

Airborne Particulate Matter: An Investigation of Buildings with Passive House Technology in Hungary

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Abstract

In this case study, we investigate the building infiltration rate and indoor aerosol concentration levels in two buildings equipped with passive house technology and one “conventional” house in Ócsa, Hungary. We have aimed to determine the indoor aerosol pollution level and its elemental composition, establish the relationship between the indoor and outdoor concentration levels, and study how the different ventilation rates and modes affect the indoor particulate matter (PM) contamination. Our results indicate that the measured PM concentration levels were well below the recommended limits overall. In particular, the mean PM_{fine} (aerodynamic diameter <2.5 μm) concentration was around 5 μg m⁻³ while the outdoor PM_{fine} level was 20 μg m⁻³. The mean indoor concentration of the coarse fraction aerosols (aerodynamic diameter >2.5 μm) varied between 2.5 and 7 μg m⁻³, with higher values corresponding to better airtightness of the house. As assessed by the indoor/outdoor elemental ratios and mass size distribution data, the filtration of the coarse mode particles was adequate in the passive houses. However, the PM_{fine} fraction could get through the filters unhindered, as indicated by PM_{fine} levels independent of the ventilation modes. The coarse mode particles inside the passive houses mainly originated from indoor sources.

Keywords: Passive House; Mechanical ventilation with heat recovery; Indoor air quality; Airborne particulate matter; Elemental composition of PM

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34 INTRODUCTION

35

36 Atmospheric aerosols are one of the biggest environmental problems of urban areas
37 nowadays (Forouzanfar *et al.*, 2016; Hänninen *et al.*, 2014), not least because of the observed
38 associations between increased PM (particulate matter) concentration and various health
39 problems (e.g. respiratory and cardiovascular morbidity) (Forouzanfar *et al.*, 2016; Pope *et al.*,
40 2002; Reichardt, 1995). A better understanding of aerosols in our indoor environment is at
41 least as important as the outdoors because people spend 30-60% of their time in indoor
42 environment (Jenkins *et al.*, 1992). Yet relatively few studies made indoor air pollution their
43 focus. (Moschandreas *et al.*, 1979; Kulmala *et al.*, 1999; Abt *et al.*, 2000; Özkaynak *et al.*,
44 1996; Koponen *et al.*, 2001; Hussein *et al.*, 2005; Dimitroulopoulou *et al.*, 2006;
45 Martuzevičius *et al.*, 2008; Chen and Zhao, 2011; Hänninen *et al.*, 2011) Aerosol particles in
46 the indoor environment may originate from indoor sources or infiltrate from the outdoor air.
47 (Hänninen *et al.*, 2013) Thus, in order to estimate personal PM exposures in a building of
48 interest, it is important to know what types of connection exist in that building between the
49 indoor and outdoor environment. This connection will depend on the type of construction and
50 ventilation of the building. A trend embraced by architects during the last few decades is to
51 maximize energy efficiency as much as possible, resulting in the growing number of passive
52 houses being built. To date, high construction costs have limited the spread of this type of
53 house to high-income countries. But as the technologies involved become more affordable
54 with time, energy-efficient construction is expected to broaden its popular appeal. Because of
55 the relative novelty of this type of buildings, their indoor air quality has not been widely
56 investigated (Wallner *et al.*, 2015; Wells *et al.*, 2015; Kauneliene *et al.*, 2016; Guyot *et al.*,
57 2016; Maas *et al.*, 2017). We are aware of only four papers that have focussed on PM
58 concentrations at energy efficient buildings (Derbez *et al.*, 2014a, b; Langer *et al.*, 2015;

59 Broderick *et al.*, 2017), and ours is the first to report elemental concentration of PM in passive
60 houses.

61 In an indoor environment the PM concentration level is affected by many mechanisms and
62 processes. As mentioned above, the indoor PM concentration of a house is primarily depends
63 on the outer aerosol pollution and the connection of the outdoor and indoor environment. For
64 example a strongly polluted outdoor air could profoundly affect the indoor air quality in a
65 poorly shielded house. In addition, several other processes also play an important role in
66 affecting the indoor PM level (Morawska and Salthammer, 2003), including: penetration of
67 outdoor particles (through doors, windows and building envelope); deposition and
68 resuspension of indoor particles; removal of indoor particles by ventilation and exfiltration;
69 chemical reactions leading to particle formations and generations. Several aerosol studies
70 focussed on these effects and their results were well summarized in review papers (Wallace,
71 1996; Lai, 2002; Holmes and Morawska, 2006; Diapouli, 2013). Because of the above factors,
72 passive houses can differ significantly from conventional ones. In particular, remarkable
73 differences could be expected in indoor air quality and PM concentrations between the two
74 house types.

75 Passive houses represent a unique indoor environment because of their special construction.
76 A house built with passive house technologies typically include the following features:
77 passive solar gain (through south-facing windows), super glazing (U-value $0.8 \text{ W/m}^2\text{K}$),
78 airtight building envelope, and thermal bridge free construction (Passive House Institute,
79 2016). These reduce the annual demand for space heating to $15 \text{ kWh m}^{-2} \text{ a}^{-1}$, with the limit for
80 total primary energy use of $120 \text{ kWh m}^{-2} \text{ a}^{-1}$. To fulfil these requirements balanced
81 mechanical ventilation with heat recovery (MVHR) must be built in. In addition, during the
82 heating season no uncontrollable natural ventilation or considerable infiltration through the
83 leaks of the building shell is allowed.

84 A recently published paper by Langer *et al.* (2015) reports the quality of the indoor air
85 quality (IAQ) in newly built passive dwellings was comparable to or better than in
86 conventionally built new houses. Derbez *et al.* (2014a, b) evaluated the IAQ and the
87 occupants' comfort in newly built low energy houses during the pre-occupancy stage and
88 during the first and first three years of occupancy. The authors reported that compared to
89 standard French buildings, the concentrations of PM_{2.5}, volatile organic compounds and radon
90 were low, whereas the formaldehyds and CO₂ levels were not significantly different. However,
91 Hasselaar (2008) displayed that certain health problems occur twice or three times more often
92 in dwellings with mechanical ventilation with heat recovery than the ones equipped with
93 conventional (exhaust) ventilation with natural inlet functions. He found the poor overall
94 ventilation explains these problems. Heidorf (2007) found that the average CO₂ levels in a
95 passive-house school were high but comparable to those in conventionally ventilated ones. In
96 summary, the available data on IAQ in passive houses is scarce, and these studies often
97 contradict each other or commonly held assumptions. An example for the latter is Guyot *et al.*
98 (2016) whose measurements in low-energy homes challenged the assumption that leakage is
99 uniformly distributed. The authors consider the case of a building with substantial ventilation
100 where some rooms can become underventilated if short-circuited. Recognizing that such
101 special circumstances can have a strong impact on IAQ, Guyot *et al.* developed a
102 performance-based approach for ventilation in low-energy buildings that integrates IAQ and
103 health issues.

104 In this study we have investigated the indoor air quality with a special emphasis on airborne
105 particulate matter pollution, and compared it in buildings with passive house technology and
106 in a conventional house. The effects of the outdoor environment, the ventilation system and
107 human activity on the IAQ were also studied.

108

109 **METHODS**

110

111 *Environmental character*

112 Due to the very few numbers of passive residential houses in Hungary, it was hard to find
113 neighbouring ones. The buildings involved in this study are in Ócsa, Hungary, a suburban
114 settlement of detached houses with a population of 9064 (in 2010) to the south-east of
115 Budapest. The village is about 8 km outside the city borders of the capital and commuting is
116 served by a highway and a direct railway line. The vehicular traffic intensity in the village is
117 quite low. For these reasons the proportion of the traffic-related aerosol is probably small.
118 Similarly to other rural and suburban regions of Hungary, a wood-burning stove is frequently
119 used for the heating of residential buildings, although heating with natural gas is also common.
120 There is no notable industrial production plant, but the village is surrounded by cultivated
121 areas and in the south - next to the investigated houses - there is a natural reserve.

122

123 *The buildings*

124 All three investigated detached houses are family residences. They were built in the
125 southwest part of Ócsa, about 1 km distance between each. Each house fulfils the
126 requirements of the Hungarian building code of energy efficiency. The conventional house
127 (House A) is a century old, enlarged adobe house, with the loft converted to an attic (two
128 floors). The enlargement is made of modern brickwork, the loft is of timber-frame
129 construction insulated with a plastic air-vapour barrier. The old windows were renovated: an
130 air-tight seal was built into the plank frame windows and the inner layer of the glass pane was
131 replaced with a double-layer heat-insulated glazing. The whole building was insulated outside
132 with special reed boards and plastered with clay (adobe should only be insulated with vapour-
133 permeable materials). The building is heated with a wood gasification boiler which is placed
134 in a nearby outbuilding. It is not equipped with mechanical ventilation.

135 The other two buildings were new: both of them were inhabited for less than a year in the
136 time of the study. Each of them was planned as a passive house, but failed to fulfil the
137 requirements of the passive house standard in one way or another. That is why we called them
138 “buildings with passive house technology” instead of the term of “passive house”. One of
139 them (House B) is made of reinforced concrete with permanent molded polystyrene formwork,
140 functioning at the same time as insulation. It has a slab foundation with polystyrene insulation
141 under it. The wooden ceiling is insulated with mineral wool. Because of the sophisticated
142 geometry of the ceiling and the unskilled contractor, the cracks were not sealed properly;
143 therefore the building does not meet the requirement of airtightness of passive houses
144 (although it fulfils all the other requirements of the passive house standard). The windows and
145 doors are made of P.V.C. frame with three-layer glazing. The house is equipped with a
146 balanced heat recovery mechanical supply and exhaust ventilation system. The building is
147 heated with an electrical floor heating (only in the bathroom) and an electrical wall panel
148 heating (in the living room). During the time we conducted the measurements for this study, a
149 steel plate chimney was built in for a fireplace. There is no heating in the other rooms.

150 The third building (House C) is made of brickwork and has a reinforced concrete slab
151 ceiling. The walls are insulated with expanded polystyrene foam and the ceiling with blown
152 cellulose. The doors and windows are P.V.C. structures with three-layer glazing. The house is
153 ventilated with a balanced mechanical ventilation with heat recovery and heated via ceiling-
154 mounted electrical radiant panels. The building fulfils the requirements of the passive house
155 standard, except for the annual demand for space heating - it is slightly more than 15 kWh m^{-2}
156 a^{-1} . and the airtightness is a bit worse than acceptable. Both of the investigated houses are
157 equipped with only primary air filters of grade G4.

158 The new European standard for air filters, EN 779:2012 (2012), the purpose of which is to
159 classify air filters based on their lowest filtration efficiency, defines three filter classes: G1-

160 G4 Coarse filters; M5-M6 Medium filters; F7-F9 Fine filters. The desired air quality can be
161 achieved economically by two-stage air filters, with the 1st stage a grade G3 or G4 filter and
162 the second stage is a secondary filter of grade F7 or F8 filter. Filters grade G4 perform almost
163 100% retention of PM larger than 5 μm , while filters F7 performs the same retention of PM
164 larger than 2 μm . The use of finer filters should reduce the volume of the fine particles that
165 get in through the ventilation system.

166 The summary of the key characteristics of the three buildings are presented in Table 1.

167

168 *The correlation between airtightness and annual infiltration rate*

169 In terms of indoor air quality the principal difference between passive and conventional
170 houses is the level of airtightness. Passive houses are airtight; therefore only a relatively small
171 amount of outdoor air gets in uncontrolled through the cracks of the building envelope. In
172 contrast, because of the mechanical ventilation system a significant amount of fresh air gets in
173 under controlled and regulated conditions, cleaned through filters. Window opening is not
174 typical. By comparison, the airtightness of conventional buildings is typically poor, and air
175 exchange is caused in uncontrolled and unpredictable contributions by leakage and infiltration
176 on the one hand and by airing on the other hand.

177 For the estimation of the average infiltration rate we used the Blower-door tests, a
178 commonly used method to determine the airtightness of buildings. The equipment measures
179 the airflow at a given building-to-outside reference pressure ($\Delta P = 50 \text{ Pa}$) and calculates the
180 “air changes at 50 Pa” (former ACH_{50} , nowadays called n_{50} , h^{-1}). According to the passive
181 house standard, the building must leak no more than 0.6 air changes per hour ($n_{50} < 0.6 \text{ h}^{-1}$).
182 ACH_{50} or n_{50} should not be confused with an infiltration rate, because it is an air flow at an
183 artificially induced condition. It is an indicator of leakage, and is not equal to infiltration
184 (Sherman, 1987).

185

186 *Air change rate of infiltration*

187 Several studies have been conducted on the correlation between the leakage of the building
188 shell (n_{50}) and the annual average infiltration rate (Sherman, 1987; Jokisalo *et al.*, 2009). By
189 comparing the leakage-infiltration map of Sherman to the updated world map of the Köppen-
190 Geiger climate classification (Kottek *et al.*, 2006) we obtained the formula

191

$$192 \quad n_{\text{inf, winter}} = 1.33 n_{50} / (18 cf_1 cf_2 cf_3) \quad (1)$$

193

194 where $n_{\text{inf, winter}}$ is the air change per hour in the winter season via infiltration, n_{50} is the air
195 change rate per hour at 50 Pa of pressure difference (h^{-1}) and $cf_{1,2,3}$ are the correction factors
196 shown in Table 2. The correction factors according to Sherman (1987) are: cf_1 – height
197 correction factor (decreasing with the number of stories, e.g. $cf_1=1.0$ for 1 storey; $cf_1=0.8$ for
198 2 stories, etc.), cf_2 – shielding correction factor ($cf_2=1.2$ for well shielded; $cf_2=1.0$ for normal;
199 $cf_2=0.9$ for exposed), cf_3 – leakiness correction factor ($cf_3=1.4$ for small cracks; $cf_3=1.0$ for
200 normal; $cf_3=0.7$ for large holes).

201 The PHPP (Passive House Planning Package, a spreadsheet based design tool aimed at
202 architects and designers to assist the design of passive house standard) calculates the
203 infiltration on the basis of the ISO/PDIS 13790 (2007) (Feist, 2007) The PHPP provides the
204 following functional relationship between n_{50} and n_{inf} :

205

$$206 \quad n_{\text{inf}} = n_{50} e^{V_{n50} / V_L} \quad (2)$$

207

208 where V_{n50} is the volume taken into account at the airtightness measurement and V_L is the
209 heated volume (in our cases their ratio is 1.00). The quantity “e” is a coefficient of shielding

210 (assumes a value of 0.07) (in Table 3). It is important to keep in mind that the software
211 Passive House Planning Package is not feasible for applications in “conventional” buildings.

212

213 *Air change rate of ventilation*

214 There is no mechanical ventilation system in House A, therefore this building has an air
215 change only through the cracks. The airing and air change due to door opening depends
216 among other factors on the occupants’ behaviour, the climate and the season. In this case,
217 considering that the occupants only made short time airing once a day in the morning (since
218 the temperature was constantly below zero during the measuring campaign), the ventilation
219 via open doors or windows was deemed to be insignificant. The other houses are equipped
220 with a balanced heat recovery mechanical supply and exhaust ventilation system. In these
221 houses with passive house technology - owing to the continuous mechanical ventilation –
222 airing is not typical and not recommended, either.

223 The air change rate of mechanical ventilation is determined by two aspects: CO₂
224 concentration and humidity. The required air volume is 30 m³ h⁻¹ person⁻¹ to keep the CO₂
225 concentration in the living space at 0.1% or below. However, the excessive air volume getting
226 into the house in winter results in a very low indoor humidity level which can cause sensory
227 irritation. So as to avoid the very low air humidity (recommended rate is 40-60%) the air
228 change per hour must be between 0.3 and 0.5 h⁻¹. In passive houses the lower value is
229 recommended. Thus, in these cases, the requirement is, $n_{\text{vent}} = 0.3 \text{ h}^{-1}$.

230

231 *Aerosol sampling*

232 The aerosol sampling campaign was carried out during a two-week period starting on
233 January 3 and ending on January 16, 2012. The sampling time was 48 hours, which started at
234 9 am or 12 pm depending on the residents’ activities. The aerosol sampling took place in the

235 following places: on the veranda of House A (outdoor), in the bedroom of House A, in the
236 bedroom of House B and the living room of House C. The bedrooms of House A and House B
237 were guestrooms with no indoor activity. The living room of House C had usual daytime
238 activities of a four-member family. The following sampling devices were used: Nuclepore
239 two-stage samplers and two ten-stage PIXE International cascade impactors. The Nuclepore
240 samplers were loaded with two Nuclepore polycarbonate filters with different pore diameters
241 to collect the aerosol particles separately in two size fractions. One of the filters had 8 μm
242 diameter holes to collect the coarse fraction ($\text{PM}_{\text{coarse}}$, particles with aerodynamic diameter
243 larger than 2.5 μm) while the fine particles (PM_{fine} , particles with aerodynamic diameter
244 smaller than 2.5 μm) were deposited on a filter with 0.4 μm pore diameter. (Hopke *et al.*, 1997;
245 Maenhaut *et al.*, 1994). Portable membrane pumps developed at MTA Atomki were used to
246 carry out the samplings. The collection of aerosol samples was carried out with a flow rate of
247 14-15 l min^{-1} . Furthermore, ten-stage PIXE International cascade impactors were applied to
248 collect size-resolved samples in the following size fractions <0.06, 0.06-0.12, 0.12-0.25, 0.25-
249 0.5, 0.5-1, 1-2, 2-4, 4-8, 8-16, and >16 μm aerodynamic diameter. These particles were
250 collected on kapton foils coated with paraffin. The samplings were carried out outdoors on the
251 veranda of House A and indoors in the bedroom of House B.

252

253 ***Aerosol analysis***

254 The total mass concentration was determined by gravimetric methods: the filters were
255 weighed before and after on a microbalance. Before weighing the filters were conditioned at
256 least 24 h in the weighing box at 24 °C temperature and approximately 50% relative humidity.
257 Particle induced X-ray emission (PIXE) analytical method (Maenhaut and Malmquist, 2001)
258 was used to determine the elemental composition of the aerosol samples at the PIXE chamber
259 installed on the left 45° beamline of the 5MV Van de Graaff accelerator of the IBA laboratory

260 of the Institute for Nuclear Research, Hungarian Academy of Sciences (MTA Atomki)
261 (Borbely-Kiss *et al.*, 1985). A proton beam of 2 MeV energy and 40 nA current was applied
262 to irradiate the samples. 40 μC was the accumulated charge on each sample. The PIXEKLM
263 program package (Szabo and Borbely-Kiss, 1993; Szabo, 2009) was utilized to determine the
264 elemental compositions for $Z>13$. Concentrations of the following elements were determined:
265 Al, Si, P, S, Cl, K, Ca, Ti, V, Sc, Co, Mn, Fe, Ni, Cu, Zn, As, Ba, Cd and Pb. The values were
266 given in ng m^{-3} . Depending on the element the detection limit varied between 0.5 and 20 ng
267 m^{-3} while the uncertainty of the determination of concentration was between 2% and 10%.

268

269 **RESULTS AND DISCUSSION**

270

271 *Ventilation rates of the investigated houses*

272 The results of the Blower-door tests are presented in Table 1. The n_{50} value in both Houses
273 B and C (1.60 and 0.89 h^{-1}) were unable to meet the requirement of airtightness of passive
274 houses (Feist, 2007). The n_{50} value of House A was extremely high (7.13 h^{-1}).

275 Table 2 shows that our conventional building has a relatively high air change rate (0.94 h^{-1}),
276 but only through the cracks. According to Tables 2 and 3 the other two houses have far less
277 natural infiltration, but a relatively large air exchange which is provided by artificial
278 ventilation (0.30 h^{-1} each). Comparing these values for the three buildings, it can be seen that
279 the conventional building (House A) possesses the highest air change per hour, approaching 1
280 (0.94). However, this rate of infiltration is fully uncontrolled. The total air change rate of
281 House B (0.42 h^{-1}) amounts to approximately half of House A, and infiltration reaches only
282 about 30% of it. The total air change rate is the lowest at House C (0.35 h^{-1}), with a 15%
283 infiltration rate, therefore the mechanical ventilation is the dominant ventilation mechanism of
284 this building.

285 Using the method of the PHPP, the infiltration values in the heating season of buildings B
286 and C, derived from n_{50} using a coefficient $e = 0.07$ (slight shielding) are shown in Table 3.
287 There is no result for House A in this table, because this method is not feasible for
288 applications in “conventional” buildings. It is evident that the $n_{inf,winter}$ values of Houses B and
289 C negligibly differ from the ones shown in Table 2 (House B: 0.12 and 0.11; House C: 0.05
290 and 0.06).

291

292 ***Mass concentration of PM_{fine} and PM_{coarse}***

293 The indoor and outdoor average and min-max PM_{coarse} and PM_{fine} mass concentration (μg
294 m^{-3}) is summarized in Table 4. In all cases, PM_{coarse} and PM_{fine} mass concentration values
295 exceed neither the guidelines of the Environmental Protection Agency (EPA) nor the ones of
296 the Occupational Safety and Health Administration (NAAQS/EPA, 2016; OSHA). Regarding
297 the different buildings, the average indoor PM_{coarse} concentrations were higher in the buildings
298 with passive house technology than in the conventional one. The indoor PM_{fine} concentration
299 levels were about 25% of the outdoor PM_{fine} levels in all three buildings. Despite the very
300 different air change rate values measured in the three houses, the average PM_{fine} indoor mass
301 concentrations was about the same in each. This is taken as evidence that the infiltration of
302 PM_{fine} was independent from ventilation mode. The average of the $PM_{fine}/PM_{fine+coarse}$ ratio
303 (see Table 5) was 0.4 in House C and 0.6 in House B, while it was 0.7, the same as outdoors,
304 in the conventional house (House A). This means that the outdoor air could penetrate into
305 House A without hindrance due to the very high air exchange rate which was observed in
306 House A. These ratios indicated that fine particles comprised a large fraction in the total mass
307 at each sampling site, except for House C where coarse particles were dominant. The
308 Indoor/Outdoor ratio is used to characterise the relation between the indoor and the outdoor
309 aerosol concentration. In this case, it is not easy to draw any conclusion based on this value,

310 because it is influenced by many factors such as outdoor concentration, penetration factor,
311 deposition factor, indoor particle source emission rate and indoor activities. The average of
312 I/O ratio of the mass concentration in all sampling sites is summarized also in Table 5. The
313 average of I/O ratio for the fine fraction was lower than for the coarse mode particles,
314 indicating weaker indoor sources for PM_{fine} at the houses. In addition the average I/O ratios
315 for the fine fraction were nearly identical in each house, suggesting that the origin of the
316 PM_{fine} indoor pollution was the outside air. In the conventional house the I/O ratio for the fine
317 and coarse fractions were nearly the same indicating that the origin of both fine and coarse
318 fractions of indoor pollutants was most probably the outside air, as suggested by the high
319 exchange rate. Furthermore, the I/O ratio for PM_{coarse} was lowest in the conventional building
320 (0.4 in House A) and much higher in the buildings with passive house technology (0.7 in
321 House B) and 1.2 in House C suggesting that the main PM_{coarse} source was the resuspension
322 of household dust. The differences between Houses B and C can be explained by the much
323 higher level of activities in House C.

324 The variation in time of PM_{fine} and PM_{coarse} inside the houses and outdoors is presented in
325 Fig. 1. The huge increase of the PM_{coarse} in House B on 10-11th January 2012 could be
326 attributed to the drilling of the reinforced concrete during the modification of the heating
327 system. As we mentioned earlier, an external steel chimney for the fireplace was built into
328 House B on those days. Therefore these results were excluded as outliers from further analysis
329 of the data.

330 Regarding the fine fraction, the indoor PM_{fine} concentration levels closely followed the
331 variation in the external values, with an approximately 50% attenuation in levels. In the case
332 of the coarse fraction, no lawful dependence could be identified between the internal and
333 external concentration values.

334

335 ***Elemental composition***

336 The average concentrations of 15 elements in both size fractions at each sampling site are
337 presented in Table 6. We found in all sampling sites, that the concentration of anthropogenic
338 related elements such as sulphur, potassium, zinc and lead was always the highest in the fine
339 fraction. Nevertheless, the temporal variation of the concentration of these elements was
340 similar during the campaign in all selected buildings (Fig. 2). Similar to the variation of the
341 PM_{fine} mass, the indoor alteration of the concentrations followed the outdoor change of these
342 elements, a strong evidence that this phenomenon was likely the result of outdoor particles
343 being transported to the indoors. The S and Zn PM_{coarse} concentrations inside all houses also
344 followed the external changes. However, in the case of the mineral dust elements like Al, Si,
345 Ca, Ti, Mn, Fe, no correlation was found between the external and internal values.

346 The I/O ratios calculated for the measured elements can be found in Table 7. As mentioned
347 before, the I/O ratio is strongly affected by many factors like indoor particle sources,
348 penetration factor, air exchange rate and outdoor particle concentration. For example, if there
349 is no indoor particle emission source, the I/O ratio will increase with the increase of the air
350 exchange rate, while if the indoor particle emission rate is very large and the outdoor particle
351 concentration is low, the I/O ratio will decrease with the increase of the air exchange rate
352 (Chen and Zhao, 2011).

353 In our study, the average of the I/O ratio values were below 1 in the coarse fraction except
354 for copper at all houses. Moreover, in House C the I/O ratio for some elements (S, K, Zn) was
355 higher than 1.

356 In the fine fraction the average I/O ratios for elements S, K, Pb, Zn in all houses were
357 around 0.4, similar to the PM mass. For mineral dust elements the mean I/O ratio was around
358 1 for House A and C, and for House B it was twice as much. For Cl and Cu, I/O ratios higher
359 than 1 were found in the houses.

360 In the coarse fraction smallest I/O ratios were measured in House A both for the
361 anthropogenic and the mineral dust elements. I/O ratios for S, K and Pb was highest in House
362 C while for mineral dust elements the average I/O ratios were similar in the two passive
363 houses (0.5). The highest I/O ratios were found for Cu in all houses.

364 The lower I/O ratios suggest external sources of the given element. We discuss the possible
365 origin in paragraph *Emission sources*.

366

367 ***Size distribution***

368 The mass size distribution of some elements was measured both indoors (House B) and
369 outdoors (House A) from 3rd to 6th January 2012. These results are shown on Fig. 3.
370 According to similarities in the size distribution, the elements were classified into two
371 different groups in both cases. The first group contains soil mineral compounds such as Si, Ca,
372 Fe and Mn. In case of these elements two prevalent peaks were found in the coarse fraction at
373 the outdoor sampling site: one at 2-4 μm aerodynamic diameter size range and the other
374 higher peak at 8-16 μm size range. The indoor concentration of Si and Ca were elevated
375 towards the coarse mode with the dominant peak at the 2-4 μm size range. Moreover, Fe and
376 Mn size distributions were shifted: one lower dominant peak was observed at the 1-2 μm size
377 range. This means that the high amount of soil-derived elements disappeared in indoor
378 environment, confirming that the applied G4 filters are adequate for EU standard. The second
379 group consists of elements of anthropogenic origin: S, K, Zn and Pb. These elements could be
380 derived from combustion processes such as biomass burning or oil combustion. In their size
381 distribution there was an increase at 0.25-0.5 μm and at 1-2 μm aerodynamic diameter size
382 range at the outdoor sampling site. Nevertheless, only one predominant peak could be found
383 at the 0.25-0.5 μm size range at the indoor sampling site. Furthermore, the indoor
384 concentrations were approximately the third of the outdoor aerosol concentrations in all cases.

385 As inferred from the elemental I/O ratio and the size distribution, fine particles (containing S,
386 K, Zn and Pb) could get through the filters.

387

388 *Emission sources*

389 Enrichment factor (EFs) analysis provides a picture of whether the origin of the indoor or
390 outdoor particulate matter is the crust or some anthropogenic activities. Furthermore, if the
391 element has indoor sources, the EFs of this element are higher indoors than outdoors. In this
392 work EFs were calculated relative to the average crustal rock composition (Mason and Moore,
393 1982) using Si as the reference element, as shown in the following equation

394

$$395 \quad EF = (X/Si)_{PM} / (X/Si)_{crustel} \quad (3)$$

396

397 However, these ratios are not able to describe the relative strength of outdoor sources. Thus
398 the indoor/outdoor EF ratios (in the following: indoor EF) were calculated (Salma *et al.*,
399 2013). This relative enrichment to the outdoor aerosol composition is displayed in Table 8. If
400 the value of the indoor EF is equal or less than 1 the element usually has an outdoor source.
401 Significantly higher indoor EF values indicate indoor origin. For all elements the PM_{fine}
402 indoor EFs ranged from 0.1 to 6.3 and their average levels were less than 1, indicating that
403 these aerosol components could be of outdoor origin in each house. The maximum EF was
404 higher than 1 for some elements (Ca, Ti, Cu). These larger ratios should be attributed to
405 indoor sources. The maximum indoor EF of Ca was higher in House A (2.0) and in House C
406 (2.2) than in the House B, where weaker indoor activity was observed. Furthermore, the
407 maximum indoor EF of Cu was also larger in House A (6.3) and in House C (3.3).

408 Moreover, the PM_{coarse} indoor EF were higher than 1 for some elements (Al, Ti, Ca, S, K, P,
409 Cu, Zn) in the coarse fraction, displaying that these aerosol constituents were extensively

410 enriched and could be derived from indoor sources. At the conventional house (House A) the
411 greatest indoor EF were observed for K, P and Cu. The indoor EF of the potassium was varied
412 from 1.2 to 2.6 and their average was 1.8. In addition, the observed range of the phosphorus
413 was between 0.9 and 2.7 with the average of 1.6. As mentioned above, this building is heated
414 with a wood gasification boiler. Due to this, K and P could be enriched indoors. Furthermore,
415 it is possible that the elevated value of these elements has biological origin from indoor plants.
416 Moreover, the copper indoor EF ranged from 1.4 to 15.8 with average of 5.7, which was the
417 highest value compared to the average of the buildings with passive house technology.
418 The probable source of Cu might be electric devices that apply copper commutators for motor
419 rotation such as vacuum cleaners and electric fans (Zhao *et al.*, 2006). A vacuum cleaner was
420 used in the lobby every day.

421 In House B, the maximum indoor EF of some elements (Al, S, K, Ti, Mn, Cu, Zn) was
422 above 1, suggesting that these elements should be enriched only a few days. On the last
423 sampling date, the indoor EF of Al and Zn was significantly high. The reason for this
424 phenomenon was unknown.

425 Furthermore, the highest indoor EF values were observed in House C in the coarse fraction,
426 where the human activity was the highest at the sampling site. Here the indoor EFs for S, K,
427 Ca, Ti, Cu, Zn were higher than 1. The indoor EF of S ranged from 1.8 to 3.4 and the average
428 level was 2.6. The range of K was between 1.2 and 2.2, with an average at 1.8. Potassium and
429 sulphur could be related to indoor sources such as cooking, smoking, emissions of wood fires
430 and human activity (Moschandreas *et al.*, 1979). In addition, greater indoor EFs were noticed
431 for Cu, and Zn too.

432 In both buildings with passive house technology, indoor EFs for Zn were higher than in the
433 conventional house. Nevertheless, the origin of Zn could not be identified and no relationship
434 between the enriched Zn and the passive house were found either. There is no detectable

435 source of Zn in these houses, and the ventilation system as a possible Zn source has not been
436 examined. The latter possibility requires further investigation.

437 Removing particles of both indoor and outdoor sources is quite slow through the cracks of
438 the building shell (Thatcher and Layton, 1995), the ventilation system is more effective at it.
439 But in these cases the air change rate through the building envelope of House A ($n_{\text{total}} = 0.94$
440 h^{-1}) is about two times larger than the air change rate originated from the mechanical
441 ventilation system of Houses B and C ($n_{\text{total}} = 0.42$ and 0.35 h^{-1}) - so the effectiveness of
442 removing contamination through infiltration and through ventilation are comparable to each
443 other. It is quite obvious that using a ventilation system that operates below its filtration
444 efficiency specifications (or potential?), the indoor air quality may not be adequately
445 improved even in passive houses. In these two specific cases, the coarse aerosol particles
446 remained indoors and accumulated despite ventilation.

447

448 CONCLUSIONS

449

450 ~~In this work indoor aerosol mass concentration, mass size distribution and elemental~~
451 ~~composition were studied in a conventional house and in two energy efficient buildings in a~~
452 ~~winter period.~~

453 ~~Contrary to expectations, our observation did not support the assumption that the indoor air~~
454 ~~quality with regard to PM contamination of buildings with passive house technology would~~
455 ~~be better than that of conventional houses. Although neither of the investigated energy~~
456 ~~efficient buildings complies with the requirements of airtightness of the passive house~~
457 ~~standard, their pressure test air flows (n_{50}) were 4.5-8 times lower than those of the~~
458 ~~conventional house. The measured particulate matter concentration levels were well below the~~
459 ~~recommended limit values.~~

460 We found that the average PM concentration was higher in the buildings with passive
461 house technology than in the conventional one. The difference was due to a much higher
462 concentrations of coarse particles found in the passive houses. The mean of the coarse fraction
463 aerosols indoor concentration varied between 2.5 and 7 $\mu\text{g m}^{-3}$, with higher values
464 corresponding to better airtightness of the house. In the fine fraction no differences were
465 found between the houses, and we showed that origin of the fine fraction aerosol was the
466 outdoor air. The PM_{fine} concentration was in average around 5 $\mu\text{g m}^{-3}$ in all houses while the
467 outdoor PM_{fine} level was 20 $\mu\text{g m}^{-3}$. From the indoor/outdoor elemental ratios and mass size
468 distribution data we could conclude that the filtration of the $\text{PM}_{\text{coarse}}$ was solved in the passive
469 houses while the PM_{fine} fraction could get through the filters without hindrance. The $\text{PM}_{\text{coarse}}$
470 inside the passive houses mainly originated from indoor sources and the clearance of this
471 $\text{PM}_{\text{coarse}}$ was not solved. The PM_{fine} levels were independent of the ventilation modes.

472 It seems to be a problem that buildings B and C equipped with a balanced mechanical
473 ventilation system with heat recovery have only primary air filters of grade G4 without the
474 recommended secondary ones of grade F7. Unfortunately this is a designing error, which can
475 be repaired later only at a high cost, since the filtering system is supposed to be set up
476 gradually, so that the elements are not overloaded (1st stage G1-4, 2nd stage F7-8, the 3rd stage
477 –HEPA, ULPA– are optional). Based on Fig. 3 we can see that the coarse fraction is filtered
478 from the air getting in, while the fine particles are present at a greater extent. This
479 undoubtedly shows that the secondary filter grade is missing. Without realizing the effective
480 filtering possibilities of the ventilation system, the indoor air quality cannot be improved even
481 in passive houses.

482 It would be worth making further investigations in buildings equipped with better filters
483 (even with HEPA filters) if they indeed perform PM filtering closer to assumptions.

484 ~~Unfortunately we were only able to investigate a very few number of dwellings, due to the~~
485 ~~limited number of passive houses in Hungary and the unsuccessful application for further~~
486 ~~grants. Additional sampling of airtightness and indoor/outdoor PM in a greater number of~~
487 ~~homes that control for key variables (e.g., building location, occupancy status during~~
488 ~~sampling, interior finishing, heating system, etc.) would be necessary to gain an empirically~~
489 ~~well-supported understanding of indoor-outdoor air quality relationships in passive house~~
490 ~~dwellings.~~

491 We have characterized and compared indoor aerosol pollution in two energy efficient
492 buildings and in a conventional house in Hungary during the winter of 2012. Indoor pollution
493 level, composition and possible sources of PM were determined via measuring the mass
494 concentration, mass size distribution and elemental composition indoor and outdoor aerosols
495 at the same time. We also measured airtightness.

496 Although pressure test air flows (n_{50}) in the two energy efficient buildings in the study were
497 4.5-8 times lower than in the conventional house, neither complied with the requirements of
498 airtightness of the passive house standard.

499 In all three houses, the measured particulate matter concentration levels were well below
500 the WHO-recommended 24-h limit. Nevertheless, the average PM concentration was higher
501 in the two buildings with passive house technology than in the conventional one, with most of
502 the excess PM concentration accounted for by coarse particles (particles with aerodynamic
503 diameter $> 2.5 \mu\text{m}$) of indoor sources that got trapped indoors by superior airtightness and
504 also insufficient clearance.

505 In contrast, there was no difference in the concentration and composition of the fine
506 fraction ($\text{PM}_{2.5}$) in the three houses. The origin of this fine fraction aerosol was the outdoor air.
507 Supporting this claim, the indoor/outdoor elemental ratios and the mass size distribution data
508 indicated that the $\text{PM}_{\text{coarse}}$ was sufficiently filtrated in the passive houses while the PM_{fine}

509 fraction could get through the filters without hindrance. Furthermore, the PM_{fine} levels were
510 independent of the ventilation modes. Both energy efficient houses were equipped only with
511 primary air filters of G4 without the recommended secondary filters. The presence of the fine
512 particles of outdoor sources showed that this filter was not effective at all in removing this
513 size fraction. In order to reach lower $PM_{2.5}$ levels the houses should have been equipped with
514 better filters (even with HEPA filters). The clearance of the coarse particles originating from
515 indoor sources should be also solved.

516 Our study, by highlighting several factors that bear influence on the indoor air quality of
517 passive houses, is only a first step on the path to a better understanding of indoor-outdoor air
518 quality relationships in such buildings. Future studies taking the necessary next steps on that
519 path must engage in the systematic investigation of airtightness and indoor/outdoor PM in a
520 greater number of homes while controlling for key variables (e.g., building location,
521 occupancy status during sampling, interior finishing, heating system, etc.). The knowledge
522 thus gained will be indispensable to developing more efficient, more dependable, and
523 healthier future building technologies.

524

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526

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534

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676

Table Titles

677 **Table 1.** Building characteristics

678 **Table 2.** Air change per hour, originated from infiltration and ventilation

679 **Table 3.** Air change per hour, originated from infiltration, using the method of the PHPP.

680 **Table 4.** Average, minimum and maximum values of PM_{coarse} and PM_{fine} mass concentrations
681 in $\mu\text{g m}^{-3}$

682 **Table 5.** $PM_{\text{fine}}/PM_{\text{fine+coarse}}$ and Indoor/Outdoor ratios for PM_{coarse} and PM_{fine} fractions

683 **Table 6.** The average concentrations (ng m^{-3}) of elements obtained for fine and coarse
684 samples collected in each sampling sites

685 **Table 7.** Minimum, maximum and average indoor/outdoor ratio for PM_{fine} and PM_{coarse}
686 fractions

687 **Table 8.** Minimum, maximum and average indoor/outdoor (indoor EF) ratio for PM_{fine} and
688 PM_{coarse} fractions

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Table 1. Building characteristics

	House A	House B	House C
character	detached house	detached house	detached house
storeys	2	1	1
occupants	1 adult + 2 children	2 adults	2 adults + 2 children
occupation during measuring campaign	~ 85%	~ 50%	~ 90%
wall	adobe/brickwork + reed boards	reinforced concrete + polystyrene formwork	brickwork + exp. polystyrene foam
roof/ceiling	timber-frame construction roof + blown cellulose	wooden ceiling + mineral wool	reinforced concrete slab + blown cellulose
windows	renovated old plank frame windows	P.V.C. frames, 3 layers glazing	P.V.C. frames, 3 layers glazing
area [m ²]	199	121	135
heating	wood gasification boiler	electrical wall panel (living room) and electrical floor heating (bathroom)	ceiling mounted electrical radiant panels
cooking	electric ceramic cooker	electric ceramic cooker	electric ceramic cooker
balanced heat recovery supply and exhaust ventilation	no	yes	yes
filter grade	-	G4	G4
window opening	short time airing once a day in the morning	almost never	almost never
annual heat demand [kWh m ⁻² a ⁻¹]	105.2	14.9	15.3
n ₅₀ [h ⁻¹]	7.13	1.60	0.89

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Table 2. Air change per hour, originated from infiltration and ventilation. Determination of the leakage-infiltration ratio and the correction factors: Sherman (1987)

house	n_{50} [h ⁻¹]	leakage-infiltration ratio [dimensionless]	correction factors [dimensionless]			$n_{\text{inf, winter}}$ [h ⁻¹]	n_{vent} [h ⁻¹]	n_{total} [h ⁻¹]
			cf_1 building height	cf_2 shielding	cf_3 leakiness			
House A	7.13	18	0.8	1.0	0.7	0.94	0	0.94
House B	1.60	18	1.0	1.0	1.0	0.12	0.30	0.42
House C	0.89	18	1.0	1.0	1.4	0.05	0.30	0.35

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Table 3. Air change per hour, originated from infiltration, using the method of the PHPP.

house	n_{50} [h ⁻¹]	$n_{\text{inf, winter}}$ [h ⁻¹] according to PHPP $n_{\text{inf}} = n_{50} \cdot 0.07$
House A	7.13	- ^a
House B	1.60	0.11
House C	0.89	0.06

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^a PHPP is not feasible for applications in “conventional” buildings

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Table 4. Average, minimum and maximum values of PM_{coarse} and PM_{fine} mass concentrations in $\mu\text{g m}^{-3}$

	PM _{coarse}			PM _{fine}		
	Min.	Average	Max.	Min.	Average.	Max.
House A	0.3	2.5	5.1	2.9	4.8	7.3
House B	1.5	3.5	4.8	3.7	5.0	8.3
House C	4.2	7.1	11.6	1.9	4.9	7.4
Outdoor	3.6	5.7	17.6	6.9	19.9	44.7

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Table 5. $PM_{\text{fine}}/PM_{\text{fine+coarse}}$ and Indoor/Outdoor ratios for PM_{coarse} and PM_{fine} fractions

	$PM_{\text{fine}}/PM_{\text{fine+coarse}}$			I/O					
				PM_{coarse}			PM_{fine}		
	Min.	Average	Max.	Min.	Average	Max.	Min.	Average	Max.
House A	0.6	0.7	0.9	0.1	0.4	0.8	0.1	0.3	0.5
House B	0.5	0.6	0.7	0.1	0.7	1.4	0.2	0.4	0.5
House C	0.2	0.4	0.5	0.4	1.2	2.8	0.1	0.3	0.8
Outdoor	0.3	0.7	0.9	-	-	-	-	-	-

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Table 6. The average concentrations (ng m^{-3}) of elements obtained for fine and coarse samples collected in each sampling sites

	PM_{fine}				$\text{PM}_{\text{coarse}}$			
	House A	House B	House C	Outdoor	House A	House B	House C	Outdoor
Al	73.4	132.1	73.1	230.2	105.8	205.8	122.3	351.1
Si	47.6	86.8	34.9	32.0	172.6	238.0	186.6	591.4
P	<DL	<DL	<DL	<DL	10.7	3.5	2.1	20.0
S	213.5	257.3	266.1	737.9	43.5	51.5	118.3	130.5
Cl	26.5	16.0	11.7	38.1	33.2	25.4	58.8	410.0
K	384.5	129.4	227.0	516.5	105.0	53.8	103.9	173.0
Ca	97.6	102.4	79.9	61.6	256.4	269.8	403.0	809.2
Ti	1.5	2.1	2.1	2.0	4.3	5.2	8.2	21.2
Cr	<DL	<DL	<DL	<DL	3.0	4.5	4.4	13.2
Mn	1.1	1.7	1.1	2.9	1.7	2.5	2.1	8.0
Fe	20.4	28.7	17.1	53.5	51.4	67.9	64.1	258.1
Cu	5.1	6.6	6.7	7.0	10.3	4.8	11.8	13.8
Zn	12.6	10.8	14.9	40.0	4.2	5.1	10.7	10.4
Pb	5.2	3.7	5.1	18.6	<DL	<DL	<DL	<DL

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Table 7. Minimum, maximum and average indoor/outdoor ratio for PM_{fine} and PM_{coarse} fractions

	PM _{fine}									PM _{coarse}								
	House A			House B			House C			House A			House B			House C		
	Min.	Average	Max.	Min.	Average	Max.	Min.	Average	Max.	Min.	Average	Max.	Min.	Average	Max.	Min.	Average	Max.
Al	0.2	0.4	0.9	0.3	0.9	1.8	0.1	0.5	1.2	0.2	0.4	0.6	0.2	0.9	1.8	0.1	0.6	1.6
Si	0.8	2.3	7.2	0.5	5.5	14.1	0.4	1.6	3.0	0.1	0.4	0.9	0.1	0.8	1.4	0.1	0.6	1.9
P	-	-	-	-	-	-	-	-	-	0.2	0.7	1.6	0.3	0.2	0.5	0.1	0.1	0.2
S	0.1	0.3	0.6	0.2	0.5	0.7	0.1	0.4	0.9	0.2	0.4	0.7	0.1	0.6	1.0	0.3	1.3	3.5
K	0.5	0.8	1.6	0.1	0.3	0.6	0.2	0.5	1.1	0.2	0.7	1.4	0.1	0.6	1.0	0.2	1.0	3.0
Cl	0.4	1.5	2.8	0.3	1.1	2.5	0.1	0.8	2.7	0.1	0.2	0.5	0.0	0.2	0.3	0.1	0.5	1.2
Ca	1.0	1.8	3.1	0.6	2.2	3.5	0.5	1.6	3.3	0.2	0.4	0.9	0.1	0.6	1.2	0.2	0.8	2.1
Ti	0.5	0.8	1.4	0.3	1.4	2.4	0.5	1.2	2.0	0.1	0.3	0.6	0.1	0.6	1.1	0.1	0.8	2.2
Cr	-	-	-	-	-	-	-	-	-	0.1	0.1	0.4	0.03	0.4	1.0	0.05	0.6	1.5
Mn	0.2	0.5	0.7	1.0	1.7	2.4	0.1	0.5	1.1	0.1	0.3	0.6	0.1	0.6	1.5	0.1	0.4	0.7
Fe	0.3	0.4	0.7	0.4	0.8	1.3	0.1	0.4	0.7	0.1	0.2	0.4	0.1	0.4	0.9	0.1	0.3	0.8
Cu	0.4	2.5	8.8	0.4	1.8	4.6	0.3	1.3	2.3	0.3	2.9	10.8	0.1	1.4	4.5	0.2	3.5	16.4
Zn	0.2	0.4	0.7	0.2	0.4	0.6	0.2	0.5	0.9	0.2	0.4	0.6	0.3	0.7	0.9	0.7	1.2	2.4
Pb	0.1	0.3	0.6	0.1	0.3	0.5	0.1	0.3	0.8	-	-	-	-	-	-	-	-	-

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Table 8. Minimum, maximum and average indoor/outdoor (indoor EF) ratio for PM_{fine} and PM_{coarse} fractions

	PM_{fine}									PM_{coarse}								
	House A			House B			House C			House A			House B			House C		
	Min	Average	Max.	Min	Average	Max.	Min	Average	Max.	Min	Average	Max.	Min	Average	Max.	Min	Average	Max.
Al	0.1	0.3	0.5	0.1	0.3	0.8	0.2	0.3	0.4	0.6	1.1	2.0	0.8	2.3	7.8	0.8	1.1	1.4
P	-	-	-	-	-	-	-	-	-	0.9	1.6	2.7	0.0	0.2	0.4	0.1	0.3	0.5
S	0.0	0.2	0.4	0.0	0.2	0.7	0.2	0.3	0.4	0.6	1.0	1.5	0.6	1.0	1.7	1.8	2.6	3.4
Cl	0.3	0.9	2.0	0.1	0.3	0.6	0.2	0.5	0.9	0.2	0.4	0.6	0.2	0.2	0.3	0.4	0.6	0.7
K	0.1	0.6	1.1	0.0	0.2	0.4	0.2	0.4	0.5	1.2	1.8	2.6	0.5	0.8	1.1	1.2	1.8	2.2
Ca	0.4	1.1	2.0	0.2	0.6	1.2	0.5	1.2	2.3	0.8	1.1	1.3	0.6	0.8	1.0	1.1	1.6	2.1
Ti	0.2	0.5	0.8	0.1	0.4	0.7	0.5	1.0	2.2	0.4	0.8	1.1	0.3	0.7	1.3	0.7	1.4	2.2
Mn	0.1	0.3	0.7	0.1	0.4	1.0	0.2	0.4	0.6	0.4	0.8	1.2	0.3	0.9	1.5	0.4	0.8	1.3
Fe	0.1	0.3	0.7	0.1	0.3	0.7	0.1	0.3	0.6	0.4	0.6	0.9	0.4	0.6	1.0	0.4	0.7	1.0
Cu	0.2	1.7	6.3	0.1	0.5	1.7	0.4	1.2	3.3	1.4	5.7	15.8	0.4	1.4	3.5	1.1	2.6	4.7
Zn	0.1	0.3	0.5	0.0	0.2	0.6	0.2	0.3	0.4	0.7	1.3	2.0	0.5	2.8	11.0	1.3	3.2	6.5
Pb	0.1	0.2	0.4	0.0	0.2	0.7	0.1	0.2	0.3	-	-	-	-	-	-	-	-	-

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Figure Captions

714 **Fig. 1.** Variation of PM_{fine} (a) and PM_{coarse} (b) mass concentration between 3.1.2012 and
715 14.1.2012

716 **Fig. 2.** Temporal variation of elemental concentration for S, K, Zn, Pb in the fine fraction
717 between 3.1.2012 and 14.1.2012

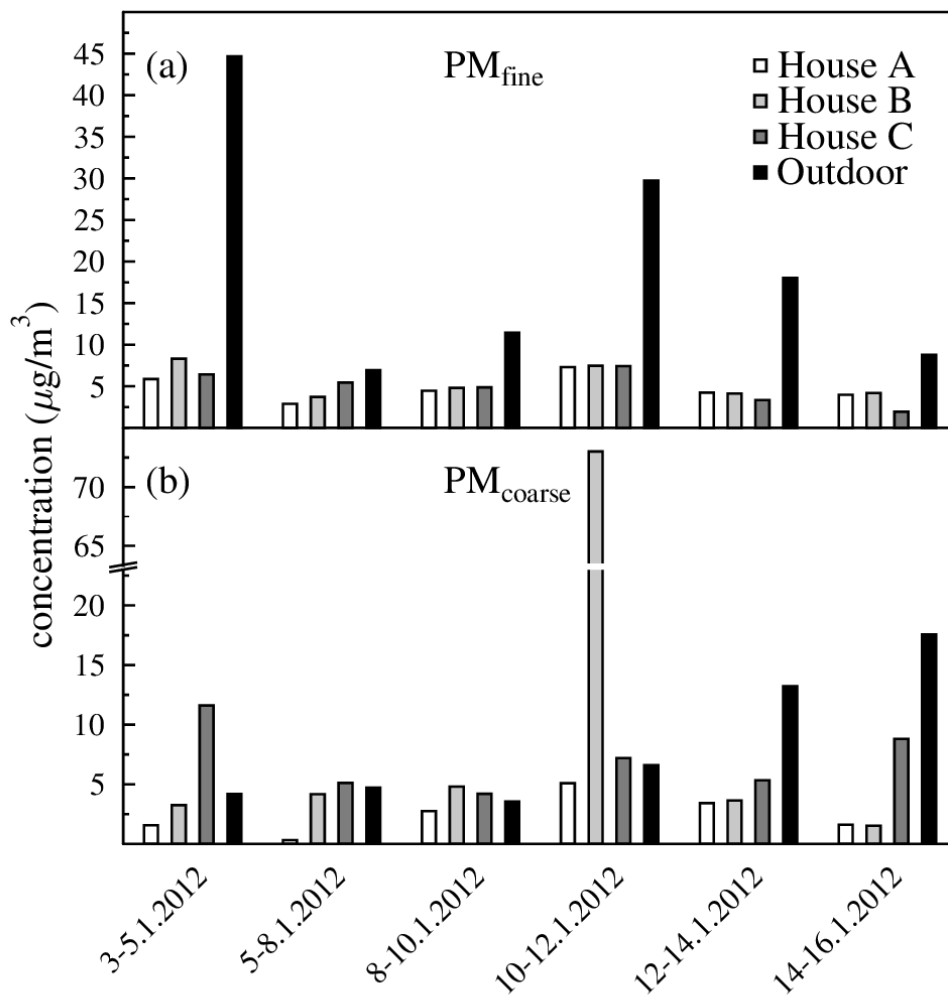
718 **Fig. 3.** Mass size distribution of elemental concentration indoors (House B) and outdoors
719 (House A)

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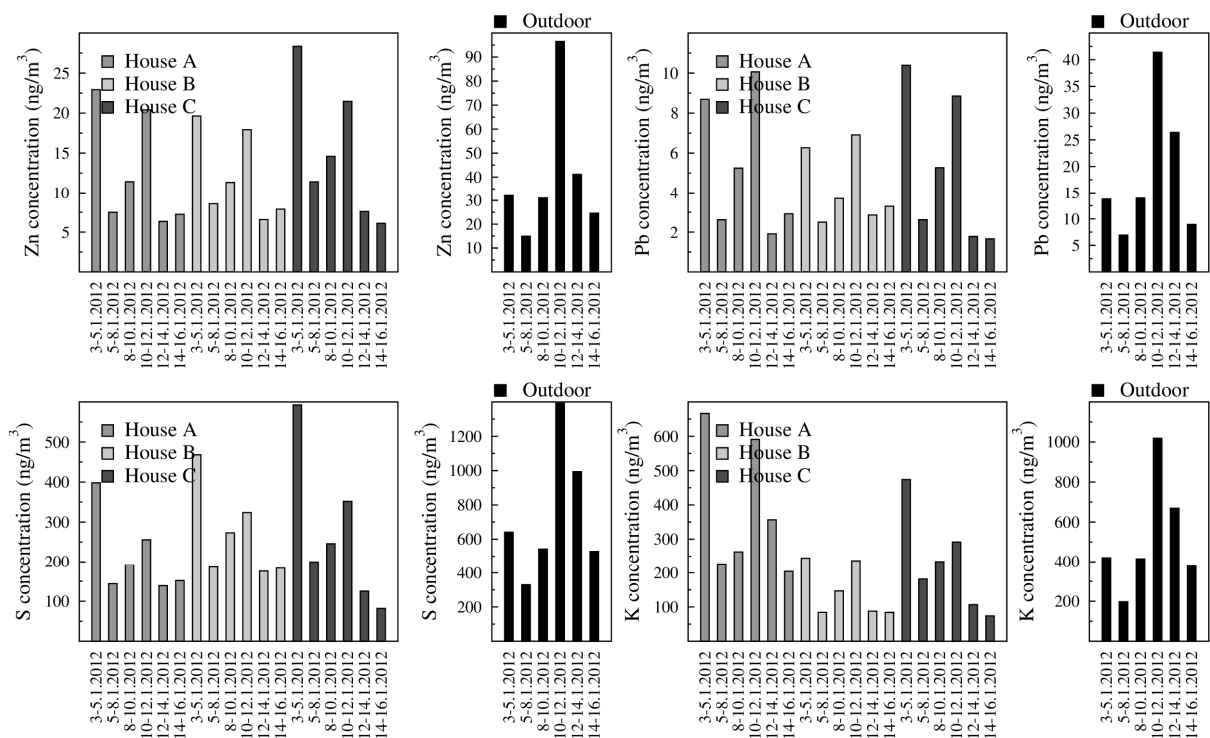
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Fig. 1.

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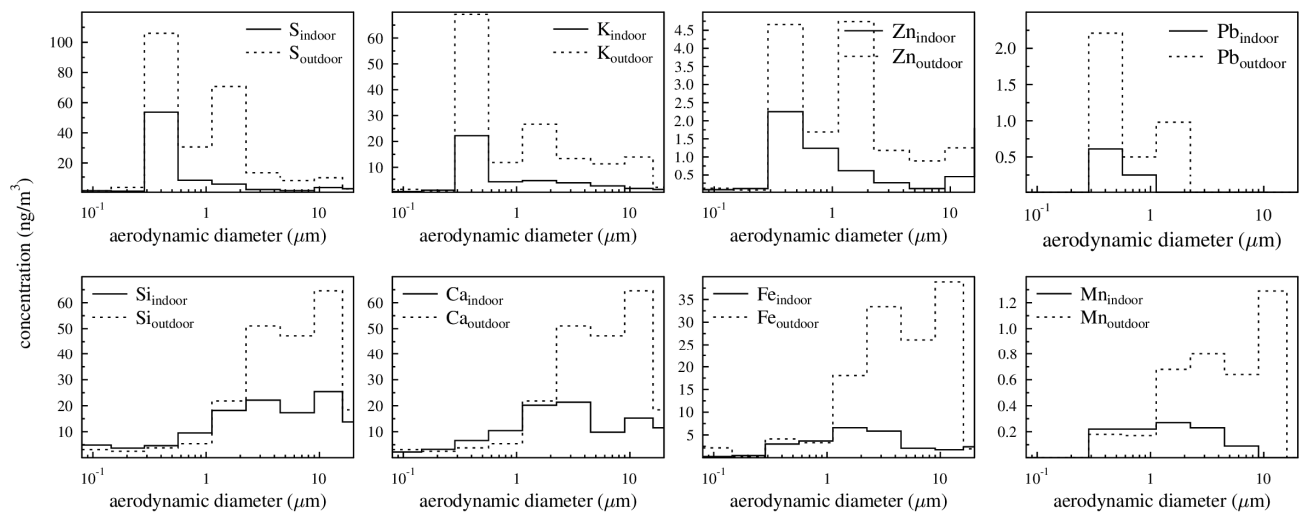
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Fig. 2.

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Fig. 3.

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