This  
63 indicates that the participating farmers at these particular farms in this study have a high risk of  
64 exposing themselves to high concentrations of fungal contaminants.

65 As a farm covers a massive area, there is a high variation in sources of fungal contamination.  
66 For example, animal feed, grains, and soil were found to contain high levels of allergenic fungi  
67 (Weikl et al., 2015). Fungi can spread via farming work, crop cultivation, and harvesting.  
68 Therefore, in order to lower the risk of farmers exposing themselves to high concentrations of  
69 fungi, the use of respirators is more feasible than engineering control. There are two types of  
70 respirators: air-supplying respirators (ASR) and air-purifying respirators (APR). As APRs are  
71 easier to maintain, less of a hindrance for the user (Han et al., 1997), lighter weight and more  
72 convenient, they are more commonly used by farm workers (Popendorf et al., 1995). Two types  
73 of APRs are often used by healthcare workers to combat the spread of airborne infectious  
74 diseases: N95 filtering facepiece respirators (FFR) and surgical masks (SM). N95 FFRs have  
75 shown less filter penetration, fewer face seal leaks, and less total inward leakage than surgical  
76 masks under laboratory conditions (Grinshpun et al., 2009; Lee et al., 2008; Smith et al., 2016).  
77 However, there is still insufficient data to definitively show that N95 FFRs provide better  
78 protection against fungal contaminants in agricultural farms. Hazardous particles enter the  
79 respirator through leaks in the filter material and the face seal. Normally, the efficiency of the  
80 filter is determined by filtration efficiency, and face seal leaks are evaluated by fit factors (FF).  
81 When evaluating the protection efficiency of respirators during work, a workplace protection  
82 factor (WPF) examination should be conducted as well. The WPF is a measure of the protection

83 provided in the workplace by a properly selected, fit-tested, and functioning respirator that is  
84 correctly worn and used in the specific conditions of that workplace (AIHA, 2002; Federal  
85 Register 60:110, 1995). In order to determine the actual protection level that the respirators tested  
86 can provide, this study conducted a WPF measurement on workers wearing respirators while  
87 performing farming activities.

88 Apart from fungal spores, fungal fragments and hyphae can also be suspended in the air.  
89 Fungal fragments smaller than  $1\mu\text{m}$  exist as well (Lee et al., 2014; Seo et al., 2009). Fungal  
90 fragments and hyphae are potential allergens (Green et al., 2005). Experimental studies have  
91 indicated that the concentrations of fungal spores in buildings contaminated by fungi might not be  
92 greater than those in non-contaminated buildings (Chew et al., 2003). The study results revealed  
93 that taking concentrations of fungal spores and fragments into account increased the association  
94 with allergy severity (Delfino et al., 1997). This implies that the particle size of fungal spores and  
95 fragments should be considered when we evaluate respiratory protection against fungi. Since (1  
96  $\rightarrow$ 3)- $\beta$ -D-glucan is a major constituent of the fungal cell wall, it is frequently used as an index to  
97 evaluate fungi and fungal fragments (Seo et al., 2009; Lee et al., 2014). Therefore, this study  
98 utilized (1 $\rightarrow$ 3)- $\beta$ -D-glucan as an index for fungal fragments. This was accomplished by using a  
99 two-stage bioaerosol cyclone sampler to size-selectively collect fungal spores and fragments from  
100 the air.

101 Currently, fungal spore analysis is conducted using either a culturable method or a non-  
102 culturable method. The culturable method involves placing the sample on an agar plate, placing  
103 the plate into an incubator for 1 week, and then calculating the colonies on the plate. The non-  
104 culturable method is conducted by collecting fungal spores from the filter, dying them with  
105 colored dye, then counting the numbers under the microscope. It was discovered that two non-  
106 culturable methods (light microscopy with phenosafranin and epi-fluorescence microscopy with  
107 acridine orange) yielded the same outcome, with no significant statistical difference and a  
108 correlation coefficient close to 1 (Lee et al., 2014). Even though the non-culturable method and  
109 the culturable method showed a direct relation in terms of fungal spore concentration, the non-  
110 culturable method produced higher values than the culturable method by 10-14 times. Hence, this  
111 study also investigated the effect of different fungal spore counting methods on the protection  
112 level provided by respirators.

113 The aim of this study is to use our newly developed personal sampling system to size-  
114 selectively determine WPF values provided by N95 FFRs and SMs against airborne fungi and (1  
115  $\rightarrow$  3)- $\beta$ -D-glucan in agricultural farms. The effects of fungal contaminant particle size on  
116 respirator protection was also explored while comparing WPF results. We investigated WPF  
117 results for both fungi and (1 $\rightarrow$ 3)- $\beta$ -D-glucan in this study and this also gave us an opportunity to

118 further explore the association between WPF values for (1→3)- $\beta$ -D-glucan and WPF values for  
119 fungi.

120

## 121 **METHODS**

### 122 *Development of a personal sampling system*

123 A sampling system was developed to size-selectively assess respirator protection against  
124 airborne fungal contaminants in agricultural farms. The design concept was based on our  
125 previously developed personal sampling system, which had been used to evaluate respirator  
126 protection against airborne dust and microorganisms in the laboratory and in agricultural farms  
127 (Lee et al, 2004, 2005a and 2005b). This previous personal sampling system was described in  
128 detail by Lee et al. (2004). In short, there were two sampling lines (an in-facepiece sampling line  
129 and an ambient sampling line) to collect air samples inside and outside the respirator. Each  
130 sampling line consisted of a sampling probe, two adaptors, a 0.5-inch diameter of Tygon tubing, a  
131 metal sampling chamber, an optical particle counter, a 25-mm filter cassette, and a pump. A silica  
132 gel/Nafion dryer was installed to remove moisture from human-exhaled air in the in-facepiece  
133 sampling line.

134 In order to size-selectively collect airborne fungi and fungal fragments in the present study, we  
135 used a two-stage bioaerosol cyclone sampler (model BC221) instead of the 25-mm filter cassette.  
136 This sampler is composed of two screw-top 1.5 mL microcentrifuge tubes (Model 506-624,  
137 Fisher Scientific, USA) and a 37-mm filter holder with a 0.8  $\mu$ m polycarbonate filter (Millipore

138 Inc., Ireland). The 50% cut-off diameters of the first and second tubes are 1.8  $\mu\text{m}$  and 1.0  $\mu\text{m}$  at  
139 an air flow rate of 3.5 L  $\text{min}^{-1}$ . The filter directly after the second tube is used to sample particles  
140 smaller than 1.0  $\mu\text{m}$ . The size fractions of < 1.0  $\mu\text{m}$ , 1-1.8  $\mu\text{m}$ , and > 1.8  $\mu\text{m}$  represent fungal  
141 fragments, a mixture of fungal fragments and spores, and fungal spores respectively. The  
142 sampler's detailed description and aerosol collection performance was stated in Lindsley et al.  
143 (2006).

144 The previously developed silica gel/Nafion dryer would quickly become saturated with  
145 moisture and had to be replaced frequently, especially in heavy workloads or in hot and humid  
146 weather. We modified the silica gel/Nafion dryer by providing additional dry air instead of  
147 installing silica gels to create humidity gradients like those found in commercially available  
148 Nafion dryers. The modified dryer was composed of a bundle of 12 lengths of Nafion tubing (2.8  
149 mm outer diameter and 127 mm length) placed inside an acrylic cylinder (38 mm outer diameter  
150 and 152 mm length). At a sampling flow of 3.5 L  $\text{min}^{-1}$  and a dry air flow (RH = 20-30%) of 20 L  
151  $\text{min}^{-1}$ , the modified dryer was capable of reducing the RH of human exhaled air from 91-93% to  
152 < 68.7%. This prevents hygroscopicity and the agglomeration of collected particles. In order to  
153 make the modified dryer portable and compatible for field study, we used ambient air as dry air.  
154 The RH of ambient air varied, and should be preconditioned before introduction to the modified  
155 dryer. Ambient air was preconditioned and filtered by a HEPA filter (HEPA capsule, Pall Corp.,



156 NY, USA) and an Al<sub>2</sub>O<sub>3</sub> dryer (330 g Al<sub>2</sub>O<sub>3</sub> pellets in an acrylic cylinder with an outer diameter  
157 of 58 mm and a length of 267 mm). When the Al<sub>2</sub>O<sub>3</sub> dryer was saturated with moisture, its  
158 indicator (chloride cobalt) changed color from blue to pink to indicate that it had to be replaced.  
159 At a flow rate of 20 L min<sup>-1</sup>, the Al<sub>2</sub>O<sub>3</sub> dryer demonstrated its ability to make dry air RH < 37%  
160 in 120 minutes when ambient air RH = 55-66%. The RH of dry air was controlled at < 42% in 60  
161 minutes and < 56% in 120 minutes when ambient air RH = 90%. These results could be used as a  
162 basis for Al<sub>2</sub>O<sub>3</sub> dryer replacement in field trials. The personal sampling system along with the  
163 modified dryer used in this study is presented in Fig. 1.

164

#### 165 ***WPF measurement conducted in agricultural farms***

166 Our field measurements were conducted in four farms: two types of animal confinements  
167 (swine and poultry), one corn farm, and one mushroom cultivation farm. Detailed information on  
168 farming activities, farm characteristics, and methods for analyzing airborne fungi and (1→3)- $\beta$ -  
169 D-glucan are presented in Lee et al. (2014). Field samples were collected using a personal  
170 sampling system, which was also described in detail by Lee et al. (2014). This was worn by a  
171 human subject wearing a respirator. N95 filtering facepiece respirators (Model 8210; 3M, St. Paul,  
172 MN, USA) and surgical masks (Model 1827; 3M, St. Paul, MN, USA) were tested for each  
173 subject in each field experiment.

174 Farm workers were less willing to participate in field testing. In order to investigate the  
175 protection of the respirators against airborne fungi and (1→3)- $\beta$ -D-glucan, 8 healthy subjects  
176 enrolled in the study and followed the farm workers to perform the same farming activities as  
177 they did at work. They were all students (7 males and 1 female, aged 20-28) from Feng Chia  
178 University. Measured results of facial characteristics of student subjects were listed as follows:  
179 values for the distance from the menton to the top of the head ranged from 19.4 to 24.9 cm; for  
180 the bitracion breadth, they were 12.9 to 15.0 cm; and for the lip width, they were 4.4 to 5.6 cm. A  
181 total of 42 samples (21 samples for N95 FFRs and 21 samples for SMs) were collected in four  
182 farms. All subjects recruited in the study had to pass a medical clearance evaluation and perform  
183 a fit test before participating in field testing. The medical clearance evaluation was conducted  
184 using the questionnaire specified in OSHA standard 1910.134, Appendix A (OSHA, 1998).  
185 Human testing in this study had been approved by the Institutional Review Board of China  
186 Medical University Hospital, Taichung, Taiwan (approval number DMR96-IRB-210). Each test  
187 subject provided written informed consent where the possible risks of the field test were  
188 addressed. All subjects were required not to have beards or stubble on their face, and not to  
189 smoke for one hour before the test.

190 The respirator fit test was performed for each subject prior to his or her involvement in the  
191 field test. Before fit testing, each subject was trained and instructed on how to wear the respirator

192 properly. The instructions followed the manufacturer's guidance for the use of the respirator. Fit  
193 testing was conducted with a TSI Portacount Plus in connection with an N95 companion (TSI,  
194 Inc., St. Paul, MN, USA) in compliance with the 6-exercise protocol (OSHA, 1998). A fit factor  
195 of 100 or above in the quantitative fit test constituted a pass for N95 FFRs. The subject then  
196 donned the respirator equipped with the personal sampling system. In each farming environment,  
197 each subject performed farming activities that lasted for about 60 minutes.

198

#### 199 ***Data analysis***

200 Data obtained in the field study was organized in Microsoft Excel 2016. Plots were made by  
201 SigmaPlot 10.0. Statistical tests were performed with SPSS 12.0 for Windows (SPSS Inc., USA).  
202 P-values of  $< 0.05$  were considered significant. WPFs and FFs were not normally distributed. The  
203 Mann-Whitney U test was used to examine the difference in three types of WPF values between  
204 N95 FFRs and SMs: the WPF for total culturable fungi ( $WPF_{\text{total culturable fungi}}$ ), the WPF for total  
205 fungal spores ( $WPF_{\text{total fungal spores}}$ ), and the WPF for total (1 $\rightarrow$ 3)- $\beta$ -D-glucan ( $WPF_{\text{total (1}\rightarrow\text{3)-}\beta\text{-D-glucan}}$ ).  
206 The differences in fit factors among fit testing exercises were examined by the Kruskal-  
207 Wallis test. The differences in  $WPF_{\text{total culturable fungi}}$  and  $WPF_{\text{total (1}\rightarrow\text{3)-}\beta\text{-D-glucan}}$  among particle sizes  
208 were also examined through the Kruskal-Wallis test. To approach normality for the regression  
209 analysis, WPFs and FFs were transformed using base-10 logarithms. Pearson correlation  
210 coefficients were obtained to examine the associations:  $WPF_{\text{total (1}\rightarrow\text{3)-}\beta\text{-D-glucan}}$  vs.  $WPF_{\text{total culturable}}$

211 fungi,  $WPF_{total (1\rightarrow3)\text{-}\beta\text{-D-glucan}}$  vs.  $WPF_{total \text{ fungal spores}}$ , FFs vs.  $WPF_{total \text{ culturable fungi}}$ , FFs vs.  $WPF_{total \text{ fungal}}$   
212 spores, and FFs vs.  $WPF_{total (1\rightarrow3)\text{-}\beta\text{-D-glucan}}$ . WPF values for fungal contaminants whose  
213 concentrations were not detected inside the respirator were calculated using half of the detection  
214 limit for inside concentrations (Cho et al., 2011; Lee et al., 2005).

215

## 216 **RESULTS AND DISCUSSION**

217

### 218 *Fit factors of surgical masks and N95 filtering facepiece respirators*

219 Fig. 2 shows the FFs acquired for N95 FFRs and SMs under 6 different types of exercises. Fig.  
220 2(a) shows the FFs acquired for N95 FFRs under different types of exercises. It was discovered  
221 that the FF from the first normal breathing (geometric mean (GM) = 553.3) was higher than other  
222 types of exercises. The lowest FF value (GM = 319.7) was seen when bending. After conducting  
223 the Kruskal-Wallis test, it was found that the 6 different types of exercises did not cause  
224 statistical significance ( $p = 0.35$ ) in FF values. Fig. 2(b) is the FFs acquired for SMs under  
225 different types of exercises. The results show that the FF value acquired from talking (GM = 5.4)  
226 was the highest. The FF value from the last normal breathing was the lowest (GM = 2.8). After  
227 conducting the Kruskal-Wallis test, it was found that the 6 different types of exercises did not  
228 cause significant statistical difference ( $p = 0.31$ ) in FF values. The FFs measured by Crutchfield  
229 et al. (1999) for full- and half-facepiece elastomeric respirators indicated that lower FFs would be

230 acquired during the talking and bending exercises. The lower FFs obtained for the talking  
231 exercise were likely attributed to sampling artifacts than to actual exercise dynamics. The  
232 bending over exercise was more predictive of poor respirator fit. N95 FFRs, full- and half-  
233 facepiece elastomeric respirators are all in the ‘tight-fitting respirator with sturdier mask material’  
234 category. Hence, a lower FF was obtained for N95 FFRs in this study during the bending over  
235 exercise. SMs belong to the ‘loose-fitting respirator’ category. As they do not provide a good  
236 fitting to the facial surface, particle penetration through face seal leaks is far greater than through  
237 the normal filter (Grinshpun et al., 2009). This causes lower FFs during head turning.  
238 Furthermore, SM material is relatively soft in comparison to that of N95 FFRs, causing an  
239 unstable fixing of the sampling probe. This results in variable FF outcomes due to sampling  
240 artifacts.

241 According to the OSHA 29 CFR 1910.134 regulation for FFs, the FF values of N95 FFRs must  
242 be equal or greater than 100. The GM of FF values for N95 FFRs in this study was 331.1, while  
243 that for SMs was 3.5. The FF of N95 FFRs was greater than that of SMs by 94.6 times. The  
244 percentage of N95 FFRs passing the fit test was 95.2%, while Lee et al. (2011) found it to be  
245 between 8% and 100%. The greater FF values for N95 FFRs compared to SMs was perhaps due  
246 to the differences in filter efficiency and face seal leaks. Grinshpun et al. (2009) found that the  
247 number of particles penetrating through face seal leaks in N95 FFRs and SMs far exceeded the

248 number of particles penetrating through the filter medium. SMs had greater particle penetration  
249 through filters and face seal leaks compared to N95 FFRs.

250  
251 ***Workplace protection factors of surgical masks and N95 filtering facepiece respirators***

252 Table 1 presents the protection results of N95 FFRs and SMs against fungal contaminants for  
253 study participants in the farm. The results show that the GMs of WPF values respectively for N95  
254 FFRs and SMs. They were 156.2 and 12.2 for culturable fungi, 55.4 and 9.0 for total fungi, and  
255 10.5 and 11.1 for (1→3)- $\beta$ -D-glucan. After conducting the Mann-Whitney U test, it was  
256 discovered that there was a significant statistical difference in WPFs between N95 FFRs and SMs  
257 for culturable fungi ( $p = 0.02$ ) and total fungi ( $p = 0.03$ ), but no statistical significant difference  
258 for (1→3)- $\beta$ -D-glucan ( $p = 0.37$ ). Despite (1→3)- $\beta$ -D-glucan, the WPF values for N95 FFRs  
259 were larger than those for SMs by approximately 1.0-12.8 times. Our results were similar to those  
260 found by Lee et al. (2008), who found that protection factors (PF) of N95 FFRs against NaCl  
261 particles of sizes between 0.04-1.3  $\mu\text{m}$  were higher than those of SMs by 8-12 times. The highest  
262 WPF value of both N95 FFRs and SMs was found for culturable fungi, while the lowest was  
263 found for (1→3)- $\beta$ -D-glucan. These results were similar to those obtained by Cho et al. (2011).  
264 Cho et al. (2011) discovered that the WPF GMs of N95 elastomeric respirators for total fungi and  
265 (1→3)- $\beta$ -D-glucan respectively were 29 and 24, compared to 29 and 14 for N95 FFRs. The  
266 difference in WPF results was perhaps due to a difference in sensitivity of the methods of

267 analyzing fungal contaminants. The concentration of culturable fungi would be affected by  
268 viability and culturability while the concentration of total fungi was determined by direct spore  
269 counting under light microscopy, which is less affected by fungal viability and culturability.  
270 Therefore, using the WPF values obtained by light microscopy may yield a more genuine result  
271 for the actual protection of respirators against fungi. (1→3)- $\beta$ -D-glucan is part of the fungal cell  
272 wall, and therefore fungal spores are not the only place where (1→3)- $\beta$ -D-glucan is found. They  
273 also exist in fungal fragments or attach to small particles (Seo et al., 2009). Particle size is known  
274 to affect the protection factors of respirators. Respirators were found to be less protective when  
275 facing smaller particles (Lee et al., 2005a; 2008; 2016; 2017), and hence we had the lowest WPF  
276 value for (1→3)- $\beta$ -D-glucan in this study. The fifth percentile of WPFs against culturable fungi,  
277 total fungi and (1→3)- $\beta$ -D-glucan WPFs were 4.2, 2.5, and 1.0 for N95 FFRs, and 3.4, 1.0, and  
278 1.1 for SMs. Despite the fact that the assigned protection factors (APF) for N95 FFRs against  
279 fungal contaminants were higher than those for SMs, they had yet to reach OSHA's half-mask  
280 standard requirement of APF = 10. The percentages of WPFs exceeding APF = 10 for N95 FFRs  
281 and SMs against fungal contaminants were as follows: 90.5% and 52.4% for culturable fungi,  
282 81.0% and 42.9% for total fungi, and 38.1 and 52.4% for (1→3)- $\beta$ -D-glucan. Due to differences  
283 in particle properties and particle loss in face seal leaks, the WPFs of N95 FFRs for different  
284 fungal contaminants may be varied. Lee et al. (2005a) found more than 50% of the N95 FFR

285 WPFs were smaller than APF = 10 against microorganisms. Laboratory experiments also found  
286 that N95 FFRs and EN-certified FFP series respirators might not achieve the expected protection  
287 level against particles in the viral and bacterial size range (Lee et al, 2008; 2016).

288 Fig 3. shows the protection results of N95 FFRs and SMs against fungal contaminants by  
289 particle size. The dotted line indicates OSHA's regulated APF value (APF = 10) for tight-fitting  
290 half-masks. Fig. 3(a) shows that the GMs of WPFs of N95 FFRs against culturable fungi were  
291 209.9, 48.1, and 6.4 respectively for particle sizes of > 1.8  $\mu\text{m}$ , 1-1.8  $\mu\text{m}$  and < 1  $\mu\text{m}$ . This  
292 indicates that WPFs decreased with decreasing particle size. After conducting the Kruskal-Wallis  
293 test, the effect of particle size on WPF values was found to be statistically significant ( $p = 0.008$ ).  
294 The percentages of WPFs against culturable fungi exceeding APF = 10 for N95 FFRs at particle  
295 sizes of > 1.8  $\mu\text{m}$ , 1-1.8  $\mu\text{m}$  and < 1  $\mu\text{m}$  were 95.2%, 66.7% and 30.0% respectively. The  
296 percentage of WPFs greater than APF = 10 decreased as the particle size decreased. On the other  
297 hand, the GMs of WPFs against culturable fungi for SMs at particle sizes of > 1.8  $\mu\text{m}$ , 1-1.8  $\mu\text{m}$   
298 and < 1  $\mu\text{m}$  were 12.2, 15.2 and 4.4 respectively. This also shows a decrease in WPF values with  
299 decreasing particle size. After performing the Kruskal-Wallis test, the results showed that particle  
300 size had a statistically significant effect ( $p = 0.048$ ) on the WPFs. The percentages of WPFs  
301 against culturable fungi exceeding APF = 10 for SMs at particle sizes of > 1.8  $\mu\text{m}$ , 1-1.8  $\mu\text{m}$  and  
302 < 1  $\mu\text{m}$  were 52.4%, 57.9% and 10.0% respectively, similar to those for N95 FFRs. The



303 percentage of WPFs greater than  $APF = 10$  decreased as the particle size decreased. However,  
304 under the same particle size range, the percentage for N95 FFRs was greater than that of SMs.

305 Fig. 3(b) shows that the GMs of WPFs for N95 FFRs against  $(1\rightarrow 3)\text{-}\beta\text{-D-glucan}$  at particle  
306 sizes of  $> 1.8 \mu\text{m}$ ,  $1\text{-}1.8 \mu\text{m}$  and  $< 1 \mu\text{m}$  were 42.7, 7.7 and 4.2 respectively. The WPFs decreased  
307 as particle size lowered. After performing the Kruskal-Wallis test, it was discovered that the  
308 effect of particle size on WPFs was statistically significant ( $p = 0.01$ ). The percentages of WPFs  
309 exceeding  $APF = 10$  for N95 FFRs against  $(1\rightarrow 3)\text{-}\beta\text{-D-glucan}$  at particle sizes of  $> 1.8 \mu\text{m}$ ,  $1\text{-}1.8$   
310  $\mu\text{m}$  and  $< 1 \mu\text{m}$  were 65.0%, 26.7%, and 9.1% respectively. The percentage of WPFs greater than  
311  $APF = 10$  decreased as particle size reduced. The GMs of WPFs for SMs against  $(1\rightarrow 3)\text{-}\beta\text{-D-}$   
312  $\text{glucan}$  at particle sizes of  $> 1.8 \mu\text{m}$ ,  $1\text{-}1.8 \mu\text{m}$  and  $< 1 \mu\text{m}$  were 19.5, 5.7, and 3.2 respectively,  
313 indicating a decrease in WPF values with decreasing particle size. After performing the Kruskal-  
314 Wallis test, it was observed that the effect of particle size on WPFs was statistically significant ( $p$   
315  $= 0.03$ ). The percentages of WPFs exceeding  $APF = 10$  for SMs against  $(1\rightarrow 3)\text{-}\beta\text{-D-glucan}$  at  
316 particle sizes of  $> 1.8 \mu\text{m}$ ,  $1\text{-}1.8 \mu\text{m}$  and  $< 1 \mu\text{m}$  were 60.0%, 35.3% and 9.1% respectively. The  
317 above results indicate that particle size had an effect on the WPFs for both N95 FFRs and SMs  
318 against culturable fungi and  $(1\rightarrow 3)\text{-}\beta\text{-D-glucan}$ . It also shows that WPFs decreased with  
319 decreasing particle size.

320 Previous studies had not taken into account nor discussed the effect of particle size on the  
321 protection efficiency of respirators against fungal contaminants. The results of this study revealed  
322 that the WPFs of both N95 FFRs and SMs against culturable fungi and (1→3)- $\beta$ -D-glucan, as  
323 well as the percentage of WPFs exceeding APF = 10, increased as particle size increased. Lee et  
324 al. (2005) found that the WPFs of N95 FFRs were different for different fungal genera/groups,  
325 and increased with increases in fungi size. In our results published in 2014, we have already  
326 proven that variable sizes and groups of fungi existed within present farm environments (Lee et  
327 al., 2014). Furthermore, (1→3)- $\beta$ -D-glucan that existed within variable particle sizes also  
328 affected the protection efficiency of respirators. A point worth noting is that, when particle size is  
329 smaller than 1  $\mu$ m, the WPFs of both N95 FFRs and SMs against fungal contaminants are smaller  
330 than APF = 10. Therefore, fungi that release small fragments containing (1→3)- $\beta$ -D-glucan, such  
331 as *Aspergillus versicolor* and *Stachybotrys hartarum* (Seo et al., 2009), should be paid special  
332 attention to when respirator protection is required.

333 Another interesting note is that the concentration of fungi decreased as the particle size  
334 decreased, which further caused no detection of fungi inside the respirator. The percentage of  
335 samples where culturable fungi concentrations were not detected inside the N95 FFRs at particle  
336 sizes of > 1.8  $\mu$ m, 1-1.8  $\mu$ m and < 1  $\mu$ m were 28.6%, 61.9%, and 60.0% respectively. For SMs,  
337 they were 9.5%, 31.6%, and 70.0% respectively. The percentages for most of the N95 FFRs were

338 higher than those for SMs. However, (1→3)- $\beta$ -D-glucan was always detected inside the respirator  
339 when it was present outside the respirator. Cho et al. (2011) discovered that, when calculating  
340 WPF values, higher results were obtained by using half the detection limit as a replacement value  
341 when no concentration was detected inside the respirator. This caused an overestimate of the  
342 WPFs. This might be attributed to the difference in sensitivity of the analytical methods used to  
343 detect the concentrations of contaminants inside and outside the respirators. If the concentration  
344 of contamination outside the respirator is insufficient, or is non-detectable, then we are unable to  
345 demonstrate the actual WPF value. This in turn will cause limitations in the application of study  
346 results.

347 When OSHA set the APF value of half-masks, they did not consider the characteristics  
348 (biological or non-biological) and size of particles, the types of masks (filtering facepiece or  
349 elastomeric facepiece), the filtration efficiency of filter material, work environments or other  
350 factors which may affect the protection of respirators. Our study results recommend that when  
351 constructing a respiratory protection program for farmers against fungal contamination in  
352 agricultural farms, the above-mentioned factors should be taken into consideration.

353

#### 354 ***Relationships between workplace protection factors and fit factors***

355 Fig. 4 presents the regression plots for associations between the WPF for (1→3)- $\beta$ -D-glucan,  
356 the WPF for culturable fungi, and the WPF for total fungi. We found that the correlation

357 coefficients were 0.463 ( $p = 0.002$ ) for the WPF of (1→3)- $\beta$ -D-glucan and culturable fungi, and  
358 0.588 ( $p < 0.001$ ) for the WPF of (1→3)- $\beta$ -D-glucan and total fungi. These values were in the  
359 middle of weak ( $r = 0.3$ ) and moderate ( $r = 0.6$ ) positive linear relationships and were statistically  
360 significant ( $p < 0.05$ ). The equations provided in Fig. 4 can be used to estimate the WPF of these  
361 fungi when (1→3)- $\beta$ -D-glucan is performed. This means the WPF of fungi can be assessed by  
362 importing the WPF of (1→3)- $\beta$ -D-glucan into the equation. In these cases, the time for fungi  
363 cultivation can be minimized, and the time and manpower needed for microscopic fungal spore  
364 counting can be reduced. As the concentration of culturable fungi is influenced by viability and  
365 culturability, the culturable method is not necessarily more accurate for obtaining the  
366 concentration of total fungi than spore counting by light microscopy. In this context, the WPF for  
367 (1→3)- $\beta$ -D-glucan had a stronger association with the WPF for total fungi than with the WPF for  
368 cultural fungi. On the other hand, due to the variation of (1→3)- $\beta$ -D-glucan content within each  
369 fungus as well as the variation in sensitivity of different methods for analyzing fungal  
370 contaminants, only moderate correlations were found among the WPFs for fungal contaminants  
371 in this study.

372 Prior to the participants taking part in this study, they needed to go through the procedure of fit  
373 testing in order to inspect the respirator fit. To further elaborate the relationship between FFs and  
374 WPFs, regression analysis was performed on the FFs towards the effect on the WPFs. This is

375 shown in Fig. 5. We found that the correlation coefficients were 0.559 ( $p < 0.001$ ) for culturable  
376 fungi, 0.437 ( $p = 0.004$ ) for total fungi, and -0.002 ( $p = 0.992$ ) for (1→3)- $\beta$ -D-glucan. The  
377 associations between FFs and WPFs for culturable fungi and total fungi were statistically  
378 significant in moderate positive linear relationships, but no relationship was found for (1→3)- $\beta$ -  
379 D-glucan. Consequently, the FF values of the respirator can be predictive of the WPFs for  
380 culturable fungi and total fungi using the equation given in the study. However, this equation was  
381 not suitable for estimating the WPF for (1→3)- $\beta$ -D-glucan.

382 A moderate correlation between FFs and PFs was also found for the following: N95 FFRs  
383 against airborne irons in the welding workplace (Han, 2002); EN-specified FFP respirators and  
384 surgical masks against NaCl aerosols (Lee et al., 2016); full facepiece respirators with HEPA  
385 filters (Lee et al., 2017). A strong association was found for half elastomeric respirators with P-  
386 100 filters against airborne irons at a steel foundry. This indicates that FF was a meaningful  
387 indicator of respirator performance in the workplace (Zhuang et al., 2003). However, no  
388 correlation between FFs and WPFs was found for half-mask facepiece respirators (Zhuang and  
389 Myers, 1996).

390 Fit test means, under the regulation of OSHA, that the respirator fit was measured under  
391 different types of facial movements carried out by the participants. It does not take into account  
392 other means of contamination leakage, such as damage to the respirator or penetration through

393 filter material. WPF measurement considers not only other means of contamination leakage, but  
394 also other working environments and conditions which may cause physical and physiological  
395 burdens that further affect respiratory protection efficiency. In comparison to the TSI Portacount  
396 Plus with N95 companion, which focuses on the measurement of particles, WPF measurement  
397 focuses on real contaminants that exist in the workplace. Therefore, the type and size of  
398 contaminants, level of work burden, type of work, time and moisture level while wearing the  
399 respirator will affect the relationship between FFs and WPFs. Dixon and Nelson (1984) explained  
400 the reason behind the relationship between FFs and WPFs as follows: (1) standard fit test  
401 exercises are unable to reflect the actual workers' movements in working conditions; (2)  
402 breathing rates during fit tests are not the same as those in actual working conditions; (3) the  
403 reagent used in fit tests may be different from those that exist in actual working environments,  
404 causing different leaks through the face seal; (4) water content in exhaled air may lower FF  
405 values. Zhuang et al. (2003) discovered that the variation of WPFs and FFs was greater when FFs  
406 were greater than 10, which in return lowered the correlation between WPFs and FFs. Moreover,  
407 a low correlation might also be produced by variable sensitivity in different analytical methods  
408 (Cho et al., 2011).

409

## 410 **CONCLUSIONS**

411

412 The protection provided by N95 FFRs and SMs against airborne fungi and (1→3)- $\beta$ -D-glucan  
413 increase with increasing particle size. The WPFs of N95 FFRs against airborne fungi are greater  
414 than those of SMs by 1.0-12.8 times. The concentration of culturable fungi is affected by fungal  
415 viability and culturability. This in turn affects the sensitivity of fungal analysis, and subsequently  
416 leads to lower WPFs for culturable fungi as compared to total fungi. (1→3)- $\beta$ -D-glucan is part of  
417 the fungal cell wall, which exists in fungal spores and fungal fragments or attaches to the surface  
418 of particles. This subsequently causes the WPFs for (1→3)- $\beta$ -D-glucan to be lower than those for  
419 fungi. About 4.8%-35.0% of WPFs against fungal contaminants for N95 FFRs were below 10 in  
420 the spore size range ( $> 1.8\mu\text{m}$ ). This indicates the possibility that the American OSHA regulation  
421 standard for N95 FFRs (APF = 10) may overestimate the protection they actually provide against  
422 fungal contaminants. Both the FF and the WPF for (1→3)- $\beta$ -D-glucan show medium statistically  
423 significant relationships with the WPF for fungi. This means that both values can be used as an  
424 indicator for evaluating the protection provided by respirators against airborne fungi. The results  
425 of this study can be referenced for setting future standards for respiratory protection from fungi in  
426 agricultural farms.

427

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429

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437

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556 **Table 1.** Protection provided by N95 respirators and surgical masks against fungal pollutants. (n = 21)

	Culturable fungi		Total fungi		(1→3)- $\beta$ -D-glucan	
	N95	Surgical Mask	N95	Surgical Mask	N95	Surgical Mask
Fifth percentile	4.2	3.4	2.5	1.0	1.0	1.1
Geometric mean (Geometric standard deviation)	156.2 (16.4)	12.2 (2.3)	55.4 (8.6)	9.0 (3.1)	10.5 (7.8)	11.1 (3.3)

Note : Total fungi were measured using light microscopy with phenosafranin

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## Figure Captions

558

559 **Fig. 1.** Personal sampling system.

560 **Fig. 2.** The effect of fit testing exercises on fit factors: (a) N95 respirator; (b) surgical mask. The  
561 number in parentheses represents the geometric mean of the observations. (n = 21)

562 **Fig. 3.** Protection provided by N95 respirators and surgical masks against fungal contaminants: (a)  
563 culturable fungi; (b) (1→3)-β-D-glucan. The boxplot shows the following: hollow dots represent  
564 geometric mean; solid dots means outliers; horizontal lines from bottom represent 5%, 25%, 50%,  
565 75%, 95%; n represents the number of observations; n\* represents the number of those  
566 observations in which the in-facepiece concentrations were below the LOD and the WPFs were  
567 calculated using half the LOD ( $LOD_{\text{culturable fungi}} = 156 \text{ CFU m}^{-3}$ ;  $LOD_{(1\rightarrow3)\text{-}\beta\text{-D-glucan}} = 68.4 \text{ pg m}^{-3}$ );  
568 APF = assigned protection factor.

569 **Fig. 4.** Correlation between WPFs for fungi and WPFs for (1→3)-β-D-glucan: (a) culturable  
570 fungi; (b) total fungi. Total fungi were measured using light microscopy with phenosafranin;  $y =$   
571  $\log(\text{the WPF for fungi})$ ;  $x = \log(\text{the WPF for (1}\rightarrow\text{3)-}\beta\text{-D-glucan})$ . (n = 42)

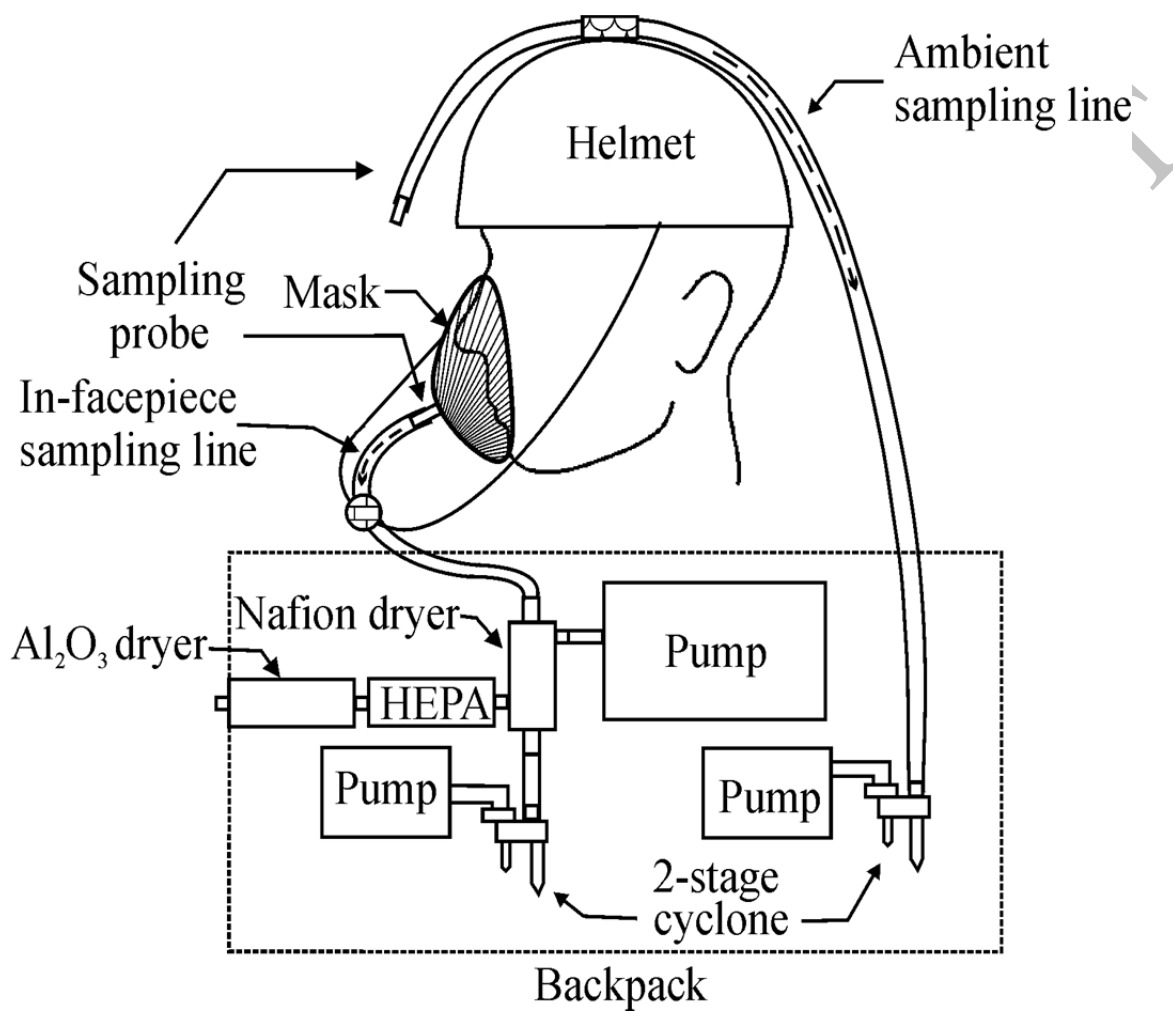
572 **Fig. 5.** Association between FFs and WPFs for fungal contaminants: (a) culturable fungi; (b) total  
573 fungi; (c) (1→3)-β-D-glucan. Total fungi were measured using light microscopy with  
574 phenosafranin;  $y = \log(\text{the WPF for fungal contaminants})$ ;  $x = \log(\text{the FF})$ . (n = 42)

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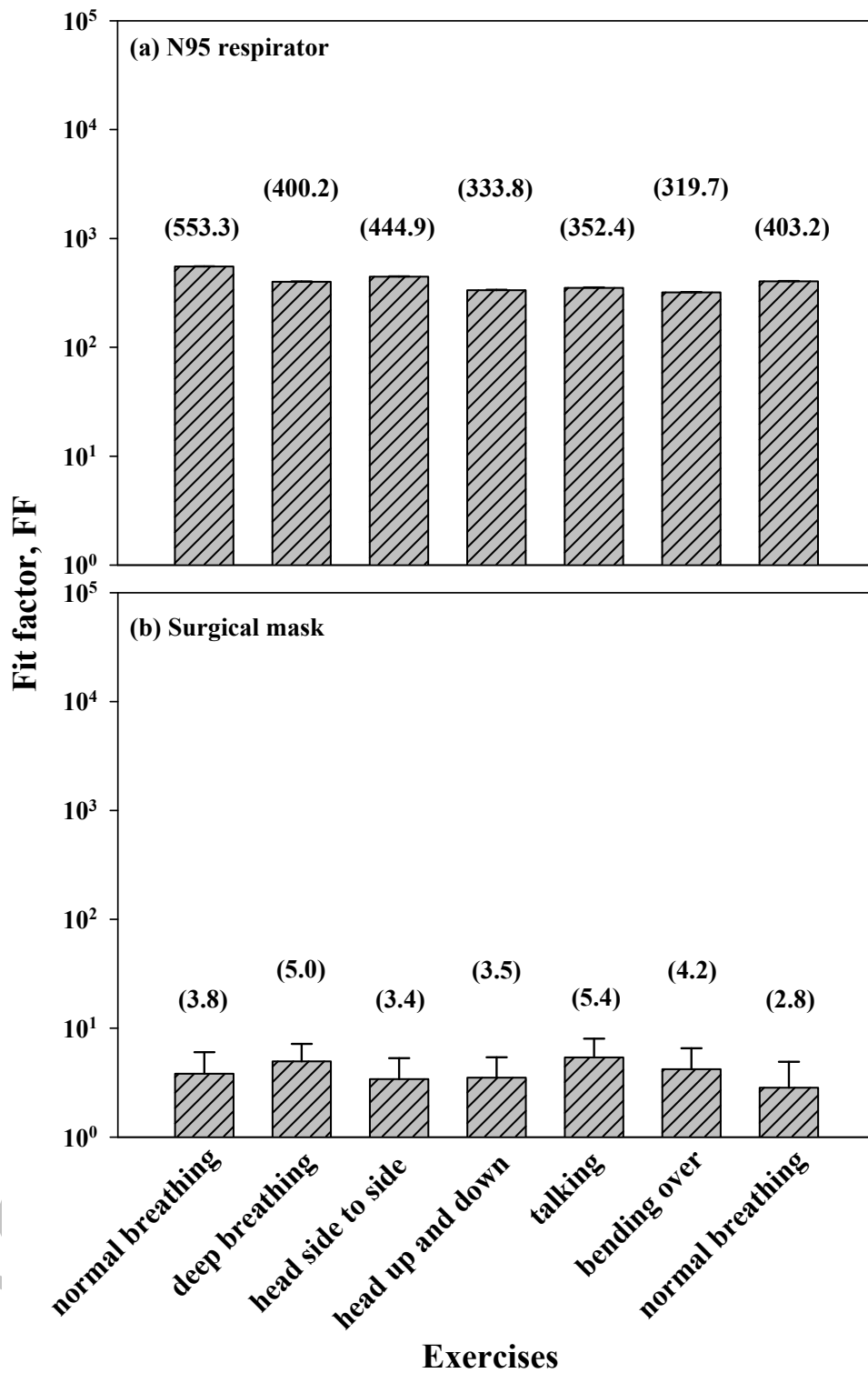
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Fig. 1.

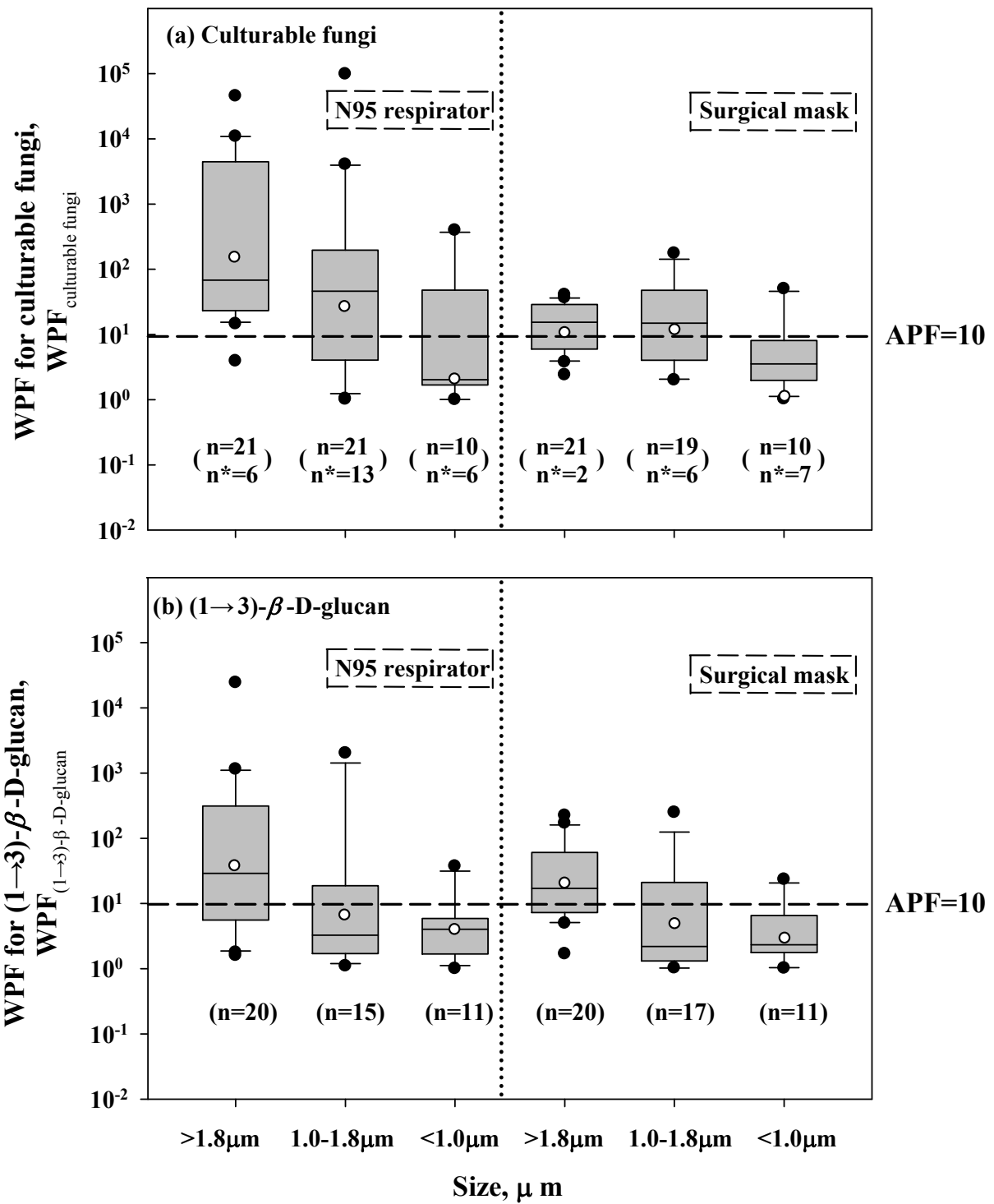


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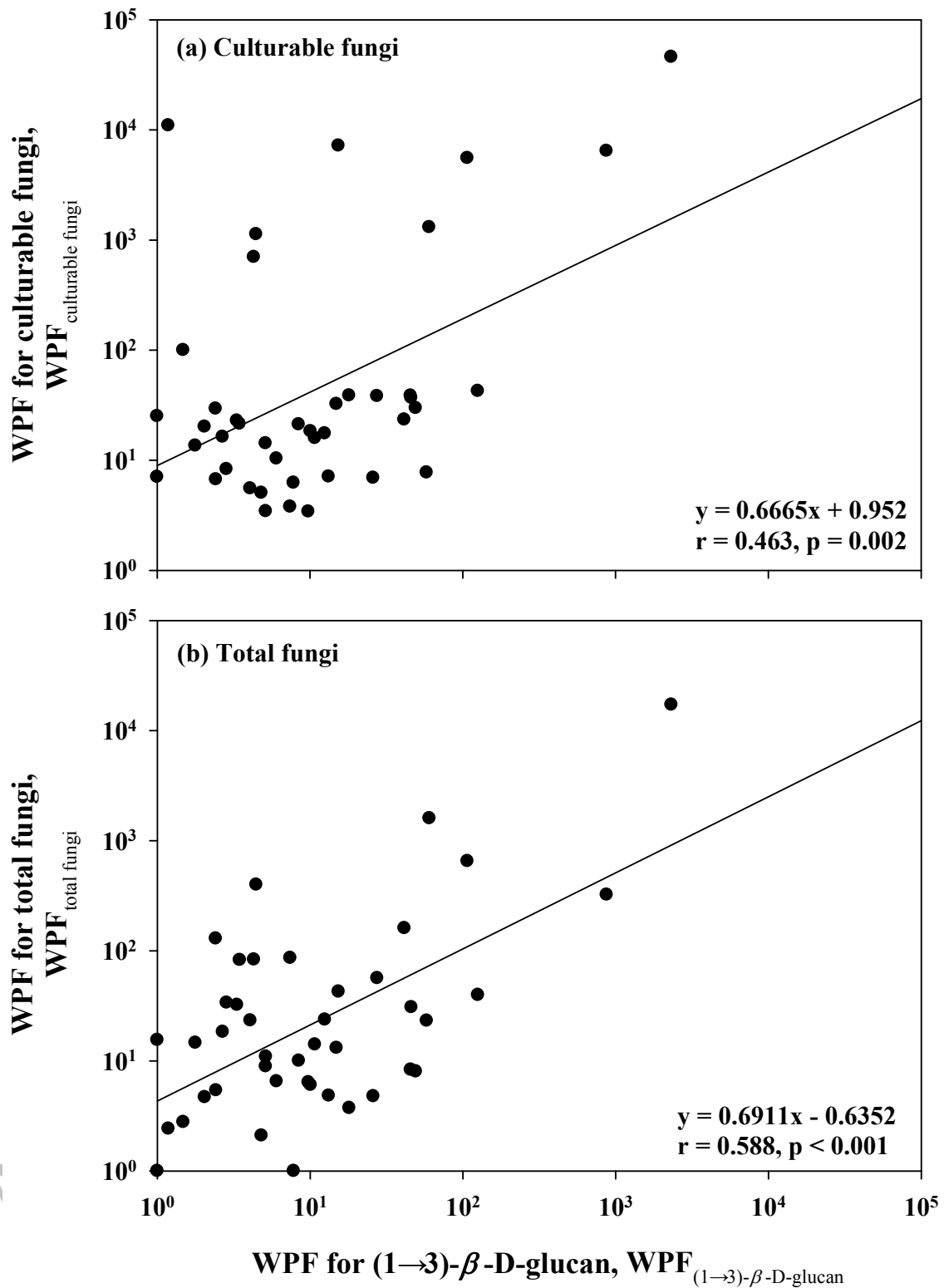
Fig. 2.



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Fig. 3.

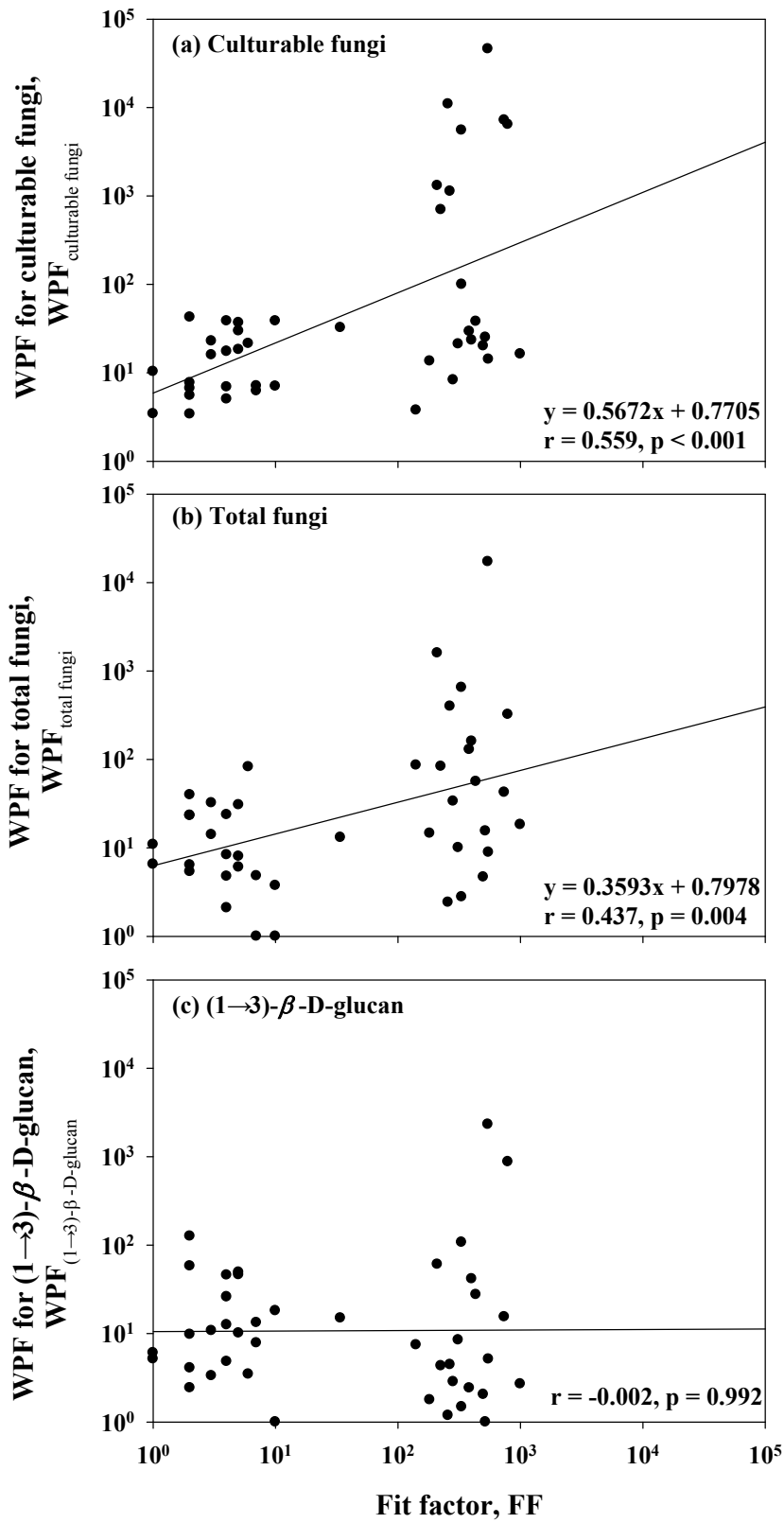


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Fig. 4.



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Fig. 5.