

1 **Intercomparison of Atmospheric Dispersion Models Applied To An**
2 **Urban Street Canyon Of Irregular Geometry**

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9

10 **ABSTRACT**

11
12 The NO_x concentrations measured at the sampling site of Cordoba Avenue, Buenos Aires City,
13 characterised by an irregular geometry on both sides of the street, are used to intercompare results of
14 five urban street canyon dispersion models, STREET, OSPM, AEOLIUSF, STREET-BOX and
15 SEUS. Three different wind directions with respect to the street axis are considered, i.e., leeward,
16 windward and parallel. Additionally, two wind speed classes are considered, above and below 2 m s⁻¹.
17 In order to evaluate the models performance, observed and calculated concentrations are compared
18 using different statistical measures, i.e., mean values, bias, mean square error and fractional error. In
19 general, all models estimate better leeward conditions and wind speeds above 2 m s⁻¹, with
20 SEUS providing the overall best result.
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22 **Keywords:** Atmospheric dispersion models; Intercomparison of results; Urban street canyon with
23 irregular geometry
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30 INTRODUCTION

31 The main sources of environmental air pollution in urban areas are vehicles. Air quality in
32 urban street canyons is deteriorated, often failing to meet environmental standards due to reduced
33 atmospheric ventilation. These aspects, coupled with the fact that the emission of pollutants
34 occurs near the ground level, very close to the receptors, can cause adverse impacts on human
35 health (Gehrsitz, 2017, Khreis, 2017).

36 The most distinctive feature of airflow within an urban canyon is the formation of an internal
37 vortex, which determines that the wind direction at street level is opposite to that of the air flow
38 above the buildings. The presence of a vortex within the urban canyon was detected by Albrecht
39 (1933) and later verified by Georgii et al. (1967). The air flow within canyons can be described
40 by their aspect ratio (Hosker, 1985; Hussain and Lee, 1980; Oke, 1988; Mei et al., 2016). This
41 flow is also affected by the mechanical turbulence induced by the movement of the vehicles
42 (Eskridge and Rao 1986, Kastner-Klein et al., 2003, Mazzeo and Venegas, 2005, 2011, Mazzeo
43 et al., 2007, Thaker et al., 2016), or created by the roughness elements within the canyon (trees,
44 balconies, moldings) (Hoydysh and Dabberdt, 1994 and Theurer, 1999). On the other hand, the
45 shape and intensity of the vortices can also be affected by the atmospheric stability and other
46 thermal effects induced by the differential heating of the walls and the street (Kwak et al., 2014;
47 Sini et al., 1996, Tan et al., 2015, Vallati et al., 2016).

48 The study of air pollutants dispersion in urban street canyons, for research or regulatory
49 purposes, is carried out using atmospheric dispersion models that relate the emission and
50 ventilation conditions to the levels of air pollutants concentration.

51 There are few atmospheric dispersion models that allow routine evaluations of vehicle
52 emissions impact on air quality in urban street canyons. Among these, the most common models
53 are parametric and semi-empirical, for example: STREET (Johnson et al., 1973), OSPM (Hertel

54 and Berkowicz, 1989a), AEOLIUSF (Buckland, 1998) and STREET BOX (Mensink and
55 Lewyckyj, 2001). STREET and STREET-BOX are mainly variations of "box" models, while
56 OSPM and AEOLIUSF are based on concepts introduced by Yamartino and Wiegand (1986) in
57 the Canyon Plume Box Model (CPBM).

58 OSPM makes use of a simplified parameterisation of atmospheric flow and dispersion
59 conditions in a street canyon. This parameterisation has been deduced from extensive analysis of
60 experimental data and model tests (Berkowicz et al., 1997). AEOLIUSF is based on similar
61 concepts and techniques to those of OSPM. Nevertheless, there are some discrepancies between
62 the estimations of both models due to differences in coding, parameterisation and data pre-
63 processing techniques (Vardoulakis et al., 2007). STREET takes into account the initial mixing of
64 the pollutants and the traffic induced turbulence. In this model, the concentration is inversely
65 proportional to the wind speed at roof level. Finally, STREET-BOX involves a uniform
66 concentration distribution over the street, with the box dimensioned by the length and width of
67 the street and the height of the surrounding built-up area.

68 The recently developed Semi-Empirical Urban Street (SEUS) model (Venegas et al., 2014),
69 has the advantage of easy implementation requiring limited input data, and includes new
70 empirical parameterisations of wind-related and traffic-induced turbulence in urban street
71 canyons. The objective of this study is the intercomparison of results of four widely used urban
72 street canyon models, namely AEOLIUSF, OSPM, STREET, and STREET-BOX, with the
73 recently developed SEUS, employing hourly NO_x concentrations measured at the air quality
74 monitoring station of Cordoba Avenue, Buenos Aires City, historical traffic information, routine
75 meteorological data and modelled urban background concentrations.

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78 BRIEF DESCRIPTION OF MODELS

79

80 STREET model (Johnson et al., 1973) was empirically derived using measurements of
81 pollutants concentrations of an urban canyon in San Jose, California. This model assumes that air
82 pollutant concentrations within an urban canyon results from contributions due to the emissions
83 generated by vehicles circulating in the street, or "local" concentration, and "background"
84 concentration resulting from the impact of other emission sources located outside the urban
85 canyon. This model calculates the concentration of inert pollutants in air on both sides of the
86 street (leeward and windward).

87 The Operational Street Pollution Model (OSPM) (Hertel and Berkowicz, 1989a, 1989b;
88 Berkowicz et al., 1997; Berkowicz, 2000) is based on similar principles of CPBM. The total
89 concentration (C_s) results from the direct contribution of vehicle emissions (C_d) (which is
90 calculated by means of a plume model), added to the contribution of re-circulating pollutants (C_r)
91 within the urban canyon using a box model, and to the background concentration (C_b) of
92 emissions from sources outside the urban canyon, so that $C_s = C_d + C_r + C_b$.

93 AEOLIUS model emerges as a computational program developed by Buckland (1998),
94 considering the concepts and techniques previously presented by Hertel and Berkowicz (1989a,
95 1989b) and used in the development OSPM, and has two versions. A simple version
96 (AEOLIUSQ or AEOLIUS Screen) in which the user inputs emission intensity (Q) and the model
97 calculates the concentrations only for wind directions perpendicular and parallel to the axis of the
98 urban canyon, in order to determine the "most critical scenario". Another "full" version
99 (AEOLIUSF) calculates the concentration for any wind direction and requires hourly input
100 information (meteorological data and traffic flow). Although, in general, AEOLIUS is based on

101 the same formulation as OSPM, some differences can be found between both model calculations
102 due to differences in programming codes, parameterizations and input data pre-processing.

103 STREET BOX (Mensink and Lewyckyj, 2001; Mensink et al., 2002) is a one-dimensional and
104 analytical model that assumes a uniform distribution of pollutants concentration in an urban
105 canyon. Pollutants concentration is determined by the balance between the temporal variations of
106 horizontally transported mass, vertically dispersed and emitted from vehicles, and assumes no
107 recirculation of air flow within the canyon. Turbulent diffusive flux is described using the
108 Prandtl-Taylor hypothesis (Garrat, 1997), so it is assumed that vertical exchange of pollutants
109 occurs on a characteristic length scale given by a typical mixing length associated with turbulent
110 vortices coming from the urban canyon top, intensifying the mass and momentum exchange.

111 SEUS is an urban-air atmospheric dispersion model developed by Venegas et al. (2014) that
112 calculates pollutant concentrations (C) within street urban canyons as:

$$C = E u_s^{-1} W^{-1} + C_b \quad (1)$$

114 where E is the emission rate per unit length, u_s the dispersive velocity scale, W is the urban
115 canyon width, C_b is the urban background concentration.

116 Assuming that air speed fluctuations caused by vehicles circulation contribute to air pollutants
117 dilution within an urban canyon in an additive form to those resulting from the atmospheric
118 processes determined by the wind, it is possible to define a dispersive velocity scale (Kastner-
119 Klein et al., 2001, 2003):

$$u_s = (\sigma_u^2 + \sigma_v^2)^{1/2} = (aU^2 + bv^2)^{1/2} \quad (2)$$

121 where $\sigma_u^2 = aU^2$ is the wind speed variance, $\sigma_v^2 = bv^2$ is the traffic induced velocity variance, U
122 is the ambient wind speed, v is the traffic velocity, and a and b are dimensionless empirical
123 parameters.

124 Parameter a is the proportionality coefficient between the wind speed variance and wind speed
125 at the urban canyon top. This parameter depends, among other factors, on street geometry, wind
126 direction and the position of the air quality monitoring station (Kastner-Klein et al, 2003, Mazzeo
127 and Venegas, 2010; 2011).

128 Parameter b depends on the vehicles flow conditions and determines the proportionality
129 between traffic induced velocity variance and traffic flow speed. For windward conditions the
130 term bv^2 is considered null because pollutants emitted within the urban canyon are transported
131 and dispersed mainly by the main vortex generated within the urban canyon and the traffic-
132 induced turbulence contribution can be considered negligible.

133 In order to determine parameters a and b , data from air quality measurement campaigns in
134 four urban canyons were employed: GöttingerStrasse (Hannover, Germany), Schildhornstrasse
135 (Berlin, Germany), Jagtvej (Copenhagen, Denmark) and Hornsgatan (Stockholm, Sweden). Since
136 these canyons have different orientations with respect to the North and the position of the air
137 quality sensors within them is different in each case, the ambient wind direction (WD) cannot be
138 used as a common indicator of leeward or windward conditions in the four urban canyons.
139 Therefore, a generic parameter θ (in degrees) is defined with the purpose of having a common
140 indicator of the air flow conditions within street canyons with different orientations, as follows
141 (Mazzeo and Venegas, 2011):

142

$$\begin{aligned} \theta &= WD - ST && \text{when } WD \geq ST \\ \theta &= WD + 360^\circ - ST && \text{when } WD < ST \end{aligned} \quad (3)$$

143 where ST is the angle between the North and the street axis to the right of the receptor (when
144 facing the street, looking towards the opposite path) (see Fig. 1).

145 The data of the four canyons are used to calculate parameters a and b , and the results were
146 combined in order to derive the following general expressions:

147

$$148 \quad a = 0.002745 \exp[0.452317 - 1.9803 \operatorname{sen}(0.005557\pi\theta)] \quad (4)$$

$$149 \quad b = 2.88642 \cdot 10^{-06} (n_v)^{-0.930771} \quad (5)$$

149 where n_v is the traffic density expressed in km.

150

151 DATA

152 Córdoba Avenue is oriented in the East-West direction, has an average width of 30 m, five
153 lanes, and a traffic flow of approximately 38000 vehicles day⁻¹. The average building height is
154 different on both sides of the avenue, so that in contrast with most of the European canyons it has
155 the particularity of being very irregular and asymmetric (see Fig. 2 for an outline). The average
156 height of the buildings is 40 m in the southern margin of the avenue, varying between 10 m and
157 80 m, while in the northern side is smaller (average height 10 m) and more uniform. The air
158 quality monitoring station is located on the southern side, almost at the corner of Rodríguez Peña
159 street, so that $ST = 90^\circ$.

160 The hourly meteorological data employed are the observations at Aeroparque Aero
161 meteorological station of the National Meteorological Service (approximately 5 km to the
162 northwest of the air quality monitoring station). NO_x background concentrations –Cb– were
163 estimated using the DAUMOD urban dispersion model (Mazzeo and Venegas, 1991; Venegas

164 and Mazzeo, 2006). Emission data used in DAUMOD were obtained from an emission inventory
165 carried out for the Buenos Aires Metropolitan Area (Venegas et al., 2011). Traffic flow data,
166 vehicular traffic composition and vehicles speed were obtained from different Buenos Aires City
167 Government reports (GCBA, 2006; GCBA-ACOM, 2006). The study covers the period June to
168 December 2009 since it is the only one with available data. Despite the fact that a 7-month period
169 may seem relatively short, it is representative since it contains sufficient wind data for the study.
170 Data from a single street canyon have been used because it is the only one in Buenos Aires City
171 with available air quality measurements.

172

173 **RESULTS**

174

175 The five models are used to calculate hourly NO_x concentrations and the results are compared
176 with the measurements of the air quality monitoring station of Cordoba Avenue, operated by the
177 Buenos Aires City Government. In order to evaluate the models performance, three different
178 wind directions, relative to the street orientation, are considered: leeward ($22.5^\circ < \theta \leq 157.5^\circ$),
179 windward ($202.5^\circ < \theta \leq 337.5^\circ$) and parallel to the street axis ($157.5^\circ < \theta \leq 202.5^\circ$ and
180 $337.5^\circ < \theta \leq 22.5^\circ$). Fig. 3 shows the quantile-quantile plot of observed vs calculated NO_x
181 concentrations with the five models for all wind direction conditions, i.e., leeward, windward,
182 parallel as well as the three wind conditions together, hereinafter identified as whole data set.

183 Considering the whole data set, the lowest NO_x concentrations are slightly overestimated by
184 all models, but over 100 ppb STREET and STREET-BOX considerably underestimate the

185 observations. AEOLIUSF, OSPM and SEUS overestimate the observations up to 200 ppb while
 186 higher values are underestimated, but not as much as STREET and STREET-BOX. In the case of
 187 the whole data set and leeward conditions, SEUS fits more closely to the 1:1 line, and together
 188 with AEOLIUSF and OSPM are the models that in general reproduce better the observed values.
 189 In the particular case of leeward conditions, STREET and STREET-BOX display a significant
 190 underestimation of the observations throughout the quantile distribution. The worst performance
 191 of all models is under windward conditions, in particular AEOLIUSF and OSPM for the lowest
 192 concentrations and STREET for the highest concentrations. Under parallel wind conditions,
 193 AEOLIUSF and OSPM show a similar behaviour to windward conditions, in which the lowest
 194 values are overestimated. STREET and STREET-BOX fit well the observations (particularly
 195 STREET) up to 150 ppb, while highest concentrations are underestimated by all models.

196 Different statistical measures are employed in order to complement the interpretation of results
 197 and the intercomparison of the models performance (Chang and Hanna, 2004). The bias is the
 198 mean difference between observed (C_o) and calculated (C_e) values, defined as: $(\overline{C_o - C_e})$; the

199 normalized mean square error (NMSE) is defined as: $\left(\frac{(\overline{C_o - C_e})^2}{C_o C_e} \right)$; the fractional bias (FB) is

200 defined as: $\left(\frac{(\overline{C_o - C_e})}{0.5(\overline{C_o + C_e})} \right)$ and indicates whether the model underestimates (FB>0) or

201 overestimates (FB<0) the observations; and the data fraction (FA2) indicates the percentage of
 202 cases satisfying the condition $0.5 \leq C_e/C_o \leq 2$. In these definitions the overbar means arithmetic
 203 average. A "perfect" model should have bias, NMSE and FB equal to zero and FA2 equal to one.

204 The analysis of the statistical measures is done for the four wind direction conditions and
 205 Table 1 presents the results. SEUS shows the best performance of the four statistical measures for
 206 leeward conditions. Under windward and parallel conditions STREET has the minimum Bias and

207 SEUS the second best Bias, while STREET-BOX has the minimum Bias for the whole data set.
208 SEUS has the best NMSE for the four wind direction conditions and the best FA2 in all but
209 parallel wind condition in which is the second best.

210 The dispersion of pollutants within street canyons is influenced by turbulent processes which
211 strongly depend on wind speed. Therefore, the models performance is also analyzed considering
212 weak winds ($U \leq 2 \text{ ms}^{-1}$) and strong winds ($U > 2 \text{ ms}^{-1}$), and Table 2 presents the results of the
213 four statistical measures.

214 The models show a better performance for wind speeds greater than 2 ms^{-1} . OSPM and SEUS
215 show similar and satisfactory statistical values for both wind speed classes. Considering all wind
216 speeds, SEUS gives the best statistical indicators in comparison to the other models (Table 2
217 shows the highest FA2 and the lowest FB, NMSE and BIAS).

218 Fig. 4 shows the pollution roses of observed NO_x concentrations (ppb) at Cordoba Avenue air
219 quality monitoring station, and calculated NO_x concentrations with the five models. In general,
220 SEUS and OSPM concentrations depart less from observed values in comparison to the other
221 models. All models overestimate the observations in the North-East quadrant, particularly
222 AEOLIUSF, and underestimate the observations in the South-West quadrant, in particular
223 STREET and STREET-BOX. In the case of leeward and parallel wind conditions SEUS, OSPM
224 and AEOLIUSF pollution roses resemble very well the observed one, especially in the wind
225 sectors between South-West and South-East, while the other two models calculate smaller
226 concentrations than SEUS. Under windward conditions all models overestimate the observations
227 and the STREET pollution rose is the closest to the observed one, followed by SEUS. In general,
228 all models perform better under leeward conditions, in comparison to windward and parallel
229 conditions.

230 Fig. 5 shows the daily mean variation of observed and calculated NO_x concentrations composed
231 in two groups of models, i.e., the average of STREET and STREET BOX and the average of
232 SEUS, OSPM and AEOLIUSF, because of their similar results. In general, STREET and
233 STREET BOX represent better the observed mean daily variation, while the other group slightly
234 overestimates the observations particularly during rush hours. At late night all models display a
235 relatively small underestimation of the observations. In the case of SEUS, uncertainties in traffic
236 flow input data may explain the departures between observed and calculated mean values. The
237 concentrations calculated with SEUS include the background concentration C_b obtained with
238 DAUMOD. According to Venegas and Mazzeo (2002), DAUMOD slightly underestimates low
239 values and overestimates high values of hourly mean concentrations. Therefore, the averaged
240 SEUS hourly concentrations may result greater than the average hourly observations (as at rush-
241 hour in the evening), whereas at night they are lower than the observations.

242

243 **DISCUSSION AND CONCLUSIONS**

244 Most of the investigated models have been used in a number of studies for several years in
245 different urban street canyons. Their strength and weaknesses are quite well established, as well
246 as sensitivity analysis. For example in Plantin en Moretuslei, a street in the city of Antwerp
247 (Belgium), Mensink et. al (2006) found that the OSPM hardly shows any difference between
248 leeward and windward side of the street, whereas STREET does. OSPM and STREET BOX
249 underestimate the highest percentiles, probably due to the lack of accurate background
250 concentrations. Ganguly and Broderick (2011) compare observed data from a street canyon in
251 Dublin, Ireland, with results from STREET and OSPM and obtain a better correlation with the
252 last one. This model was applied in Runeberg Street (Helsinki, Finland) with reasonable accuracy
253 using modeled urban background and pre-processed meteorological values as model input
254 (Kukkonen et al., 2003). Vardoulakis et al. (2002) studied the sensitivity of OSPM in busy street
255 canyons in Paris and identify large uncertainties only in vehicle emission factors. Venegas and

256 Mazzeo (2012) applied STREET, STREET-BOX, AEOLIUS and OSPM in Göttinger Strasse
257 (Hannover, Germany). STREET improves results by proposing a different value of the empirical
258 constant $k = 12.1$ (originally $k = 7$). STREET-BOX gives acceptable results for leeward and
259 intermediate wind direction conditions. The results obtained with OSPM and AEOILUSF are the
260 ones with minimum difference with respect to the observed values in Göttinger Strasse.
261 Vardoulakis, et al. (2007) apply OSPM and AEOLIUS Full in two busy low-rise canyons in
262 Birmingham and London and find underestimation of the annual mean concentrations in most
263 cases, and variable performance depending on location, time of the day, day of the week and
264 prevailing wind conditions.

265 In general, SEUS, AEOLIUSF and OSPM are the models that represent better the observations
266 in the present study. This is probably due to the fact that these models incorporate the
267 parameterization of: a) the direct contribution of vehicular emissions and the indirect contribution
268 due to pollutant recirculation within the canyon; and (b) the influence of vehicle-induced
269 turbulence on pollutant dispersion within the urban canyon (Mensink et al, 2006; Ganguly and
270 Broderik, 2011; Venegas and Mazzeo, 2011). Cordoba Avenue canyon has a different average
271 building height on both sides of the street, in contrast with the European canyons whose results
272 were discussed in the previous paragraphs. Therefore, SEUS, AEOLIUSF and OSPM would be
273 the more suitable models for urban canyons with irregular and asymmetric geometry. The best
274 overall performance is obtained with SEUS according to the results of the statistical indicators
275 FA2, FB, NMSE and BIAS. The advantage of SEUS is that it requires a small amount of input
276 data and, given its simplicity, can be easily implemented in a spreadsheet to provide a first
277 estimation of air quality within an urban canyon.

278

279 **ACKNOWLEDGEMENTS**

280 This study has been supported by Project CONICET-PIP 0304. The authors wish to thank the
281 Agencia de Protección Ambiental of the city of Buenos Aires and Servicio Meteorológico
282 Nacional of Argentina for facilitating the data used in the study. This work is part of the PhD
283 thesis of Mariana Dezzutti in Atmospheric Sciences at Departamento de Ciencias de la Atmósfera
284 y los Océanos, Universidad de Buenos Aires, Argentina. For the conclusion of this article
285 Research Grant PICT2012-1667 from Agencia Nacional de Promoción Científica y Tecnológica
286 of Argentina, provided support.

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367

ACCEPTED MANUSCRIPT

368 **Figure Captions**

369 **Fig. 1.** Definition of parameter θ

370 **Fig. 2.** Outline of Cordoba Avenue urban canyon. Black dot indicates the sampler location.

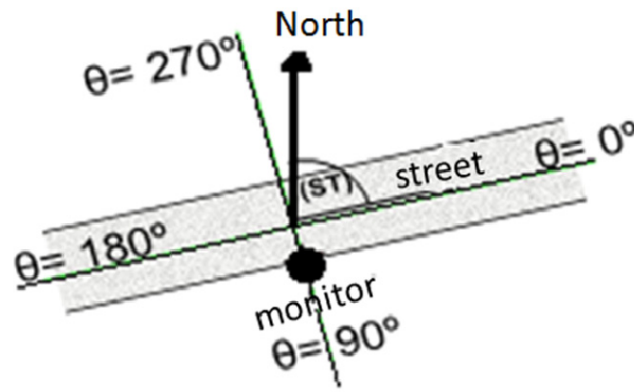
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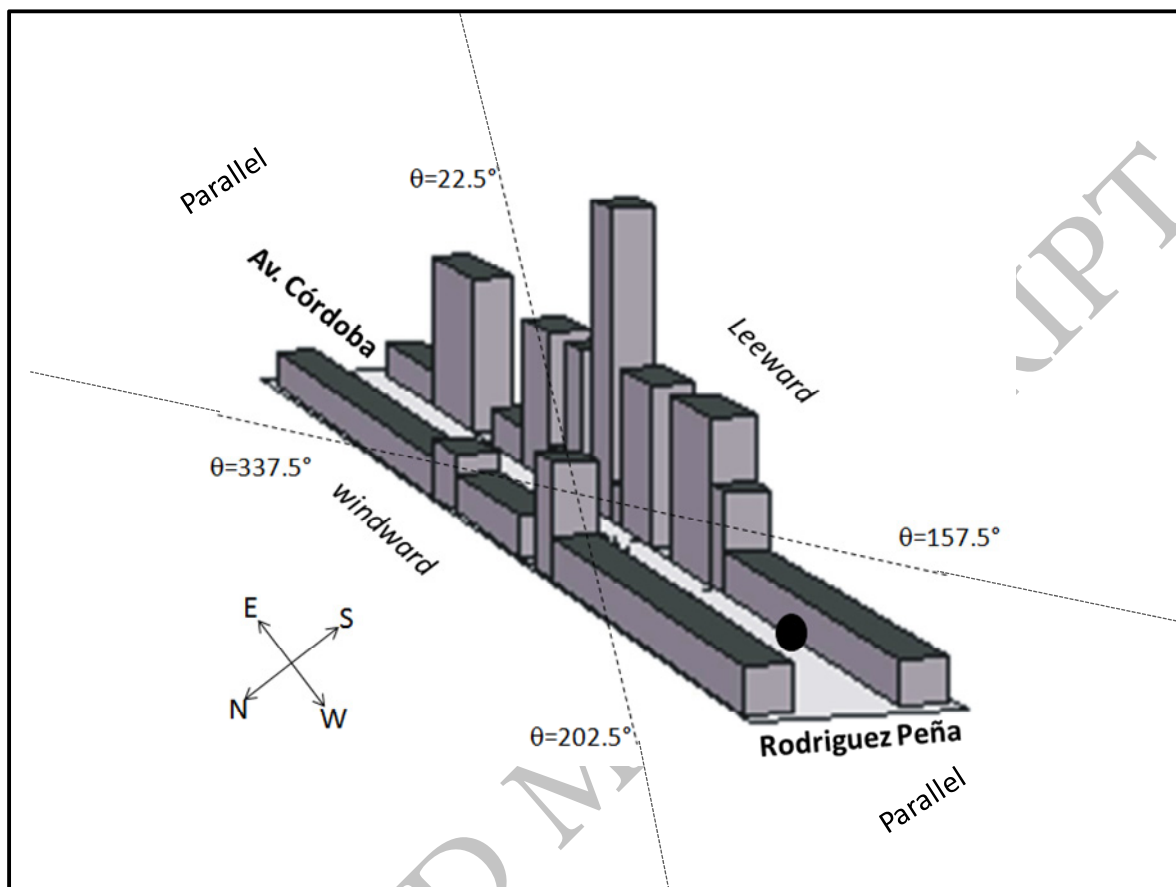
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Fig. 1: Definition of parameter θ

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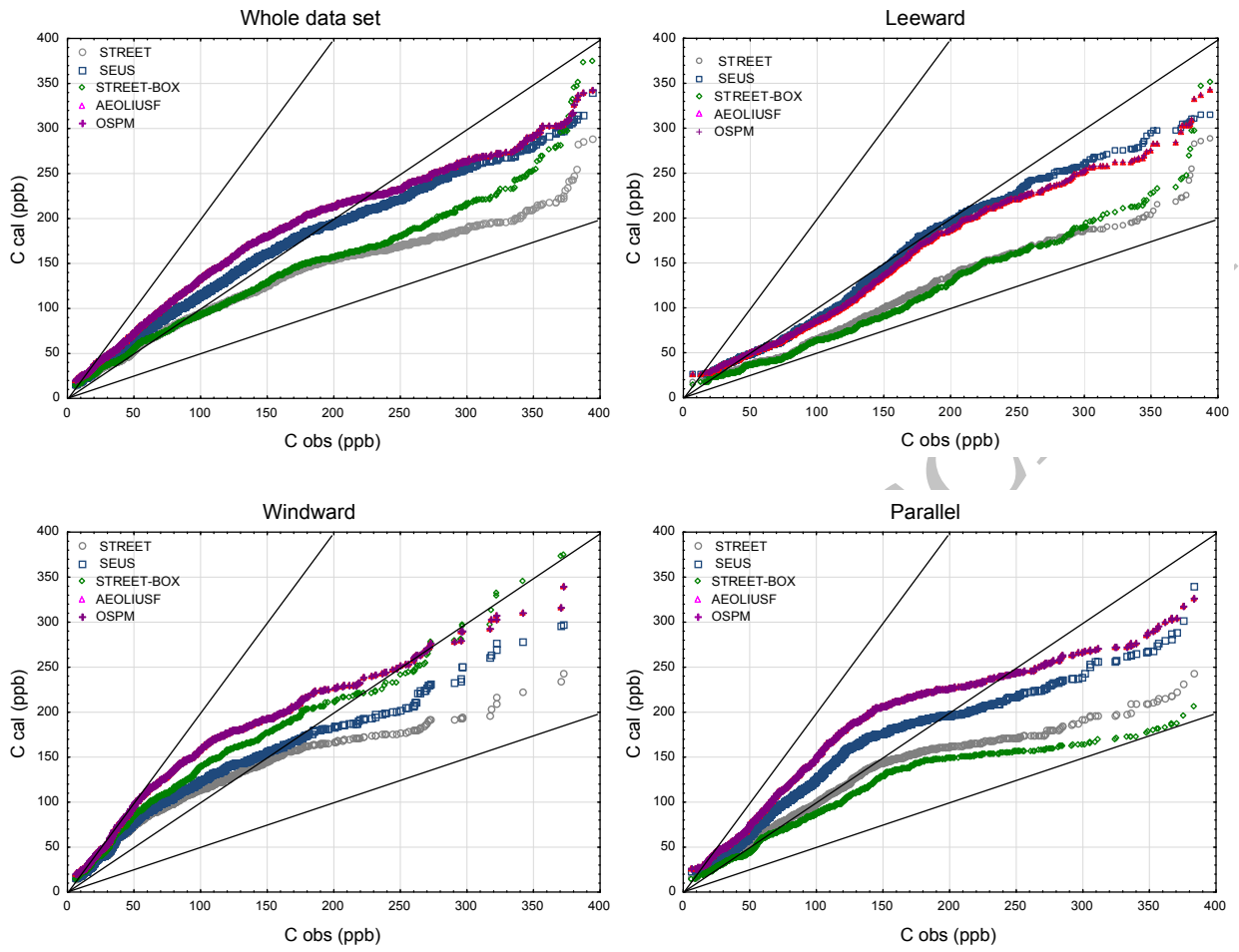
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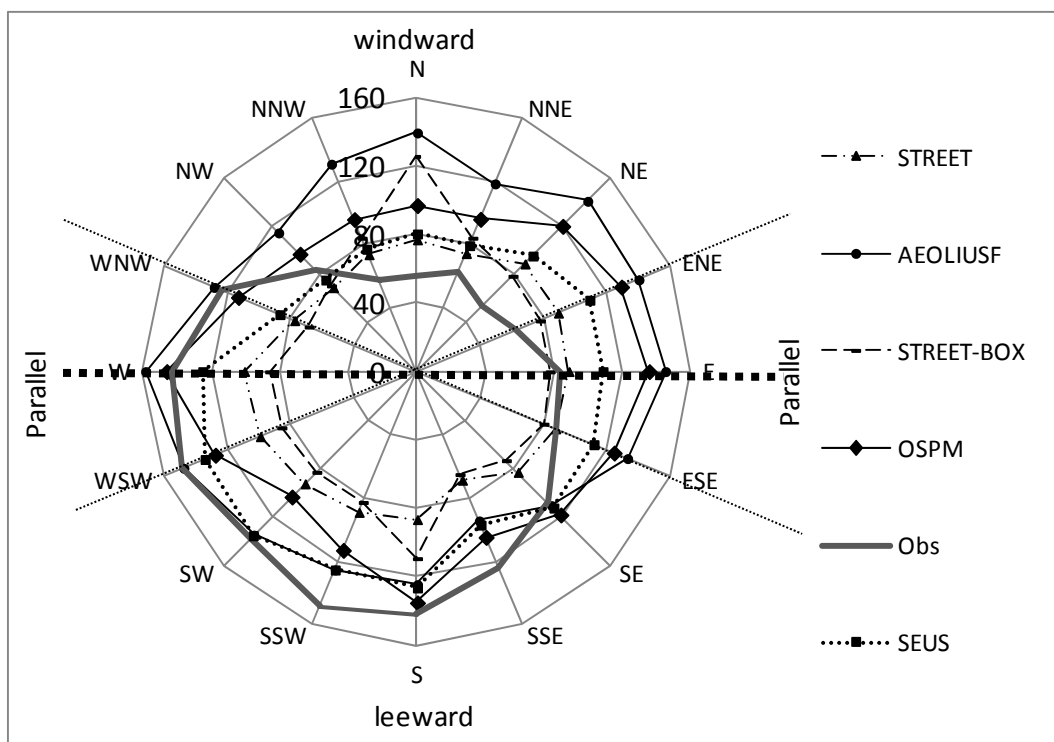
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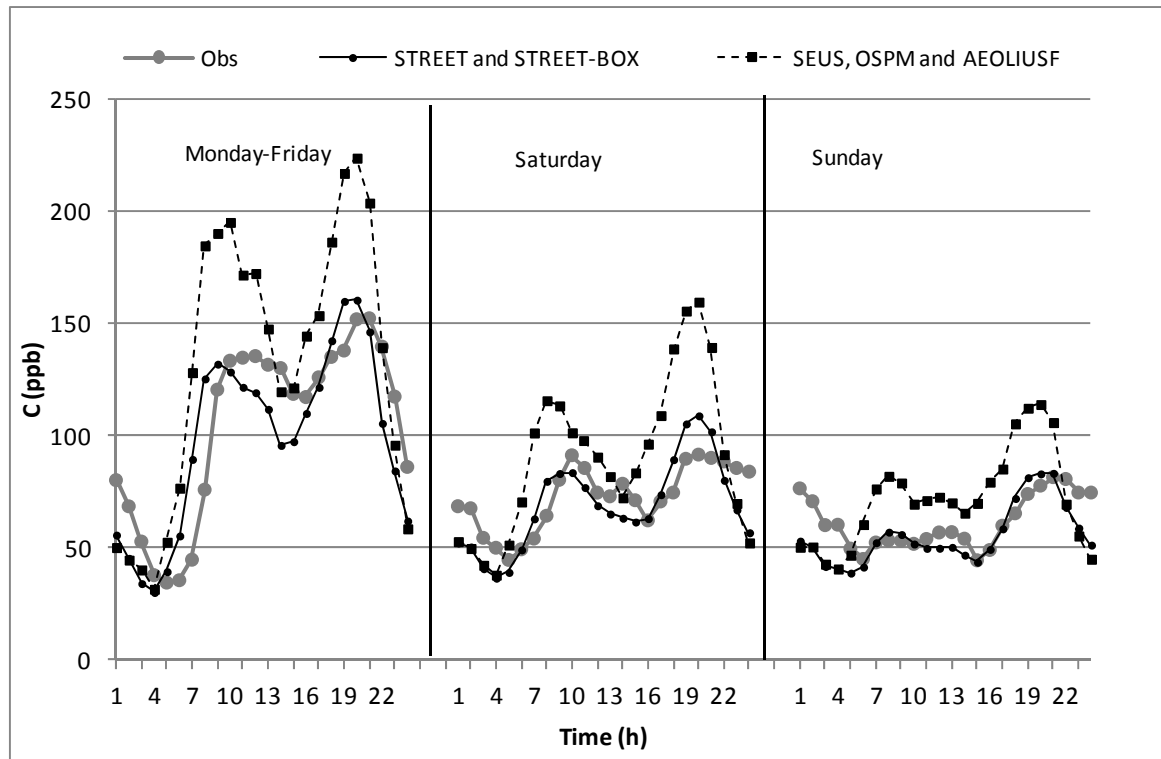
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410 **List of tables**

411 **Table 1.** Statistical evaluation of STREET, OSPM, AEOLIUSF, STREET BOX and SEUS, for
412 each wind condition, including Bias; Normalized Mean Square Error (NMSE); Factor 2 (FA2)
413 and Fractional Bias (FB). Dark (light) gray shaded rectangles highlight the best (second best)
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 424 statistical values.

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Model	Bias (ppb)	NMSE	FA2	FB
All (N=4353)				
STREET	9.65	0.46	0.687	0.109
OSPM	-21.97	0.40	0.681	-0.211
AEOLIUSF	-37.00	0.52	0.595	-0.331
STREET BOX	8.54	0.56	0.644	0.096
SEUS	-10.32	0.34	0.726	-0.105
Leeward (N=1234)				
STREET	44.32	0.45	0.685	0.408
OSPM	13.00	0.21	0.839	0.105
AEOLIUSF	9.10	0.23	0.81	0.072
STREET BOX	47.51	0.51	0.656	0.444
SEUS	8.69	0.18	0.860	0.069
Windward (N=1725)				
STREET	-12.44	0.54	0.63	-0.177
OSPM	-37.20	0.68	0.554	-0.449
AEOLIUSF	-64.11	1.01	0.408	-0.666
STREET BOX	-25.54	0.72	0.57	-0.332
SEUS	-17.59	0.54	0.63	-0.241
Parallel (N=1394)				
STREET	5.81	0.39	0.752	0.063
OSPM	-34.09	0.41	0.699	-0.302
AEOLIUSF	-44.29	0.46	0.634	-0.375
STREET BOX	16.23	0.49	0.723	0.185
SEUS	-16.20	0.35	0.741	-0.156

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 429 low wind speeds ($U \leq 2 \text{ ms}^{-1}$) and high wind speed ($U > 2 \text{ ms}^{-1}$), including Bias; Normalized Mean
 430 Square Error (NMSE); Factor 2 (FA2) and Fractional Bias (FB). N is the number of cases. Dark
 431 (light) gray shaded rectangles highlight the best (second best) statistical values.

MODELS	STREET		OSPM		AEOLIUSF		STREET BOX		SEUS	
	U > 2	≤ 2	> 2	≤ 2	> 2	≤ 2	> 2	≤ 2	> 2	≤ 2
N	3902	451	3902	451	3901	451	3902	451	3898	451
Bias (ppb)	8.19	22.29	-22.38	-18.45	-38.58	-23.37	7.08	21.19	-10.14	-5.86
NMSE	0.45	0.45	0.39	0.44	0.53	0.44	0.54	0.59	0.31	0.37
FA2	0.691	0.654	0.685	0.65	0.589	0.647	0.65	0.588	0.735	0.696
FB	0.096	0.188	-0.223	-0.133	-0.356	-0.165	0.083	0.178	-0.108	-0.04

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